

City of Redmond



Agenda

Business Meeting

Tuesday, October 18, 2022

7:00 PM

**City Hall: 15670 NE 85th St; Remote: Comcast Ch. 21/321, Ziplly Ch. 34,
Facebook (@CityofRedmond), Redmond.gov/rctvlive, or 510-335-7371**

City Council

Mayor

Angela Birney

Councilmembers

Jessica Forsythe, President

Vanessa Kritzer, Vice President

Jeralee Anderson

David Carson

Steve Fields

Varisha Khan

Melissa Stuart

REDMOND CITY COUNCIL AGENDA SECTION TITLE REFERENCE GUIDE

Items From The Audience provides an opportunity for citizens to address the Council regarding any issue. Speakers must sign their intention to speak on a sheet located at the entrance of the Council Chamber, and limit comments to **four minutes**.

The **Consent Agenda** consists of routine items for which a staff recommendation has been prepared, and which do not require further Council discussion. A council member may ask questions about an item before the vote is taken, or request that an item be removed from the Consent Agenda and placed on the regular agenda for more detailed discussion. A single vote is taken to approve all items remaining on the Consent Agenda.

Public Hearings are held to receive public comment on important issues and/or issues requiring a public hearing by State statute. Citizens wishing to comment will follow the same procedure as for 'Items from the Audience', and may speak after being recognized by the Mayor. After all persons have spoken, the hearing is closed to public comment. The Council then proceeds with its deliberation and decision making.

Staff Reports are made to the Council by the department directors on issues of interest to the Council which do not require Council action.

The **Ombudsperson Report** is made by the Councilmember who is serving as ombudsperson. The ombudsperson designation rotates among Council members on a monthly basis. She/he is charged with assisting citizens to resolve problems with City services. Citizens may reach the ombudsperson by calling the Mayor's office at (425) 556-2101.

The **Council Committees** are created to advise the Council as a whole. They consider, review, and make recommendations to the Council on policy matters in their work programs, as well as issues referred to them by the Council.

Unfinished Business consists of business or subjects returning to the Council for additional discussion or resolution.

New Business consists of subjects which have not previously been considered by Council and which may require discussion and action.

Ordinances are legislative acts or local laws. They are the most permanent and binding form of Council action and may be changed or repealed only by a subsequent ordinance. Ordinances normally become effective five days after they are published in the City's official newspaper.

Resolutions are adopted to express Council policy or to direct certain types of administrative action. A resolution may be changed by adoption of a subsequent resolution.

Quasi-Judicial proceedings are either closed record hearings (each side receiving ten minutes maximum to speak) or public hearings (each speaker allotted four minutes each to speak). Proceedings are those in which the City Council determines the rights or privileges of specific parties (Council Rules of Procedure, Section IV., J).

Executive Sessions - all regular and special meetings of the City Council are open to the public except for executive sessions at which subjects such as national security, property acquisition, contract bid negotiations, personnel issues and litigation are discussed.

Redmond City Council Agendas, Meeting Notices, and Minutes are available on the City's Web Site:

<http://www.redmond.gov/CouncilMeetings>

FOR ASSISTANCE AT COUNCIL MEETINGS FOR THE HEARING OR VISUALLY IMPAIRED:

Please contact the City Clerk's office at (425) 556-2194 one week in advance of the meeting.

AGENDA**ROLL CALL****I. SPECIAL ORDERS OF THE DAY**

- A. PROCLAMATION: Honoring Seattle Thunderbolts (Cricket) Championship

[Proclamation](#)

II. ITEMS FROM THE AUDIENCE

Members of the public may address the City Council, on any topic, for a maximum of four minutes per person. Please use the speaker sign up sheet located at the entry of the City Hall Council Chambers provided 30 minutes prior to the meeting, up to the start of the meeting.

In the event of difficulty attending a meeting in person, please contact the City Clerk (cityclerk@redmond.gov) by 2 p.m. on the day of the meeting for the remote comment registration form.

Written comment may be emailed to cityclerk@redmond.gov by 2 pm on the day of the meeting (500 word limit - please label your comment as "Items from the Audience"). Comments will be distributed to the City Council and entered into the record. Comments will not be read during the meeting.

III. CONSENT AGENDA**A. Consent Agenda**

1. Approval of the Minutes: October 4, 2022, Regular Business Meeting (recordings are available at Redmond.gov/rctv)

[Regular Meeting Minutes for October 4, 2022](#)

2. Approval of Payroll/Direct Deposit and Claims Checks

[Council Payroll Check Approval Register, September 30, 2022](#)

[Payroll Check Approval Register, October 7, 2022](#)

[Check Approval Register, October 18, 2022](#)

3. [AM No. 22-151](#) Approval of a Consultant Agreement with Dynamic Solutions Incorporated in the Amount of \$123,600 to Provide Resource Development and End User Training to Support the Implementation of Dynamics 365 (D365)

Department: Finance

[Attachment A: Statement of Work](#)

Legislative History

10/11/22 Committee of the Whole - referred to the City Council
Finance, Administration,
and Communications

4. [AM No. 22-152](#) Approval of On-call Consultant Contracts for Structural and Transportation Engineering Services
Department: Public Works

[Attachment A: Additional Background Information](#)

[Attachment B: Structural Engineering On Call-V M Structural Design](#)

[Attachment C: Structural Engineering On Call- KPFF](#)

[Attachment D: Transportation Engineering On Call-Perteeet](#)

[Attachment E: Transportation Engineering On Call-Parametrix](#)

Legislative History

10/4/22 Committee of the Whole - referred to the City Council
Planning and Public Works

5. [AM No. 22-153](#) Approval of a Memorandum of Understanding with King County Solid Waste Division for Reimbursement of Rate Restructure Work
Department: Public Works

[Attachment A: Memorandum of Understanding](#)

[Attachment B: Consultant Scope of Work](#)

Legislative History

10/4/22 Committee of the Whole - referred to the City Council
Planning and Public Works

6. [AM No. 22-154](#) Approval of the Washington Conservation Corps (WCC) 2022-23 Agreement for \$203,360 between the City of Redmond and the Washington State Department of Ecology
Department: Public Works

[Attachment A: WCC Restoration and Maintenance Map 2022-23](#)

[Attachment B: WCC Agreement 2022-23](#)

Legislative History

10/4/22 Committee of the Whole - referred to the City Council
Planning and Public Works

7. [AM No. 22-155](#) Approval of the 2023 Tourism Funding Recommendations

Department: Planning and Community Development

[Attachment A: Simplified Scoring 2023 Applications](#)

[Attachment B: Scoring 2023 Applications-Detailed Matrix](#)

[Attachment C: General Tourism and LTAC FAQ and Overview](#)

[Attachment D: Presentation](#)

[Attachment E: 2023 List of Grant Applicants](#)

[Attachment F: LTAC Meeting Minutes, August 29](#)

Legislative History

10/4/22 Committee of the Whole - referred to the City Council
Planning and Public Works

8. [AM No. 22-156](#) Approval of the 2023 Budget and Work Program for A Regional Coalition for Housing (ARCH)

Department: Planning and Community Development

[Attachment A: Memo to Councils - 2023 ARCH Budget and Work Program](#)

Legislative History

10/4/22 Committee of the Whole - referred to the City Council
Planning and Public Works

9. [AM No. 22-157](#) Approval of the 2022-2025 Collective Bargaining Agreement between City of Redmond and Teamsters Local No. 117 representing Police Lieutenants

a. Ordinance No. 3095: An Ordinance of the City of Redmond, Washington Amending the 2021 T Pay Plan for Employees Represented by the Teamsters Local No. 117 Representing Police Lieutenants

Department: Human Resources

[Attachment A: 2022-2025 Redmond Police Lieutenants CBA \(redline\)](#)

[Attachment B: Summary of Major Changes to Teamsters Lieutenants Contract](#)

[Attachment C: Ordinance for Teamsters Lieutenants 2022](#)

[Attachment D: Redmond Police Lieutenants MOU Signing Bonus](#)

[Exhibit 1 - 2022 Teamsters Lieutenants Pay Plan T](#)

[Exhibit 2 - 2023 Teamsters Lieutenants Pay Plan T](#)

B. Items Removed from the Consent Agenda

IV. HEARINGS AND REPORTS

A. Public Hearings

Members of the public may address the City Council, on the topic of the public hearing, for a maximum of four minutes per person. Please use the speaker sign up sheet located at the entry of the City Hall Council Chambers provided 30 minutes prior to the meeting, up to the start of the meeting.

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1. [AM No. 22-158](#) 2023-2024 Preliminary Budget Public Hearing #3

Department: Finance

2. [AM No. 22-159](#) Approval of the Annual Update of the 2023-2028 Six-Year Transportation Improvement Program (TIP)

a. Resolution No. 1563: A Resolution of the City Council of the City of Redmond, Washington, Adopting a Six-Year Transportation Improvement Program for the Years 2023-2028 and Directing the Same to be Filed with the State Secretary of Transportation and the Transportation Improvement Board

Department: Planning and Community Development

[Attachment A: Resolution](#)

[Exhibit A - 2023-2028 Transportation Improvement Program Project List](#)

[Attachment B: Summary of TIP Updates](#)

[Attachment C: Project Changes Map](#)

[Attachment D: All Project Map](#)

[Attachment E: TIP 2023-2028 Presentation](#)

Legislative History

9/6/22	Committee of the Whole - Planning and Public Works	referred to the City Council
9/20/22	City Council	presented

B. Reports

1. Staff Reports

2. Ombudsperson Report

Khan

3. Committee Reports

V. UNFINISHED BUSINESS

VI. NEW BUSINESS

VII. EXECUTIVE SESSION

- A. Labor Negotiations [RCW 42.30.140(4)(b)] - 15 minutes

VIII. ADJOURNMENT



City of Redmond

15670 NE 85th Street
Redmond, WA

Memorandum

Date: 10/18/2022
Meeting of: City Council
Day

File No. SPC 22-115
Type: Special Orders of the

PROCLAMATION: Honoring Seattle Thunderbolts (Cricket) Championship



Redmond
WASHINGTON

Connected Community
Enhanced Livability
Environmental Sustainability

PROCLAMATION

- WHEREAS, today, we honor the Seattle Thunderbolts on their 2022 national championship win in the Toyota Minor League Cricket (MiLC) Championships; and
- WHEREAS, we applaud each player's contributions and celebrate that the team's roster includes numerous Redmond residents, including the captain and vice-captain; and
- WHEREAS, more than 400 players took part in the semi-professional tournament representing 26 teams located in 20 cities across the country. The MiLC Championship is the most extensive competition in American cricket and the only nationwide championship; and
- WHEREAS, boasting over one billion fans worldwide, cricket is a global sport whose popularity in our region has grown immensely with the influx of new residents from South Asia, the United Kingdom, and the rest of the world; and
- WHEREAS, during any given weekend or weeknight, you will find residents of Redmond and surrounding cities enjoying Redmond parks, like Perrigo and Grasslawn parks, playing cricket and teaching it to the next generation of players; and
- WHEREAS, on August 28 in Morrisville, North Carolina, our local Seattle Thunderbolts beat the Atlanta Fire to take home the championship trophy and the \$150,000 prize, the largest in American cricket history; and
- WHEREAS, we look forward to welcoming the Thunderbolts back next year to celebrate again after they defend their national title and continue being tremendous ambassadors for the Pacific Northwest and our region.

THEREFORE, I, ANGELA BIRNEY, Mayor of the City of Redmond, do hereby proclaim October 18, 2022, as,

SEATTLE THUNDERBOLT DAY

in Redmond and encourage all residents to join me in congratulating Seattle's newest national champions.





Angela Birney, Mayor

October 18, 2022

Date

City Hall
15670 NE 85th Street
PO Box 97010
Redmond, WA
98073-9710



City of Redmond

15670 NE 85th Street
Redmond, WA

Memorandum

Date: 10/18/2022
Meeting of: City Council

File No. SPC 22-113
Type: Minutes

Approval of the Minutes: October 4, 2022, Regular Business Meeting (recordings are available at Redmond.gov/rctv)

CALL TO ORDER AND ESTABLISHMENT OF QUORUM

A Regular Meeting of the Redmond City Council was called to order by Mayor Angela Birney at 7:00 p.m. The meeting was held in-person and remote. Councilmembers present and establishing a quorum were: Anderson, Carson, Fields, Forsythe, Khan, Kritzer and Stuart.

SPECIAL ORDERS OF THE DAY

A. PRESENTATION: Delivery of Mayor's 2023 - 2024 Biennial Budget

Mayor Birney provided a presentation on the biennial budget.

B. PROCLAMATION: Arts and Humanities Month

Mayor Birney read the proclamation into the record. The proclamation was presented to Angie Hinojos, who spoke regarding arts and humanities in the city.

C. PROCLAMATION: Cyber Security Awareness Month

Mayor Birney read the proclamation into the record. The proclamation was presented to Michael Marchand, Director of Technology and Information Services.

ITEMS FROM THE AUDIENCE

Mayor Birney opened Items from the Audience at this time. The following persons spoke:

- Michelle Droydahlt and Mary Comstock (representing the Redmond Library) - reopening, partnerships and events;
- Anna Zanella - rent increases, difficulty of contacting management and help from the City;
- David Morton - protecting critical aquifer recharge areas from toxins; and
- Bob Yoder - population of Native Americans in the area, recognizing the tribes, rental assistance, an indigenous festival, and land use noticing process.

CONSENT AGENDA

MOTION: Councilmember Forsythe moved to approve the Consent Agenda. The motion was seconded by Councilmember Stuart.

1. Approval of the Minutes: September 20, 2022, Regular Business Meeting

2. Approval of Payroll/Direct Deposit and Claims Checks

PAYROLL/DIRECT DEPOSITS AND WIRE TRANSFERS:

#187443 through #187464
#136690 through #137441
#1491 through #1495

\$4,357,524.77

CLAIMS CHECKS:

#441650 through #441883

\$8,179,684.62

3. AM No. 22-143: Approval of the Memorandum of Understanding (MOU) with the City of Bellevue for Sustainability Study Tour
4. AM No. 22-144: Acceptance of the Department of Commerce Climate Grant Award in Support of Climate Planning and Resilience Projects, in the Amount of \$80,000
5. AM No. 22-145: Approval of the Attorney General Federal Pass-through Grant for the Purchase of a Refrigeration Unit to Store Sexual Assault Kits
6. AM No. 22-146: Adoption of a Resolution to Accept Membership into the Cities Insurance Association of Washington (CIAW) through an Interlocal Agreement
 - a. Resolution No. 1562: A Resolution of the City Council of the City of Redmond, Washington, Approving Membership in the Cities Insurance Association of Washington (CIAW) and the Bylaws and Interlocal Agreement of the CIAW
7. AM No. 22-147: Acceptance of the Department of Commerce Grant Funding in the Amount of \$1,225,000 for the Redmond Senior & Community Center
8. AM No. 22-148: Acceptance of the King County Parks Capital and Open Space Grant Funding in

the Amount of \$500,000 for Redmond Senior & Community Center

VOTE: The motion to approve the Consent Agenda passed without objection. (7 - 0)

Mayor Birney read the resolution title into the record.

HEARINGS AND REPORTS

STAFF REPORT

- A. AM No. 22-149: Redmond 2050 Quarterly Update - Fourth Quarter 2022

Carol Helland, Director of Planning and Community Development, introduced this item, and staff provided a presentation to the Members of the Council.

- B. AM No. 22-150: 2021 Environmental Sustainability Action Plan Annual Report

Jenny Lybeck, Sustainability Program Manager, provided a presentation to the Members of the Council and responded to inquiries.

OMBUDSPERSON REPORT

Councilmember Carson reported receiving resident contact regarding: fire sprinkler requirements.

Councilmember Khan reported receiving resident contacts regarding: thank you for installing a tree wire to prevent power outages; homeless individuals in neighborhoods; and not cutting down the red oak tree.

Councilmember Fields reported receiving resident contacts regarding: gun club sound impacts on neighborhoods.

Councilmember Stuart reported receiving resident contacts regarding: abandoned Lime bike and community responder programs.

COMMITTEE REPORTS

Councilmember Anderson provided the following committee reports:

- Committee of the Whole - Public Safety and Human Services; and
- Cascade Water Alliance.

Councilmember Stuart provided the following committee reports:

- Committee of the Whole - Planning and Public Works.

Councilmember Kritzer provided the following committee reports:

- Regional Transit Committee; and
- WRIA 8 Salmon Recovery Council.

EXECUTIVE SESSION

A. Labor Negotiations [RCW 42.30.140(4)(b)] - 15 minutes

Executive Session started at 8:35 p.m. and ended at 8:50 p.m.

ADJOURNMENT

There being no further business to come before the Council the regular meeting adjourned at 8:50 p.m.

ANGELA BIRNEY, MAYOR

CITY CLERK

Minutes Approved: October 18, 2022



City of Redmond

15670 NE 85th Street
Redmond, WA

Memorandum

Date: 10/18/2022
Meeting of: City Council

File No. SPC 22-114
Type: Check Register

Approval of Payroll/Direct Deposit and Claims Checks

City of Redmond
Payroll Check Approval Register
Pay period: 09/01 - 09/30/2022
Check Date: 09/30/2022

Check Total: \$ -
Direct Deposit Total: \$ 7,925.55
Wires & Electronic Funds Transfers: \$ 2,233.53
Grand Total: \$ 10,159.08

We, the undersigned Council members, do hereby certify under penalty of perjury that the materials have been furnished, the services rendered or the labor performed as described herein, that any advance payment is due and payable pursuant to a contract or is available as an option for full or partial fulfillment of a contractual obligation, and that the claim is a just, due and unpaid obligation against the City of Redmond, and that we are authorized to authenticate and certify to said claim.

All Checks numbered through ,
Direct deposits number **137442** through **137448** , and
Electronic Fund transfe **1496** through **1496**
are approved for payment in the amount of **\$10,159.08**
on this **18 day of October 2022**.

Note:

City of Redmond
Payroll Final Check List
Pay period: 09/01 - 09/30/2022
Check Date: 09/30/2022

Total Checks and Direct deposit: \$ 8,791.05
Wire Wilmington Trust RICS (MEBT): \$ 1,368.03
Grand Total: \$ 10,159.08

I, the Human Resources Director, do hereby certify to the City Council, that the checks and direct deposits presented are true and correct to the best of my knowledge.

DocuSigned by:
Cathryn Laird
7C0092BCC9C549B...

Human Resources Director, City of Redmond
Redmond, Washington

City of Redmond
Payroll Check Approval Register
 Pay period: 9/16 - 9/31/2022
 Check Date: 10/7/2022

Check Total:	\$ 69,382.97
Direct Deposit Total:	\$ 2,433,817.42
Wires & Electronic Funds Transfers:	\$ 1,511,823.96
Grand Total:	\$ 4,015,024.35

We, the undersigned Council members, do hereby certify under penalty of perjury that the materials have been furnished, the services rendered or the labor performed as described herein, that any advance payment is due and payable pursuant to a contract or is available as an option for full or partial fulfillment of a contractual obligation, and that the claim is a just, due and unpaid obligation against the City of Redmond, and that we are authorized to authenticate and certify to said claim.

All Checks numbered **187468** through **187489** ,
 Direct deposits numbe **137449** through **138198** , and
 Electronic Fund transfe **1497** through **1501**
 are approved for payment in the amount of **\$4,015,024.35**
 on this **4 day of October 2022**.

Note:

Benevolent Fund check reprint	Check # 187465	12/23/2020
Benevolent Fund check reprint	Check # 187466	1/25/2021
Benevolent Fund check reprint	Check # 187467	2/25/2021

City of Redmond
Payroll Final Check List
 Pay period: 9/16 - 9/31/2022
 Check Date: 10/7/2022

Total Checks and Direct deposit:	\$ 3,620,759.49
Wire Wilmington Trust RICS (MEBT):	\$ 394,264.86
Grand Total:	\$ 4,015,024.35

I, the Human Resources Director, do hereby certify to the City Council, that the checks and direct deposits presented are true and correct to the best of my knowledge.

DocuSigned by:

7C0092BCC9C549B...

 Human Resources Director, City of Redmond
 Redmond, Washington

I, Financial Services Manager, do hereby certify to the City Council, that the checks for the month of October 2022 are true and correct to the best of my knowledge.

DocuSigned by:
Chip Corder
5528A221CC9646C...

Chip Corder, Finance Director
City of Redmond
Redmond, Washington

We, the undersigned Councilmembers, do hereby certify under penalty of perjury that the materials have been furnished, the services rendered or the labor performed as described herein, that any advance payment is due and payable pursuant to a contract or is available as an option for full or partial fulfillment of a contractual obligation, and that the claim is a just, due and unpaid obligation against the City of Redmond, and that we are authorized to authenticate and certify to said claim. All checks numbered 441884 through 442105, and Wire Transfers are approved for payment in the amount of \$5,625,403.17 This 18th day of October 2022.



Memorandum

Date: 10/18/2022
Meeting of: City Council

File No. AM No. 22-151
Type: Consent Item

TO: Members of the City Council
FROM: Mayor Angela Birney
DEPARTMENT DIRECTOR CONTACT(S):

Finance	Chip Corder	425-556-2189
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DEPARTMENT STAFF:

Finance	Kelley Cochran	Deputy Finance Director
Finance	Jay Freeland	Business Systems Analyst Senior
TIS	Dawn Johnson	Project MGMT Office Manager
TIS	Wanda Norman	Technology Project Manager

TITLE:

Approval of a Consultant Agreement with Dynamic Solutions Incorporated in the Amount of \$123,600 to Provide Resource Development and End User Training to Support the Implementation of Dynamics 365 (D365)

OVERVIEW STATEMENT:

The City is implementing a replacement of the current financial system early next year. The contract with Dynamic Solutions Incorporated will provide City staff with the resources and training necessary to ensure the implementation is successful. Microsoft launched the Dynamics 365 (D365) suite of business systems in 2016. D365 for Finance and Operations replaces the City’s current Dynamics AX finance solution. The upgrade moves the City’s finance solution to the cloud and provides increased opportunities for modernization and streamlining of a broadened scope of finance-related business processes, systems integration and data analytics.

Deana Davis of Dynamic Solutions is uniquely qualified to address the training needs of the City’s for the implementation. She has trained specifically for Microsoft Dynamics from version 1 to present and has worked directly with the City’s support partners in previous engagements. The training materials that are developed as a part of this contract will be utilized going forward to train new and existing staff and to continue good stewardship of the City’s financial data.

Additional Background Information/Description of Proposal Attached

REQUESTED ACTION:

- Receive Information
- Provide Direction
- Approve

REQUEST RATIONALE:

- **Relevant Plans/Policies:**
Business Technology Investment Program (BTIP)
- **Required:**
Council approval is required for contracts over \$50,000.
- **Council Request:**
N/A
- **Other Key Facts:**
N/A

OUTCOMES:

The primary objective is train City staff on the new D365 system. The training will:

- Provide relevant information and explanations of how to navigate through the D365 system.
- Develop ownership and buy-in from employees and stakeholders.
- Increase the efficiency of work for the end users.

Topics to be covered are as follows:

- D365 Introduction - Intro to Dynamics
- Procure to Pay - Purchasing
- Invoices - Invoice Submission
- ALE's - Posting ALEs
- AR - Invoicing Customers
- AR - Receiving Funds
- CIP/Operational Projects - CIP/Operational Projects in D365

The following training and materials to be provided for each topic (outlined above) as follows:

- Interactive Training Classes
- Recorded Training Class Sessions
- Interactive Training Video's
- Reference Guides
- FAQs

COMMUNITY/STAKEHOLDER OUTREACH AND INVOLVEMENT:

- **Timeline (previous or planned):**
N/A
- **Outreach Methods and Results:**
N/A
- **Feedback Summary:**
N/A

BUDGET IMPACT:

Total Cost:
\$123,600

Approved in current biennial budget: **Yes** **No** **N/A**

Budget Offer Number:

Included in the six-year Business Technology Investment Program (BTIP)

Budget Priority:

Strategic and Responsive

Other budget impacts or additional costs: Yes No N/A

If yes, explain:

N/A

Funding source(s):

Business Technology Investment Program (BTIP)

Budget/Funding Constraints:

N/A

Additional budget details attached

COUNCIL REVIEW:

Previous Contact(s)

Date	Meeting	Requested Action
10/11/2022	Committee of the Whole - Finance, Administration, and Communications	Provide Direction

Proposed Upcoming Contact(s)

Date	Meeting	Requested Action
N/A	None proposed at this time	N/A

Time Constraints:

D365 will be implemented early in 2023, the development of training materials must begin soon to ensure the training required for a successful implementation is provided.

ANTICIPATED RESULT IF NOT APPROVED:

If the contract is not approved the training would be provided by City staff. This would lead to a delay of the implementation in order to allow time to develop the needed materials and conduct trainings. This approach could also result in less comprehensive training leading to; lack of understanding of new processes affecting productivity levels, increased stress from staff of not knowing how to work in the new system and more time needed to provide follow-up training.

ATTACHMENTS:

Attachment A - Statement of Work



Statement of Work
for
Microsoft D365 Finance and Operations
End User Training

Statement of Work: D365 Training Delivery

D365 End User Training is to be provided to over 250 City of Redmond employees. The training consultant will provide interactive training and video's, reference guides, and a document with frequently asked questions (FAQS) for each class. Training documentation will be used for the initial implementation of the D365 but should also be written to be used for ongoing training with little or no editing required.

Courses to be trained are as follows:

- D365 Introduction - Intro to Dynamics (Class Number: D365-101)
- Procure to Pay – Purchasing (Class Number: D365-P2P-1)
- Invoices – Invoice Submission (Class Number: D365-P2P-2)
- ProCard – City of Redmond Credit Cards (Class Number: D365-P2P-3)
- ALE's – Posting ALEs (Class Number: D365-ALE)
- AR – Invoicing Customers (Class Number: D365-INV)
- AR – Receiving Funds (Class Number: D365-REC)
- CIP/Operational Projects – CIP/Operational Projects in D365 (Class Number: D365-PRJ)

Objectives:

The primary objective of D365 training program is to train City of Redmond staff on the new D365 system.

This training will:

- Provide relevant information and explanations of how to navigate through the D365 system.
- Develop ownership and buy-in from employees and stakeholders.
- Increase the efficiency of work coming into Finance from the end users.

Scope of Work

Train end users on D365 system addressing all class courses defined below:

- D365 Introduction - Intro to Dynamics (Class Number: D365-101)
 - Learning Objectives
 - Why is D365 being installed?
 - Basic Navigation and Functions
 - Detailed Content
 - Navigation
 - Task Recorders
 - Export/Open in Excel
 - Attachments

Statement of Work for D365 End User Training

- Personalization's
- Resources

- Procure to Pay – Purchasing (Class Number: D365-P2P-1)
 - Learning Objectives
 - New Purchasing Model
 - Encumbrances
 - How to submit a requisition
 - Approval Process
 - Purchasing Process from PR to Receiving
 - How to receive goods/services
 - Detailed Content
 - Purchasing
 - Purchasing Workflow
 - Purchasing on Projects
 - Receiving

- Invoices – Invoice Submission (Class Number: D365-P2P-2)
 - Learning Objectives
 - Where Invoices should be sent
 - How invoices are processed
 - How to approve invoices
 - Detailed Content
 - How do invoices get into the D365
 - How invoices are processed
 - Approving Invoices

- ProCard – City of Redmond Credit Cards
 - Learning Objectives
 - ProCard holder responsibilities
 - How to update each line
 - How to attach backup
 - How workflow works
 - How to approve items (approvers)
 - Detailed Content
 - Reconciling ProCard Expenses
 - Adding Dimensions
 - Splitting Lines
 - Backup Rules and how to attach
 - Workflow Approvals

Statement of Work for D365 End User Training

- Note: ProCard functionality will be via Concur and some training materials will be provided by the vendor. This SOW would apply to supplemental materials identified as needed by the City of Redmond that are not included in SOW with Concur.

- ALE's – Posting ALEs
 - Learning Objectives
 - How to interact with Data Management
 - How to submit an ALE
 - How the ALE is Approved
 - Detailed Content
 - Creating ALE's
 - Data Management
 - ALE Workflow

- AR – Invoicing Customers
 - Learning Objectives
 - When to ask for Billing Codes
 - How to Initiate an Invoice
 - The Approval Process
 - How Funds are Received
 - Detailed Content
 - New Billing Codes and Classifications
 - Creating an Invoice
 - Invoice Approval Workflow

- AR – Receiving Funds
 - Learning Objectives
 - Find an Invoice
 - Find a Public Defender Invoice
 - Process Funds from Collection Agency
 - Detailed Content
 - Same as Learning Objectives

- CIP/Operational Projects – CIP/Operational Projects in D365
 - Learning Objectives
 - How to setup projects
 - How budgets function now
 - How adjustments will be made
 - Reporting from the system
 - How project purchases are made

- Detailed Content
 - Project Setup
 - Project Budgets
 - Project Adjustments
 - Project Reporting
 - Project Purchasing

Deliverable Materials

The following deliverables will be provided to City of Redmond:

- The following training and materials to be provided for each class (outlined above) as follows:
 - Phase I Deliverables (Sept 1, 2022 – March 1, 2023) 630 hours
 - Interactive Training Classes
 - Recorded Training Class Sessions
 - Phase II Deliverables (March 1, 2023 – May 2023) 400 Hours
 - Interactive Training Video's
 - Reference Guides
 - FAQs

Consultant Responsibilities

- Train City of Redmond staff on D365 per the Training Learning Objectives defined in the scope of work.
- Create interactive training classes and videos
- Record all training classes
- Create and provide training reference guides
- Create and provide FAQs

City of Redmond Responsibilities

- Coordinate training sessions with Contractor
- Communicate and Schedule all Training Classes with Staff
- Review and Approve Training Class Materials and Video's

Fee Schedule

Hours worked will be itemized and invoiced as worked. The total value for the Services pursuant to this SOW shall not exceed \$123,600 unless otherwise agreed to by both parties.

Statement of Work for D365 End User Training

Item Description	Number of Resources	Hourly Rate	Number of Hours
Training Services and Consulting- Phase I	1	\$120.00	630
Training Services and Consulting- Phase II	1	\$120.00	400

Upon completion of this Performance Period, Contractor and Client will have the option to renew this agreement for an additional then-stated number of hours at the then-current hourly rate for those resources identified.

Bill To Address	Client Project Manager	Client Cost Center
City of Redmond Attn: TIS PMO MS:3SFN 15670 NE 85th St Redmond, WA 98052	Wanda Norman	

Out-of-Pocket Expenses / Invoice Procedures

Client will be invoiced monthly for the consulting services. Invoices are due upon 30 days of receipt of invoice. Out of pocket expenses must be approved before incurred.

Contractor shall provide Client with sufficient details to support its invoices, including time sheets for services performed, expense receipts and justifications for authorized expenses, unless otherwise agreed to by the parties.

Completion Criteria

Contractor shall have fulfilled its obligations when the following occurs:

- Contractor accomplishes the Contractor activities described within this SOW, including delivery to Client of the materials listed in the Section entitled "Deliverable Materials," and Client accepts such activities and materials without unreasonable objections.

Cancellation

Contractor and/or Client has the right to cancel services or deliverables not yet provided with 30 business days advance written notice to the other party.

If contractor cancels the contract, all materials produced at time of termination will be turned over to the City of Redmond.

Assumptions

Assumptions are that the Contractor is very experienced with creating D365 training classes, training reference materials, FAQs and interactive training videos.

Project Change Control Procedure

The following process will be followed if a change to this SOW is required:

- A Project Change Request (PCR) will be the vehicle for communicating change. The PCR must describe the change, the rationale for the change, and the effect the change will have on the project.
- A written Change Authorization and/or PCR must be signed by both parties to authorize implementation of the change.

IN WITNESS WHEREOF, the parties hereto have caused this SOW to be effective as of the day, month and year first written above.

Client Name

Company Name

By: _____
Name:
Title:

By: Deana L Davis
Name: Deana L Davis
Title: Owner/Training Director



Memorandum

Date: 10/18/2022
Meeting of: City Council

File No. AM No. 22-152
Type: Consent Item

TO: Members of the City Council
FROM: Mayor Angela Birney
DEPARTMENT DIRECTOR CONTACT(S):

Public Works	Aaron Bert	425-556-2786
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DEPARTMENT STAFF:

Public Works	Adnan Shabir	Senior Engineer
Public Works	Paul Cho	Engineering Manager
Public Works	Steve Flude	City Engineer

TITLE:

Approval of On-call Consultant Contracts for Structural and Transportation Engineering Services

OVERVIEW STATEMENT:

These agreements are for on-call consultant engineering services and require Mayoral signature. The agreements are for two structural engineering firms (KPFF and VM Structural Design) and two transportation engineering firms (Pertee and Parametrix).

Each contract is for a period of three years with an option for two-year extension. Each contract has a maximum allowable contract value. Council approval does not authorize any additional spending. Funding for specific task orders will charge against an appropriate project or department budget account that has authorized spending authority.

Additional Background Information/Description of Proposal Attached

REQUESTED ACTION:

Receive Information **Provide Direction** **Approve**

REQUEST RATIONALE:

- **Relevant Plans/Policies:**
Transportation Master Plan
- **Required:**
Council approval is required to award an Architectural and Engineering Services agreement which exceeds \$50,000 (2018 City Resolution 1503).
- **Council Request:**
N/A

- **Other Key Facts:**

On-call contracts are used to bring specialty consultant resources to support staff quickly and efficiently.

OUTCOMES:

City staff use on-call contacts for project design, specialty work, peer review, and to supplement staff availability. These consultant services are crucial for delivery of city projects and timely development review.

COMMUNITY/STAKEHOLDER OUTREACH AND INVOLVEMENT:

- **Timeline (previous or planned):**

N/A

- **Outreach Methods and Results:**

N/A

- **Feedback Summary:**

N/A

BUDGET IMPACT:

Total Cost:

This action does not authorize any spending. It only creates a contract vehicle for otherwise authorized spending.

Approved in current biennial budget:

Yes

No

N/A

Budget Offer Number:

N/A

Budget Priority:

The work handled by these on-call contracts falls within the Healthy and Sustainable and Vibrant and Connected Priorities.

Other budget impacts or additional costs:

Yes

No

N/A

If yes, explain:

N/A

Funding source(s):

Funding for task orders may come from division operations funds, approved CIP projects, and programs, grants, or may be paid by developers for peer review of development projects.

Budget/Funding Constraints:

N/A - On-call consultant contracts specify that no work is guaranteed, and the stated amounts are not-to-exceed. Funding is encumbered only when a need arises from an approved budget source.

Additional budget details attached

COUNCIL REVIEW:

Previous Contact(s)

Date	Meeting	Requested Action
10/4/2022	Committee of the Whole - Planning and Public Works	Provide Direction

Proposed Upcoming Contact(s)

Date	Meeting	Requested Action
N/A	None proposed at this time	N/A

Time Constraints:

Contracts will be executed by December 31, 2022, to facilitate essential engineering work using task orders in 2023.

ANTICIPATED RESULT IF NOT APPROVED:

Staff will have difficulty advancing City projects on schedule, responding to emergency conditions, and meeting review timelines for development.

ATTACHMENTS:

- Attachment A: Additional Background information/Description of Proposal
- Attachment B: Structural Engineering On-Call- V+M Structural Design
- Attachment C: Structural Engineering On-Call- KPFF
- Attachment D: Transportation Engineering On-Call- Perteet
- Attachment E: Transportation Engineering On-Call- Parametrix

Attachment A: Additional Background Information/Description of Proposal

City staff uses on-call engineering consultants in several disciplines to supplement the capabilities of Redmond staff. This work includes tasks such as capital project design, program development, peer review of private development project submittals, and field inspections.

Each contract is purposefully written with a broad scope of work since project needs may vary and staff want to retain flexibility in responding to the needs of the community. The work will be conducted using “task orders” with specific scopes of work. Funding for specific task orders will be taken from the appropriate project or department budget accounts that are already authorized by Council.

These limited-term contracts are for not-to-exceed amounts noted in the table below for each discipline. Staff anticipates using these contracts to support numerous upcoming program and project needs within the Public Works Department. Examples are capital improvement program (CIP) project design and construction support, pavement testing and design, structural analysis and design, emergency response, and peer review of private developer submittals and designs.

On-Call Contracts

Discipline	2022-2025 Not To Exceed Contract Amounts	Selected Firms
Structural Engineering	\$600,000	V+M Structural Design
Structural Engineering	\$1,000,000	KPFF
Transportation Engineering	\$1,000,000	Perteet
Transportation Engineering	\$600,000	Parametrix

Consultant Selection Process

Two firms were selected for each discipline based on the anticipated complexity of work and dollar amounts proposed for each contract. Firms were selected using a request for qualifications (RFQ) advertisement. Consultant qualifications were then reviewed and rated by staff to select the most qualified firm(s) to provide consulting services in each discipline.

After selection, negotiations determined fair and reasonable consultant rates for the work, using the City’s consultant fee negotiation guidelines worksheets. The general scopes and consultant rates were then incorporated into standard Consultant Agreements.

Local Agency A&E Professional Services Negotiated Hourly Rate Consultant Agreement

Agreement Number:

Firm/Organization Legal Name (do not use dba's): V+M Structural Design, Inc.	
Address 2212 Queen Anne Ave N #530, Seattle, WA 98109	Federal Aid Number n/a
UBI Number 604287646	Federal TIN 83-0615837
Execution Date	Completion Date December 31, 2025
1099 Form Required <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Federal Participation <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Project Title Structural Engineering & Bridge Program On-Call	
Description of Work Provide comprehensive professional services in support of the City's structural engineering and Bridge Program needs including project management, program development, construction management and inspection, engineering expertise, and other related services.	
<input type="checkbox"/> Yes <input type="checkbox"/> No DBE Participation <input type="checkbox"/> Yes <input type="checkbox"/> No MBE Participation <input type="checkbox"/> Yes <input type="checkbox"/> No WBE Participation <input type="checkbox"/> Yes <input type="checkbox"/> No SBE Participation	Maximum Amount Payable: \$600,000

Index of Exhibits

- Exhibit A Scope of Work
- Exhibit B DBE Participation
- Exhibit C Preparation and Delivery of Electronic Engineering and Other Data
- Exhibit D Prime Consultant Cost Computations
- Exhibit E Sub-consultant Cost Computations
- Exhibit F Title VI Assurances
- Exhibit G Certification Documents
- ~~Exhibit H Liability Insurance Increase~~ NA
- Exhibit I Alleged Consultant Design Error Procedures
- Exhibit J Consultant Claim Procedures

THIS AGREEMENT, made and entered into as shown in the “Execution Date” box on page one (1) of this AGREEMENT, between the City of Redmond, hereinafter called the “AGENCY,” and the “Firm / Organization Name” referenced on page one (1) of this AGREEMENT, hereinafter called the “CONSULTANT.”

WHEREAS, the AGENCY desires to accomplish the work referenced in “Description of Work” on page one (1) of this AGREEMENT and hereafter called the “SERVICES;” and does not have sufficient staff to meet the required commitment and therefore deems it advisable and desirable to engage the assistance of a CONSULTANT to provide the necessary SERVICES; and

WHEREAS, the CONSULTANT represents that they comply with the Washington State Statutes relating to professional registration, if applicable, and has signified a willingness to furnish consulting services to the AGENCY.

NOW, THEREFORE, in consideration of the terms, conditions, covenants, and performance contained herein, or attached and incorporated and made a part hereof, the parties hereto agree as follows:

I. General Description of Work

The work under this AGREEMENT shall consist of the above-described SERVICES as herein defined, and necessary to accomplish the completed work for this project. The CONSULTANT shall furnish all services, labor, and related equipment and, if applicable, sub-consultants and subcontractors necessary to conduct and complete the SERVICES as designated elsewhere in this AGREEMENT.

II. General Scope of Work

The Scope of Work and projected level of effort required for these SERVICES is described in Exhibit “A” attached hereto and by this reference made a part of this AGREEMENT. The General Scope of Work was developed utilizing performance based contracting methodologies.

III. General Requirements

All aspects of coordination of the work of this AGREEMENT with outside agencies, groups, or individuals shall receive advance approval by the AGENCY. Necessary contacts and meetings with agencies, groups, and/or individuals shall be coordinated through the AGENCY. The CONSULTANT shall attend coordination, progress, and presentation meetings with the AGENCY and/or such State, Federal, Community, City, or County officials, groups or individuals as may be requested by the AGENCY. The AGENCY will provide the CONSULTANT sufficient notice prior to meetings requiring CONSULTANT participation. The minimum required hours or days’ notice shall be agreed to between the AGENCY and the CONSULTANT and shown in Exhibit “A.”

The CONSULTANT shall prepare a monthly progress report, in a form approved by the AGENCY, which will outline in written and graphical form the various phases and the order of performance of the SERVICES in sufficient detail so that the progress of the SERVICES can easily be evaluated.

The CONSULTANT, any sub-consultants, and the AGENCY shall comply with all Federal, State, and local laws, rules, codes, regulations, and all AGENCY policies and directives, applicable to the work to be performed under this AGREEMENT. This AGREEMENT shall be interpreted and construed in accordance with the laws of the State of Washington.

Participation for Disadvantaged Business Enterprises (DBE) or Small Business Enterprises (SBE), if required, per 49 CFR Part 26, shall be shown on the heading of this AGREEMENT. If DBE firms are utilized at the commencement of this AGREEMENT, the amounts authorized to each firm and their certification number will be shown on Exhibit “B” attached hereto and by this reference made part of this AGREEMENT. If the Prime CONSULTANT is, a DBE certified firm they must comply with the Commercial Useful Function (CUF) regulation outlined in the AGENCY’s “DBE Program Participation Plan” and perform a minimum of 30% of the total amount of this AGREEMENT. It is recommended, but not required, that non-DBE Prime CONSULTANTS perform a minimum of 30% of the total amount of this AGREEMENT.

In the absence of a mandatory DBE goal, a voluntary SBE goal amount of ten percent of the Consultant Agreement is established. The Consultant shall develop a SBE Participation Plan prior to commencing work. Although the goal is voluntary, the outreach efforts to provide SBE maximum practicable opportunities are not.

The CONSULTANT, on a monthly basis, shall enter the amounts paid to all firms (including Prime) involved with this AGREEMENT into the wsdot.diversitycompliance.com program. Payment information shall identify any DBE Participation.

All Reports, PS&E materials, and other data furnished to the CONSULTANT by the AGENCY shall be returned. All electronic files, prepared by the CONSULTANT, must meet the requirements as outlined in Exhibit “C – Preparation and Delivery of Electronic Engineering and other Data.”

All designs, drawings, specifications, documents, and other work products, including all electronic files, prepared by the CONSULTANT prior to completion or termination of this AGREEMENT are instruments of service for these SERVICES, and are the property of the AGENCY. Reuse by the AGENCY or by others, acting through or on behalf of the AGENCY of any such instruments of service, not occurring, as a part of this SERVICE, shall be without liability or legal exposure to the CONSULTANT.

Any and all notices or requests required under this AGREEMENT shall be made in writing and sent to the other party by (i) certified mail, return receipt requested, or (ii) by email or facsimile, to the address set forth below:

If to AGENCY:

Name: Adnan Shabir
Agency: City of Redmond
Address: 15670 NE 85th St.
City: Redmond State: WA Zip: 98052
Email: ashabir@redmond.gov
Phone: 425-556-2776
Facsimile:

If to CONSULTANT:

Name: Shaun Valdovinos
Agency: V+M Structural Design
Address: 2212 Queen Anne Ave #530
City: Seattle State: WA Zip: 98109
Email: shaun@vm-structural.com
Phone: 206-880-1533
Facsimile: n/a

IV. Time for Beginning and Completion

The CONSULTANT shall not begin any work under the terms of this AGREEMENT until authorized in writing by the AGENCY. All work under this AGREEMENT shall conform to the criteria agreed upon detailed in the AGREEMENT documents. These SERVICES must be completed by the date shown in the heading of this AGREEMENT titled “Completion Date.”

The established completion time shall not be extended because of any delays attributable to the CONSULTANT, but may be extended by the AGENCY in the event of a delay attributable to the AGENCY, or because of unavoidable delays caused by an act of GOD, governmental actions, or other conditions beyond the control of the CONSULTANT. A prior supplemental AGREEMENT issued by the AGENCY is required to extend the established completion time.

V. Payment Provisions

The CONSULTANT shall be paid by the AGENCY for completed SERVICES rendered under this AGREEMENT as provided hereinafter. Such payment shall be full compensation for SERVICES performed or SERVICES rendered and for all labor, materials, supplies, equipment, and incidentals necessary to complete SERVICES. The CONSULTANT shall conform to all applicable portions of 48 CFR Part 31 (www.ecfr.gov).

- A. Hourly Rates: Hourly rates are comprised of the following elements - Direct (Raw) Labor, Indirect Cost Rate, and Fee (Profit). The CONSULTANT shall be paid by the AGENCY for work done, based upon the negotiated hourly rates shown in Exhibits “D” and “E” attached hereto and by reference made part of this AGREEMENT. These negotiated hourly rates will be accepted based on a review of the CONSULTANT’s direct labor rates and indirect cost rate computations and agreed upon fee. The accepted negotiated rates shall be memorialized in a final written acknowledgment between the parties. Such final written acknowledgment shall be incorporated into, and become a part of, this AGREEMENT. The initially accepted negotiated rates shall be applicable from the approval date, as memorialized in a final written acknowledgment, to 180 days following the CONSULTANT’s fiscal year end (FYE) date.

The direct (raw) labor rates and classifications, as shown on Exhibits “D” and “E” shall be subject to renegotiations for each subsequent twelve (12) month period (180 days following FYE date to 180 days following FYE date) upon written request of the CONSULTANT or the AGENCY. The written request must be made to the other party within ninety (90) days following the CONSULTANT’s FYE date. If no such written request is made, the current direct (raw) labor rates and classifications as shown on Exhibits “D” and “E” will remain in effect for the twelve (12) month period.

Conversely, if a timely request is made in the manner set forth above, the parties will commence negotiations to determine the new direct (raw) labor rates and classifications that will be applicable for the twelve (12) month period. Any agreed to renegotiated rates shall be memorialized in a final written acknowledgment between the parties. Such final written acknowledgment shall be incorporated into, and become a part of, this AGREEMENT. If requested, the CONSULTANT shall provide current payroll register and classifications to aid in negotiations. If the parties cannot reach an agreement on the direct (raw) labor rates and classifications, the AGENCY shall perform an audit of the CONSULTANT’s books and records to determine the CONSULTANT’s actual costs. The audit findings will establish the direct (raw) labor rates and classifications that will be applicable for the twelve (12) month period.

The fee as identified in Exhibits “D” and “E” shall represent a value to be applied throughout the life of the AGREEMENT.

The CONSULTANT shall submit annually to the AGENCY an updated indirect cost rate within 180 days of the close of its fiscal year. An approved updated indirect cost rate shall be included in the current fiscal year rate under this AGREEMENT, even if/when other components of the hourly rate are not renegotiated. These rates will be applicable for the twelve (12) month period. At the AGENCY’s option, a provisional and/or conditional indirect cost rate may be negotiated. This provisional or conditional indirect rate shall remain in effect until the updated indirect cost rate is completed and approved. Indirect cost rate costs incurred during the provisional or conditional period will not be adjusted. The CONSULTANT may request an extension of the last approved indirect cost rate for the twelve (12) month period. These requests for provisional indirect cost rate and/or extension will be considered on a case-by-case basis, and if granted, will be memorialized in a final written acknowledgment.

The CONSULTANT shall maintain and have accessible support data for verification of the components of the hourly rates, i.e., direct (raw) labor, indirect cost rate, and fee (profit) percentage. The CONSULTANT shall bill each employee’s actual classification, and actual salary plus indirect cost rate plus fee.

- A. Direct Non-Salary Costs: Direct Non-Salary Costs will be reimbursed at the actual cost to the CONSULTANT. These charges may include, but are not limited to, the following items: travel, printing, long distance telephone, supplies, computer charges, and fees of sub-consultants. Air or train travel will be reimbursed only to lowest price available, unless otherwise approved by the AGENCY. The CONSULTANT shall comply with the rules and regulations regarding travel costs (excluding air, train, and rental car costs) in accordance with the WSDOT's Accounting Manual M 13-82, Chapter 10 – Travel Rules and Procedures, and all revisions thereto. Air, train, and rental car costs shall be reimbursed in accordance with 48 Code of Federal Regulations (CFR) Part 31.205-46 "Travel Costs." The billing for Direct Non-salary Costs shall include an itemized listing of the charges directly identifiable with these SERVICES. The CONSULTANT shall maintain the original supporting documents in their office. Copies of the original supporting documents shall be supplied to the STATE upon request. All above charges must be necessary for the SERVICES provided under this AGREEMENT.
- B. Maximum Amount Payable: The Maximum Amount Payable by the AGENCY to the CONSULTANT under this AGREEMENT shall not exceed the amount shown in the heading of this AGREEMENT on page one (1.) The Maximum Amount Payable does not include payment for extra work as stipulated in section XIII, "Extra Work." No minimum amount payable is guaranteed under this AGREEMENT.
- C. Monthly Progress Payments: Progress payments may be claimed on a monthly basis for all costs authorized in A and B above. Detailed statements shall support the monthly billings for hours expended at the rates established in Exhibit "D," including names and classifications of all employees, and billings for all direct non-salary expenses. To provide a means of verifying the billed salary costs for the CONSULTANT's employees, the AGENCY may conduct employee interviews. These interviews may consist of recording the names, titles, salary rates, and present duties of those employees performing work on the SERVICES at the time of the interview.
- D. Final Payment: Final Payment of any balance due the CONSULTANT of the gross amount earned will be made promptly upon its verification by the AGENCY after the completion of the SERVICES under this AGREEMENT, contingent upon receipt of all PS&E, plans, maps, notes, reports, electronic data, and other related documents, which are required to be furnished under this AGREEMENT. Acceptance of such Final Payment by the CONSULTANT shall constitute a release of all claims for payment, which the CONSULTANT may have against the AGENCY unless such claims are specifically reserved in writing and transmitted to the AGENCY by the CONSULTANT prior to its acceptance. Said Final Payment shall not, however, be a bar to any claims that the AGENCY may have against the CONSULTANT or to any remedies the AGENCY may pursue with respect to such claims.

The payment of any billing will not constitute agreement as to the appropriateness of any item and at the time of final audit all required adjustments will be made and reflected in a final payment. In the event that such final audit reveals an overpayment to the CONSULTANT, the CONSULTANT will refund such overpayment to the AGENCY within thirty (30) calendar days of notice of the overpayment. Such refund shall not constitute a waiver by the CONSULTANT for any claims relating to the validity of a finding by the AGENCY of overpayment. Per WSDOT's "Audit Guide for Consultants," Chapter 23 "Resolution Procedures," the CONSULTANT has twenty (20) working days after receipt of the final Post Audit to begin the appeal process to the AGENCY for audit findings

E. Inspection of Cost Records: The CONSULTANT and their sub-consultants shall keep available for inspection by representatives of the AGENCY and the United States, for a period of six (6) years after receipt of final payment, the cost records and accounts pertaining to this AGREEMENT and all items related to or bearing upon these records with the following exception: if any litigation, claim or audit arising out of, in connection with, or related to this AGREEMENT is initiated before the expiration of the six (6) year period, the cost records and accounts shall be retained until such litigation, claim, or audit involving the records is completed. An interim or post audit may be performed on this AGREEMENT. The audit, if any, will be performed by the State Auditor, WSDOT's Internal Audit Office and /or at the request of the AGENCY's Project Manager.

VI. Sub-Contracting

The AGENCY permits subcontracts for those items of SERVICES as shown in Exhibit “A” attached hereto and by this reference made part of this AGREEMENT.

The CONSULTANT shall not subcontract for the performance of any SERVICE under this AGREEMENT without prior written permission of the AGENCY. No permission for subcontracting shall create, between the AGENCY and sub-consultant, any contract or any other relationship.

Compensation for this sub-consultant SERVICES shall be based on the cost factors shown on Exhibit “E” attached hereto and by this reference made part of this AGREEMENT.

The SERVICES of the sub-consultant shall not exceed its maximum amount payable identified in each sub consultant cost estimate unless a prior written approval has been issued by the AGENCY.

All reimbursable direct labor, indirect cost rate, direct non-salary costs and fee costs for the sub-consultant shall be negotiated and substantiated in accordance with section V “Payment Provisions” herein and shall be memorialized in a final written acknowledgment between the parties

All subcontracts shall contain all applicable provisions of this AGREEMENT, and the CONSULTANT shall require each sub-consultant or subcontractor, of any tier, to abide by the terms and conditions of this AGREEMENT. With respect to sub-consultant payment, the CONSULTANT shall comply with all applicable sections of the STATE’s Prompt Payment laws as set forth in RCW 39.04.250 and RCW 39.76.011.

The CONSULTANT, sub-recipient, or sub-consultant shall not discriminate on the basis of race, color, national origin, or sex in the performance of this AGREEMENT. The CONSULTANT shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the CONSULTANT to carry out these requirements is a material breach of this AGREEMENT, which may result in the termination of this AGREEMENT or such other remedy as the recipient deems appropriate.

VII. Employment and Organizational Conflict of Interest

The CONSULTANT warrants that they have not employed or retained any company or person, other than a bona fide employee working solely for the CONSULTANT, to solicit or secure this contract, and that it has not paid or agreed to pay any company or person, other than a bona fide employee working solely for the CONSULTANT, any fee, commission, percentage, brokerage fee, gift, or any other consideration, contingent upon or resulting from the award or making of this agreement. For breach or violation of this warrant, the AGENCY shall have the right to annul this AGREEMENT without liability or, in its discretion, to deduct from this AGREEMENT price or consideration or otherwise recover the full amount of such fee, commission, percentage, brokerage fee, gift, or contingent fee.

Any and all employees of the CONSULTANT or other persons while engaged in the performance of any work or services required of the CONSULTANT under this AGREEMENT, shall be considered employees of the CONSULTANT only and not of the AGENCY, and any and all claims that may arise under any Workmen’s Compensation Act on behalf of said employees or other persons while so engaged, and any and all claims made by a third party as a consequence of any act or omission on the part of the CONSULTANT’s employees or other persons while so engaged on any of the work or services provided to be rendered herein, shall be the sole obligation and responsibility of the CONSULTANT.

The CONSULTANT shall not engage, on a full- or part-time basis, or other basis, during the period of this AGREEMENT, any professional or technical personnel who are, or have been, at any time during the period of this AGREEMENT, in the employ of the United States Department of Transportation or the AGENCY, except regularly retired employees, without written consent of the public employer of such person if he/she will be working on this AGREEMENT for the CONSULTANT.

Agreement Number:

VIII. Nondiscrimination

During the performance of this AGREEMENT, the CONSULTANT, for itself, its assignees, sub-consultants, subcontractors and successors in interest, agrees to comply with the following laws and regulations:

- Title VI of the Civil Rights Act of 1964 (42 U.S.C. Chapter 21 Subchapter V § 2000d through 2000d-4a)
- Federal-aid Highway Act of 1973 (23 U.S.C. Chapter 3 § 324)
- Rehabilitation Act of 1973 (29 U.S.C. Chapter 16 Subchapter V § 794)
- Age Discrimination Act of 1975 (42 U.S.C. Chapter 76 § 6101 *et. seq.*)
- Civil Rights Restoration Act of 1987 (Public Law 100-259)
- American with Disabilities Act of 1990 (42 U.S.C. Chapter 126 § 12101 *et. seq.*)
- 23 CFR Part 200
- 49 CFR Part 21
- 49 CFR Part 26
- RCW 49.60.180

In relation to Title VI of the Civil Rights Act of 1964, the CONSULTANT is bound by the provisions of Exhibit “F” attached hereto and by this reference made part of this AGREEMENT, and shall include the attached Exhibit “F” in every sub-contract, including procurement of materials and leases of equipment, unless exempt by the Regulations or directives issued pursuant thereto.

IX. Termination of Agreement

The right is reserved by the AGENCY to terminate this AGREEMENT at any time with or without cause upon ten (10) days written notice to the CONSULTANT.

In the event this AGREEMENT is terminated by the AGENCY, other than for default on the part of the CONSULTANT, a final payment shall be made to the CONSULTANT for actual hours charged at the time of termination of this AGREEMENT, plus any direct non-salary costs incurred up to the time of termination of this AGREEMENT.

No payment shall be made for any SERVICES completed after ten (10) days following receipt by the CONSULTANT of the notice to terminate. If the accumulated payment made to the CONSULTANT prior to Notice of Termination exceeds the total amount that would be due when computed as set forth in paragraph two (2) of this section, then no final payment shall be due and the CONSULTANT shall immediately reimburse the AGENCY for any excess paid.

If the services of the CONSULTANT are terminated by the AGENCY for default on the part of the CONSULTANT, the above formula for payment shall not apply.

In the event of a termination for default, the amount to be paid to the CONSULTANT shall be determined by the AGENCY with consideration given to the actual costs incurred by the CONSULTANT in performing SERVICES to the date of termination, the amount of SERVICES originally required which was satisfactorily completed to date of termination, whether that SERVICE is in a form or a type which is usable to the AGENCY at the time of termination, the cost to the AGENCY of employing another firm to complete the SERVICES required and the time which may be required to do so, and other factors which affect the value to the AGENCY of the SERVICES performed at the time of termination. Under no circumstances shall payment made under this subsection exceed the amount, which would have been made using the formula set forth in paragraph two (2) of this section.

If it is determined for any reason, that the CONSULTANT was not in default or that the CONSULTANT’s failure to perform is without the CONSULTANT’s or its employee’s fault or negligence, the termination shall be deemed to be a termination for the convenience of the AGENCY. In such an event, the CONSULTANT would be reimbursed for actual costs in accordance with the termination for other than default clauses listed previously.

The CONSULTANT shall, within 15 days, notify the AGENCY in writing, in the event of the death of any member, partner, or officer of the CONSULTANT or the death or change of any of the CONSULTANT's supervisory and/or other key personnel assigned to the project or disaffiliation of any principally involved CONSULTANT employee.

The CONSULTANT shall also notify the AGENCY, in writing, in the event of the sale or transfer of 50% or more of the beneficial ownership of the CONSULTANT within 15 days of such sale or transfer occurring. The CONSULTANT shall continue to be obligated to complete the SERVICES under the terms of this AGREEMENT unless the AGENCY chooses to terminate this AGREEMENT for convenience or chooses to renegotiate any term(s) of this AGREEMENT. If termination for convenience occurs, final payment will be made to the CONSULTANT as set forth in the second and third paragraphs of this section.

Payment for any part of the SERVICES by the AGENCY shall not constitute a waiver by the AGENCY of any remedies of any type it may have against the CONSULTANT for any breach of this AGREEMENT by the CONSULTANT, or for failure of the CONSULTANT to perform SERVICES required of it by the AGENCY.

Forbearance of any rights under the AGREEMENT will not constitute waiver of entitlement to exercise those rights with respect to any future act or omission by the CONSULTANT.

X. Changes of Work

The CONSULTANT shall make such changes and revisions in the completed work of this AGREEMENT as necessary to correct errors appearing therein, without additional compensation thereof. Should the AGENCY find it desirable for its own purposes to have previously satisfactorily completed SERVICES or parts thereof changed or revised, the CONSULTANT shall make such revisions as directed by the AGENCY. This work shall be considered as Extra Work and will be paid for as herein provided under section XIII "Extra Work."

XI. Disputes

Any disputed issue not resolved pursuant to the terms of this AGREEMENT shall be submitted in writing within 10 days to the Director of Public Works or AGENCY Engineer, whose decision in the matter shall be final and binding on the parties of this AGREEMENT; provided however, that if an action is brought challenging the Director of Public Works or AGENCY Engineer's decision, that decision shall be subject to judicial review. If the parties to this AGREEMENT mutually agree, disputes concerning alleged design errors will be conducted under the procedures found in Exhibit "J". In the event that either party deem it necessary to institute legal action or proceeding to enforce any right or obligation under this AGREEMENT, this action shall be initiated in the Superior Court of the State of Washington, situated in the county in which the AGENCY is located. The parties hereto agree that all questions shall be resolved by application of Washington law and that the parties have the right of appeal from such decisions of the Superior Court in accordance with the laws of the State of Washington. The CONSULTANT hereby consents to the personal jurisdiction of the Superior Court of the State of Washington, situated in the county in which the AGENCY is located.

XII. Legal Relations

The CONSULTANT, any sub-consultants, and the AGENCY shall comply with all Federal, State, and local laws, rules, codes, regulations and all AGENCY policies and directives, applicable to the work to be performed under this AGREEMENT. This AGREEMENT shall be interpreted and construed in accordance with the laws of the State of Washington.

The CONSULTANT shall defend, indemnify, and hold the State of Washington (STATE) and the AGENCY and their officers and employees harmless from all claims, demands, or suits at law or equity arising in whole or in part from the negligence of, or the breach of any obligation under this AGREEMENT by, the CONSULTANT or the CONSULTANT's agents, employees, sub consultants, subcontractors or vendors, of any tier, or any other persons for whom the CONSULTANT may be legally liable; provided that nothing herein shall require a CONSULTANT

to defend or indemnify the STATE and the AGENCY and their officers and employees against and hold harmless the STATE and the AGENCY and their officers and employees from claims, demands or suits based solely upon the negligence of, or breach of any obligation under this AGREEMENT by the STATE and the AGENCY, their agents, officers, employees, sub-consultants, subcontractors or vendors, of any tier, or any other persons for whom the STATE and /or the AGENCY may be legally liable; and provided further that if the claims or suits are caused by or result from the concurrent negligence of (a) the CONSULTANT or the CONSULTANT's agents, employees, sub-consultants, subcontractors or vendors, of any tier, or any other persons for whom the CONSULTANT is legally liable, and (b) the STATE and/or AGENCY, their agents, officers, employees, sub-consultants, subcontractors and or vendors, of any tier, or any other persons for whom the STATE and/or AGENCY may be legally liable, the defense and indemnity obligation shall be valid and enforceable only to the extent of the CONSULTANT's negligence or the negligence of the CONSULTANT's agents, employees, sub-consultants, subcontractors or vendors, of any tier, or any other persons for whom the CONSULTANT may be legally liable. This provision shall be included in any AGREEMENT between CONSULTANT and any sub-consultant, subcontractor and vendor, of any tier.

The CONSULTANT shall also defend, indemnify, and hold the STATE and the AGENCY and their officers and employees harmless from all claims, demands, or suits at law or equity arising in whole or in part from the alleged patent or copyright infringement or other allegedly improper appropriation or use of trade secrets, patents, proprietary information, know-how, copyright rights or inventions by the CONSULTANT or the CONSULTANT's agents, employees, sub-consultants, subcontractors or vendors, of any tier, or any other persons for whom the CONSULTANT may be legally liable, in performance of the Work under this AGREEMENT or arising out of any use in connection with the AGREEMENT of methods, processes, designs, information or other items furnished or communicated to STATE and/or the AGENCY, their agents, officers and employees pursuant to the AGREEMENT; provided that this indemnity shall not apply to any alleged patent or copyright infringement or other allegedly improper appropriation or use of trade secrets, patents, proprietary information, know-how, copyright rights or inventions resulting from STATE and/or AGENCY's, their agents', officers and employees' failure to comply with specific written instructions regarding use provided to STATE and/or AGENCY, their agents, officers and employees by the CONSULTANT, its agents, employees, sub-consultants, subcontractors or vendors, of any tier, or any other persons for whom the CONSULTANT may be legally liable.

The CONSULTANT's relation to the AGENCY shall be at all times as an independent contractor.

Notwithstanding any determination by the Executive Ethics Board or other tribunal, the AGENCY may, in its sole discretion, by written notice to the CONSULTANT terminate this AGREEMENT if it is found after due notice and examination by the AGENCY that there is a violation of the Ethics in Public Service Act, Chapter 42.52 RCW; or any similar statute involving the CONSULTANT in the procurement of, or performance under, this AGREEMENT.

The CONSULTANT specifically assumes potential liability for actions brought by the CONSULTANT's own employees or its agents against the STATE and/or the AGENCY and, solely for the purpose of this indemnification and defense, the CONSULTANT specifically waives any immunity under the state industrial insurance law, Title 51 RCW. The Parties have mutually negotiated this waiver.

Unless otherwise specified in this AGREEMENT, the AGENCY shall be responsible for administration of construction contracts, if any, on the project. Subject to the processing of a new sole source, or an acceptable supplemental AGREEMENT, the CONSULTANT shall provide On-Call assistance to the AGENCY during contract administration. By providing such assistance, the CONSULTANT shall assume no responsibility for proper construction techniques, job site safety, or any construction contractor's failure to perform its work in accordance with the contract documents.

The CONSULTANT shall obtain and keep in force during the terms of this AGREEMENT, or as otherwise required, the following insurance with companies or through sources approved by the State Insurance Commissioner pursuant to Title 48 RCW.

Insurance Coverage

- A. Worker’s compensation and employer’s liability insurance as required by the STATE.
- B. Commercial general liability insurance written under ISO Form CG 00 01 12 04 or its equivalent with minimum limits of one million dollars (\$1,000,000.00) per occurrence and two million dollars (\$2,000,000.00) in the aggregate for each policy period.
- C. Business auto liability insurance written under ISO Form CG 00 01 10 01 or equivalent providing coverage for any “Auto” (Symbol 1) used in an amount not less than a one million dollar (\$1,000,000.00) combined single limit for each occurrence.

Excepting the Worker’s Compensation Insurance and any Professional Liability Insurance, the STATE and AGENCY, their officers, employees, and agents will be named on all policies of CONSULTANT and any sub-consultant and/or subcontractor as an additional insured (the “AIs”), with no restrictions or limitations concerning products and completed operations coverage. This coverage shall be primary coverage and non-contributory and any coverage maintained by the AIs shall be excess over, and shall not contribute with, the additional insured coverage required hereunder. The CONSULTANT’s and the sub-consultant’s and/or subcontractor’s insurer shall waive any and all rights of subrogation against the AIs. The CONSULTANT shall furnish the AGENCY with verification of insurance and endorsements required by this AGREEMENT. The AGENCY reserves the right to require complete, certified copies of all required insurance policies at any time.

All insurance shall be obtained from an insurance company authorized to do business in the State of Washington. The CONSULTANT shall submit a verification of insurance as outlined above within fourteen (14) days of the execution of this AGREEMENT to:

Name: Adnan Shabir
 Agency: City of Redmond
 Address: 15670 NE 85th St.
 City: Redmond State: WA Zip: 98052
 Email: ashabir@redmond.gov
 Phone: 425-556-2776
 Facsimile:

No cancellation of the foregoing policies shall be effective without thirty (30) days prior notice to the AGENCY.

The CONSULTANT’s professional liability to the AGENCY, including that which may arise in reference to section IX “Termination of Agreement” of this AGREEMENT, shall be limited to the accumulative amount of the authorized AGREEMENT or one million dollars (\$1,000,000.00), whichever is greater, unless the limit of liability is increased by the AGENCY pursuant to Exhibit H. In no case shall the CONSULTANT’s professional liability to third parties be limited in any way.

The parties enter into this AGREEMENT for the sole benefit of the parties, and to the exclusion of any third party, and no third party beneficiary is intended or created by the execution of this AGREEMENT.

The AGENCY will pay no progress payments under section V “Payment Provisions” until the CONSULTANT has fully complied with this section. This remedy is not exclusive; and the AGENCY may take such other action as is available to it under other provisions of this AGREEMENT, or otherwise in law.

XIII. Extra Work

- A. The AGENCY may at any time, by written order, make changes within the general scope of this AGREEMENT in the SERVICES to be performed.
- B. If any such change causes an increase or decrease in the estimated cost of, or the time required for, performance of any part of the SERVICES under this AGREEMENT, whether or not changed by the order, or otherwise affects any other terms and conditions of this AGREEMENT, the AGENCY shall make an equitable adjustment in the: (1) maximum amount payable; (2) delivery or completion schedule, or both; and (3) other affected terms and shall modify this AGREEMENT accordingly.
- C. The CONSULTANT must submit any “request for equitable adjustment,” hereafter referred to as “CLAIM,” under this clause within thirty (30) days from the date of receipt of the written order. However, if the AGENCY decides that the facts justify it, the AGENCY may receive and act upon a CLAIM submitted before final payment of this AGREEMENT.
- D. Failure to agree to any adjustment shall be a dispute under the section XI “Disputes” clause. However, nothing in this clause shall excuse the CONSULTANT from proceeding with the AGREEMENT as changed.
- E. Notwithstanding the terms and conditions of paragraphs (A.) and (B.) above, the maximum amount payable for this AGREEMENT, shall not be increased or considered to be increased except by specific written supplement to this AGREEMENT.

XIV. Endorsement of Plans

If applicable, the CONSULTANT shall place their endorsement on all plans, estimates, or any other engineering data furnished by them.

XV. Federal Review

The Federal Highway Administration shall have the right to participate in the review or examination of the SERVICES in progress.

XVI. Certification of the Consultant and the Agency

Attached hereto as Exhibit “G-1(a and b)” are the Certifications of the CONSULTANT and the AGENCY, Exhibit “G-2” Certification Regarding Debarment, Suspension and Other Responsibility Matters - Primary Covered Transactions, Exhibit “G-3” Certification Regarding the Restrictions of the Use of Federal Funds for Lobbying and Exhibit “G-4” Certificate of Current Cost or Pricing Data. Exhibit “G-3” is required only in AGREEMENTS over one hundred thousand dollars (\$100,000.00) and Exhibit “G-4” is required only in AGREEMENTS over five hundred thousand dollars (\$500,000.00.) These Exhibits must be executed by the CONSULTANT, and submitted with the master AGREEMENT, and returned to the AGENCY at the address listed in section III “General Requirements” prior to its performance of any SERVICES under this AGREEMENT.

XVII. Complete Agreement

This document and referenced attachments contain all covenants, stipulations, and provisions agreed upon by the parties. No agent, or representative of either party has authority to make, and the parties shall not be bound by or be liable for, any statement, representation, promise or agreement not set forth herein. No changes, amendments, or modifications of the terms hereof shall be valid unless reduced to writing and signed by the parties as a supplement to this AGREEMENT.

XVIII. Execution and Acceptance

This AGREEMENT may be simultaneously executed in several counterparts, each of which shall be deemed to be an original having identical legal effect. The CONSULTANT does hereby ratify and adopt all statements, representations, warranties, covenants, and AGREEMENT’s contained in the proposal, and the supporting material submitted by the CONSULTANT, and does hereby accept this AGREEMENT and agrees to all of the terms and conditions thereof.

XIX. Protection of Confidential Information

The CONSULTANT acknowledges that some of the material and information that may come into its possession or knowledge in connection with this AGREEMENT or its performance may consist of information that is exempt from disclosure to the public or other unauthorized persons under either chapter 42.56 RCW or other local, state, or federal statutes (“State’s Confidential Information”). The “State’s Confidential Information” includes, but is not limited to, names, addresses, Social Security numbers, e-mail addresses, telephone numbers, financial profiles credit card information, driver’s license numbers, medical data, law enforcement records (or any other information identifiable to an individual), STATE and AGENCY source code or object code, STATE and AGENCY security data, non-public Specifications, STATE and AGENCY non-publicly available data, proprietary software, STATE and AGENCY security data, or information which may jeopardize any part of the project that relates to any of these types of information. The CONSULTANT agrees to hold the State’s Confidential Information in strictest confidence and not to make use of the State’s Confidential Information for any purpose other than the performance of this AGREEMENT, to release it only to authorized employees, sub-consultants or subcontractors requiring such information for the purposes of carrying out this AGREEMENT, and not to release, divulge, publish, transfer, sell, disclose, or otherwise make it known to any other party without the AGENCY’s express written consent or as provided by law. The CONSULTANT agrees to release such information or material only to employees, sub-consultants or subcontractors who have signed a nondisclosure AGREEMENT, the terms of which have been previously approved by the AGENCY. The CONSULTANT agrees to implement physical, electronic, and managerial safeguards to prevent unauthorized access to the State’s Confidential Information.

Immediately upon expiration or termination of this AGREEMENT, the CONSULTANT shall, at the AGENCY’s option: (i) certify to the AGENCY that the CONSULTANT has destroyed all of the State’s Confidential Information; or (ii) returned all of the State’s Confidential Information to the AGENCY; or (iii) take whatever other steps the AGENCY requires of the CONSULTANT to protect the State’s Confidential Information.

As required under Executive Order 00-03, the CONSULTANT shall maintain a log documenting the following: the State’s Confidential Information received in the performance of this AGREEMENT; the purpose(s) for which the State’s Confidential Information was received; who received, maintained, and used the State’s Confidential Information; and the final disposition of the State’s Confidential Information. The CONSULTANT’s records shall be subject to inspection, review, or audit upon reasonable notice from the AGENCY.

The AGENCY reserves the right to monitor, audit, or investigate the use of the State’s Confidential Information collected, used, or acquired by the CONSULTANT through this AGREEMENT. The monitoring, auditing, or investigating may include, but is not limited to, salting databases.

Violation of this section by the CONSULTANT or its sub-consultants or subcontractors may result in termination of this AGREEMENT and demand for return of all State’s Confidential Information, monetary damages, or penalties

It is understood and acknowledged that the CONSULTANT may provide the AGENCY with information, which is proprietary and/or confidential during the term of this AGREEMENT. The parties agree to maintain the confidentiality of such information during the term of this AGREEMENT and afterwards. All materials containing such proprietary and/or confidential information shall be clearly identified and marked as “Confidential” and shall be returned to the disclosing party at the conclusion of the SERVICES under this AGREEMENT.

The CONSULTANT shall provide the AGENCY with a list of all information and materials it considers confidential and/or proprietary in nature: (a) at the commencement of the term of this AGREEMENT, or (b) as soon as such confidential or proprietary material is developed. "Proprietary and/or confidential information" is not meant to include any information which, at the time of its disclosure: (i) is already known to the other party; (ii) is rightfully disclosed to one of the parties by a third party that is not acting as an agent or representative for the other party; (iii) is independently developed by or for the other party; (iv) is publicly known; or (v) is generally utilized by unaffiliated third parties engaged in the same business or businesses as the CONSULTANT.

The parties also acknowledge that the AGENCY is subject to Washington State and federal public disclosure laws. As such, the AGENCY shall maintain the confidentiality of all such information marked proprietary and or confidential or otherwise exempt, unless such disclosure is required under applicable state or federal law. If a public disclosure request is made to view materials identified as "Proprietary and/or confidential information" or otherwise exempt information, the AGENCY will notify the CONSULTANT of the request and of the date that such records will be released to the requester unless the CONSULTANT obtains a court order from a court of competent jurisdiction enjoining that disclosure. If the CONSULTANT fails to obtain the court order enjoining disclosure, the AGENCY will release the requested information on the date specified.

The CONSULTANT agrees to notify the sub-consultant of any AGENCY communication regarding disclosure that may include a sub-consultant's proprietary and/or confidential information. The CONSULTANT notification to the sub-consultant will include the date that such records will be released by the AGENCY to the requester and state that unless the sub-consultant obtains a court order from a court of competent jurisdiction enjoining that disclosure the AGENCY will release the requested information. If the CONSULTANT and/or sub-consultant fail to obtain a court order or other judicial relief enjoining the AGENCY by the release date, the CONSULTANT shall waive and release and shall hold harmless and indemnify the AGENCY from all claims of actual or alleged damages, liabilities, or costs associated with the AGENCY's said disclosure of sub-consultants' information.

XX. Records Maintenance

During the progress of the Work and SERVICES provided hereunder and for a period of not less than six (6) years from the date of final payment to the CONSULTANT, the CONSULTANT shall keep, retain, and maintain all "documents" pertaining to the SERVICES provided pursuant to this AGREEMENT. Copies of all "documents" pertaining to the SERVICES provided hereunder shall be made available for review at the CONSULTANT's place of business during normal working hours. If any litigation, claim, or audit is commenced, the CONSULTANT shall cooperate with AGENCY and assist in the production of all such documents. "Documents" shall be retained until all litigation, claims or audit findings have been resolved even though such litigation, claim, or audit continues past the six (6) year retention period.

For purposes of this AGREEMENT, "documents" means every writing or record of every type and description, including electronically stored information ("ESI"), that is in the possession, control, or custody of the CONSULTANT, including, without limitation, any and all correspondences, contracts, AGREEMENTS, appraisals, plans, designs, data, surveys, maps, spreadsheets, memoranda, stenographic or handwritten notes, reports, records, telegrams, schedules, diaries, notebooks, logbooks, invoices, accounting records, work sheets, charts, notes, drafts, scribbles, recordings, visual displays, photographs, minutes of meetings, tabulations, computations, summaries, inventories, and writings regarding conferences, conversations or telephone conversations, and any and all other taped, recorded, written, printed or typed matters of any kind or description; every copy of the foregoing whether or not the original is in the possession, custody, or control of the CONSULTANT, and every copy of any of the foregoing, whether or not such copy is a copy identical to an original, or whether or not such copy contains any commentary or notation whatsoever that does not appear on the original.

For purposes of this AGREEMENT, "ESI" means any and all computer data or electronic recorded media of any kind, including "Native Files", that are stored in any medium from which it can be retrieved and examined, either directly or after translation into a reasonably useable form. ESI may include information and/or documentation stored in various software programs such as Email, Outlook, Word, Excel, Access, Publisher, PowerPoint, Adobe Acrobat, SQL databases, or any other software or electronic communication programs or databases that the CONSULTANT may use in the performance of its operations. ESI may be located on network servers, backup tapes, smart phones, thumb drives, CDs, DVDs, floppy disks, work computers, cell phones, laptops, or any other electronic device that CONSULTANT uses in the performance of its Work or SERVICES hereunder, including any personal devices used by the CONSULTANT or any sub-consultant at home.

"Native files" are a subset of ESI and refer to the electronic format of the application in which such ESI is normally created, viewed, and /or modified

The CONSULTANT shall include this section XX "Records Maintenance" in every subcontract it enters into in relation to this AGREEMENT and bind the sub-consultant to its terms, unless expressly agreed to otherwise in writing by the AGENCY prior to the execution of such subcontract.

In witness whereof, the parties hereto have executed this AGREEMENT as of the day and year shown in the "Execution Date" box on page one (1) of this AGREEMENT.



Signature

September 7, 2022

Date

Signature

Date

Any modification, change, or reformation of this AGREEMENT shall require approval as to form by the Office of the Attorney General.

Exhibit A
Scope of Work

Project No.

Exhibit A

Scope of Services for Structural Engineering On-Call Services

A. Description

Scope of Services. The CONSULTANT/CONTRACTOR has been retained to perform services for the City on an on-call basis during the term of this Agreement. By “on-call basis” it is meant that CONSULTANT/CONTRACTOR agrees to make itself available and to assign sufficient personnel to perform the services called for in this Agreement when and to the extent that the CITY requests the CONSULTANT/CONTRACTOR to do so.

Under this Agreement, the purpose of this Agreement is to provide comprehensive professional services in support of the Public Works department’s Structural Engineering and Bridge projects and program needs.

The specific on-call services to be provided are described as follows:

B. General Scope of Services

The CONSULTANT/CONTRACTOR will provide all labor, materials, equipment, and supplies to perform professional engineering services on a task order basis for various task assignment projects. These tasks may include, but are not limited to the following:

- Provide engineering and design services for yearly bridge preservation program and projects including development of project plans, specifications, and cost estimates.
- Assist city staff with project scoping and project estimation including field assessment and review of projects.
- Prepare design memorandums, technical memorandums, preliminary reports and final reports
- Assist city staff with yearly project selection and repair list
- Assist City staff in program development including prioritization strategies, budgeting, treatment selections, preservation strategies, program organization, other-City comparisons, and general asset management
- Provide design and construction management administration
- Provide peer reviews of other design document, plans, specifications, opinion of costs, or other related documents.
- Perform right-of-way and topographic surveying and mapping
- Provide construction inspection services
- Perform bridge inspections as needed
- Provide assistance with grant applications
- Provide public outreach assistance for projects including but not limited to preparation and distribution of outreach materials, call management, and website updates.
- Perform load ratings
- Perform scour analysis
- Perform seismic evaluation

- Determine bridge sufficiency rating
- Perform asset management including keeping bridge files up to date
- Respond to telephone inquiries and meet with City staff to discuss work product as needed
- Assist City staff in revising design guidance, standard details and specifications to meet the latest best practices.
- Respond to field questions regarding construction plans as needed.
- Provide graphic and artistic displays for publications and presentations.
- Provide BridgeWorks, Access database, Excel, Word, PowerPoint, and other software support.
- Provide drafting and GIS mapping support.
- Other Related Work Items:
 - Attendance and assistance at meetings
 - Coordination of work product with other service providers as needed.

Task Order Administration:

A. Period of Performance and Contract Value

This CONSULTANT/CONTRACTOR Agreement shall remain in effect until December 31, 2025. Any work authorized by task order before December 31, 2025, shall continue until the completion date designated in the task order.

At the City of Redmond's option, the contract may be extended for an additional two-year term based on the CITY'S need for continued services and CONSULTANT/CONTRACTOR'S performance.

The maximum value of this contract will not exceed six hundred thousand dollars (\$600,000); however there is no guarantee that the CITY will expend the entire value of this contract. Specifically, the CITY does not guarantee that the CONSULTANT/CONTRACTOR will receive a specified volume of work, a specific total contract amount, or a specific task order value. At the City's discretion the value of the contract could potentially be increased through supplemental agreements in an amount not to exceed six hundred thousand (\$600,000). The work will be conducted through task orders for specific pieces of work.

B. CONSULTANT/CONTRACTOR Resources and Time

Specific work under this contract will be performed on a task order basis consisting of individually negotiated task orders. Each task order will provide a specific scope, budget and schedule of the services required.

The CONSULTANT/ONTRACTOR will be expected to respond on short notice to requests for technical services to resolve urgent task orders. CONSULTANT/CONTRACTOR task orders will be coordinated with on-going work being performed by the CITY.

C. Task Order Process

1. For each individual task order, the project manager will issue a written or verbal “Task Order Request” to the CONSULTANT/CONTRACTOR. The task request will describe the nature and extent of the project, its scope & preliminary schedule.
2. Within five (5) calendar days of the time frame specified in the “task order request,” the CONSULTANT/CONTRACTOR will prepare a proposal that includes an applicable scope of work, schedule, and fees as well as identify key staff assignments and potential subconsultants. In case of emergency work, response time frame will be less than five (5) calendar days.
3. The CONSULTANT/CONTRACTOR and project manager will determine the detailed scope of work, project schedule consultant fee, and other project management details.
4. The project manager will provide a final approval of the task order with a signed task order sheet.
5. The CONSULTANT/CONTRACTOR will be paid on the basis of approved monthly invoices. Task orders will be invoiced in a manner to allow costs to identified by work performed under separate task orders.

The project manager will issue a written task release when work on a specific task order is complete and final payment for that task is authorized.

Exhibit B **DBE Participation Plan**

In the absence of a mandatory DBE goal, a voluntary SBE goal amount of ten percent of the Consultant Agreement is established. The Consultant shall develop a SBE Participation Plan prior to commencing work. Although the goal is voluntary, the outreach efforts to provide SBE maximum practicable opportunities are not.

V+M Structural Design, Inc. (V+M) is a registered US federal DBE firm (D5M0025864) and SBE certified in Washington State. V+M will seek to engage subconsultants that are certified as DBE/SBE firms where possible.

It is anticipated that the voluntary SBE goal of 10% would be met and exceeded for all work carried under this contract.

Preparation and Delivery of Electronic Engineering and Other Data

In this Exhibit the agency, as applicable, is to provide a description of the format and standards the consultant is to use in preparing electronic files for transmission to the agency. The format and standards to be provided may include, but are not limited to, the following:

I. Surveying, Roadway Design & Plans Preparation Section

A. Survey Data

Standard: City of Redmond Datum Control, State Plan Coordinate System
Format: Basemap in CAD/Civil3D 2013 or higher
Transmission: FTP, CD, Email, SharePoint

B. Roadway Design Files

Standard: City of Redmond, AASHTO, NACTOI, WSDOT Design Manual
Format: Basemap in CAD/Civil3D 2013 or higher
Transmission: FTP, CD, Email, SharePoint

C. Computer Aided Drafting Files

Standard: Consultant Drafting Standards, City of Redmond Title Block Format
Format: Basemap in CAD/Civil3D 2013 or higher
Transmission: FTP, CD, Email, SharePoint

D. Specify the Agency's Right to Review Product with the Consultant

Agency will retain the right to review all deliverable referenced in the Scope of Work Exhibit A

E. Specify the Electronic Deliverables to Be Provided to the Agency

Deliverables outlined in Scope of Work Exhibit A

F. Specify What Agency Furnished Services and Information Is to Be Provided

Agency furnished services and information outlined in Scope of Work Exhibit A

II. Any Other Electronic Files to Be Provided

Excel Spreadsheets
Word Documents
PDFs
WSDOT eForms

III. Methods to Electronically Exchange Data

Email, FTP, SharePoint

A. Agency Software Suite

N/A

B. Electronic Messaging System

N/A

C. File Transfers Format

PDF, Zip Files, Word, Excel,
Access, CAD

Exhibit D
Prime Consultant Cost Computations

Summary of Rates
Bridge Program On-Call
City of Redmond
V+M Structural Design, Inc

NEGOTIATED HOURLY RATES (NHRs)

Classification	Direct Rate (DR)	+	DR x ICR 110.00%	+	DR x FF 30%	=	NHR
Principal / PM	\$97.82		\$107.60		\$29.35		\$234.77
Sr Project Mngr	\$85.00		\$93.50		\$25.50		\$204.00
Sr Struct Engr	\$75.40		\$82.94		\$22.62		\$180.96
Bridge Engr 5	\$64.00		\$70.40		\$19.20		\$153.60
Bridge Engr 4/Sr Site Engineer	\$58.00		\$63.80		\$17.40		\$139.20
Bridge Engr 3	\$53.69		\$59.06		\$16.11		\$128.86
Bridge Engr 2	\$44.63		\$49.09		\$13.39		\$107.11
Bridge Engr 1	\$38.50		\$42.35		\$11.55		\$92.40
Drafting Tech 3	\$49.61		\$54.57		\$14.88		\$119.06
Drafting Tech 2	\$41.50		\$45.65		\$12.45		\$99.60
Drafting Tech 1	\$34.50		\$37.95		\$10.35		\$82.80
Planner	\$57.20		\$62.92		\$17.16		\$137.28
Admin	\$33.50		\$36.85		\$10.05		\$80.40

Negotiated Fee

Project Name:	Structural Engineering & Bridge Program On-Call
Project Number:	
Consultant:	V+M Structural Design
Estimated Design Fee:	\$600,000
Prepared By:	C. Wong / S. Valdovinos

Fee Computation

Factor	Rate	Weight	Value	
Degree of Risk	0.29	X 25	7.25	
Relative Difficulty of Work	0.30	X 20	6.00	
Estimated Design Fee	\$600,000	0.33 X 15	4.95	
Period of Performance	enter in months	36	0.35 X 15	5.25
Assistance by the Agency		0.30 X 15	4.50	
Subconsulting	enter %	10%	0.22 X 10	2.20
Negotiated Fee (% of DSC)		100	30 %	

Note: See Instructions for factor descriptions and rate guidelines. Minimum is .17 and maximum is .35.

Multiplier Computation

Component	Multiplier
Labor (DSC)	1.00
Overhead (OH)	1.10
Fee	0.30
Total Multiplier (DSC+OH+Fee)	2.40

October 26, 2020

Schaun Valdovinos, President
V+M Structural Design, Inc.
5 Smith St
Seattle, WA 98109-1833

- Re: V+M Structural Design, Inc.
Safe Harbor Indirect Cost Rate

Dear Mr. Valdovinos:

Washington State has received approval from our local Federal Highway Administration (FHWA) Division to continue administering the “safe harbor” indirect cost rate program on engineering and design related service contracts, as well as for Local Public Agency projects.

We have completed our risk assessment for V+M Structural Design, Inc. We conducted our assessment based on the documentation provided by the firm. The reviewed data included, but was not limited to, a description of the company, basis of accounting, accounting system and the basis of indirect costs. Based on our review, your firm is eligible to use the Safe Harbor rate. You have opted to use the Safe Harbor rate, rather than provide a FAR-compliant rate at this time.

We are issuing the Safe Harbor Indirect Cost Rate of 110% of direct labor with a field rate, where applicable, of 80% of direct labor for V+M Structural Design. The Safe Harbor rate is effective on October 26, 2020.

V+M Structural Design has agreed to improve Internal Controls and timekeeping processes to be able to develop an Indirect Cost Rate Schedule in the future in accordance with the Federal Acquisition Regulations (FAR), Subpart 31. The WSDOT Internal Audit Office has provided guidance and information related to FARs and the AASHTO Audit Guide. You may use the Safe Harbor Rate of 110%, or 80% for field office situations, for agreements entered prior to October 26, 2023. For agreements entered into after this date, please contact the WSDOT Consultant Services Office (CSO) or our office for guidance.

The Safe Harbor Rate will not be subject to audit. Please coordinate with CSO or your Local Programs contact if you have questions about when to apply the Safe Harbor rate to your agreement.

If you have any questions, please contact Steve McKerney or me at (360)705-7003.

Sincerely,


Schatzie Harvey, CPA
Agreement Compliance Audit Manager

cc: Steve McKerney, Director of Internal Audit
Larry Schofield, MS 47323
File

Exhibit E

Sub-consultant Cost Computations

If no sub-consultant participation listed at this time. The CONSULTANT shall not sub-contract for the performance of any work under this AGREEMENT without prior written permission of the AGENCY. Refer to section VI “Sub-Contracting” of this AGREEMENT.

Sub-consultants will be selected on a task order basis and rates agreed upon before issuance of task orders.

Exhibit F - Title VI Assurances Appendix A & E

APPENDIX A

During the performance of this contract, the contractor, for itself, its assignees, and successors in interest (hereinafter referred to as the "contractor") agrees as follows:

1. **Compliance with Regulations:** The contractor (hereinafter includes consultants) will comply with the Acts and the Regulations relative to Non-discrimination in Federally-assisted programs of the U.S. Department of Transportation, (*Federal Highway Administration*), as they may be amended from time to time, which are herein incorporated by reference and made a part of this contract.
2. **Non-discrimination:** The contractor, with regard to the work performed by it during the contract, will not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The contractor will not participate directly or indirectly in the discrimination prohibited by the Acts and the Regulations, including employment practices when the contract covers any activity, project, or program set forth in Appendix B of 49 CFR Part 21. *[Include Washington State Department of Transportation specific program requirements.]*
3. **Solicitations for Subcontracts, Including Procurements of Materials and Equipment:** In all solicitations, either by competitive bidding, or negotiation made by the contractor for work to be performed under a subcontract, including procurements of materials, or leases of equipment, each potential subcontractor or supplier will be notified by the contractor of the contractor's obligations under this contract and the Acts and the Regulations relative to Non-discrimination on the grounds of race, color, or national origin. *[Include Washington State Department of Transportation specific program requirements.]*
4. **Information and Reports:** The contractor will provide all information and reports required by the Acts, the Regulations, and directives issued pursuant thereto and will permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the Recipient or the (*Federal Highway Administration*) to be pertinent to ascertain compliance with such Acts, Regulations, and instructions. Where any information required of a contractor is in the exclusive possession of another who fails or refuses to furnish the information, the contractor will so certify to the Recipient or the (*Federal Highway Administration*), as appropriate, and will set forth what efforts it has made to obtain the information.
5. **Sanctions for Noncompliance:** In the event of a contractor's noncompliance with the Non- discrimination provisions of this contract, the Recipient will impose such contract sanctions as it or the (*Federal Highway Administration*) may determine to be appropriate, including, but not limited to:
 - a. withholding payments to the contractor under the contract until the contractor complies; and/or
 - b. cancelling, terminating, or suspending a contract, in whole or in part.
6. **Incorporation of Provisions:** The contractor will include the provisions of paragraphs one through six in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Acts, the Regulations and directives issued pursuant thereto. The contractor will take action with respect to any subcontract or procurement as the Recipient or the (*Federal Highway Administration*) may direct as a means of enforcing such provisions including sanctions for noncompliance. Provided, that if the contractor becomes involved in, or is threatened with litigation by a subcontractor, or supplier because of such direction, the contractor may request the Recipient to enter into any litigation to protect the interests of the Recipient. In addition, the contractor may request the United States to enter into the litigation to protect the interests of the United States.

Exhibit F - Title VI Assurances Appendix A & E

APPENDIX E

During the performance of this contract, the contractor, for itself, its assignees, and successors in interest (hereinafter referred to as the "contractor") agrees to comply with the following non-discrimination statutes and authorities; including but not limited to:

Pertinent Non-Discrimination Authorities:

- Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d et seq., 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin); and 49 CFR Part 21.
- The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, (42 U.S.C. § 4601), (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects);
- Federal-Aid Highway Act of 1973, (23 U.S.C. § 324 et seq.), (prohibits discrimination on the basis of sex);
- Section 504 of the Rehabilitation Act of 1973, (29 U.S.C. § 794 et seq.), as amended, (prohibits discrimination on the basis of disability); and 49 CFR Part 27;
- The Age Discrimination Act of 1975, as amended, (42 U.S.C. § 6101 et seq.), (prohibits discrimination on the basis of age);
- Airport and Airway Improvement Act of 1982, (49 USC § 471, Section 47123), as amended, (prohibits discrimination based on race, creed, color, national origin, or sex);
- The Civil Rights Restoration Act of 1987, (PL 100-209), (Broadened the scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, The Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms "programs or activities" to include all of the programs or activities of the Federal-aid recipients, sub-recipients and contractors, whether such programs or activities are Federally funded or not);
- Titles II and III of the Americans with Disabilities Act, which prohibit discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities (42 U.S.C. §§ 12131-12189) as implemented by Department of Transportation regulations at 49 C.F.R. parts 37 and 38;
- The Federal Aviation Administration's Non-discrimination statute (49 U.S.C. § 47123) (prohibits discrimination on the basis of race, color, national origin, and sex);
- Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations, which ensures discrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations;
- Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, and resulting agency guidance, national origin discrimination includes discrimination because of limited English proficiency (LEP). To ensure compliance with Title VI, you must take reasonable steps to ensure that LEP persons have meaningful access to your programs (70 Fed. Reg. at 74087 to 74100);
- Title IX of the Education Amendments of 1972, as amended, which prohibits you from discriminating because of sex in education programs or activities (20 U.S.C. 1681 et seq).

Exhibit G
Certification Document

- Exhibit G-1(a) Certification of Consultant
- Exhibit G-1(b) Certification of the City of Redmond
- Exhibit G-2 Certification Regarding Debarment, Suspension and Other Responsibility Matters - Primary Covered Transactions
- Exhibit G-3 Certification Regarding the Restrictions of the Use of Federal Funds for Lobbying
- Exhibit G-4 Certificate of Current Cost or Pricing Data

Exhibit G-1(a) Certification of Consultant

I hereby certify that I am the and duly authorized representative of the firm of
V+M Structural Design

whose address is

2212 Queen Anne Ave N #530, Seattle, WA 98109

and that neither the above firm nor I have

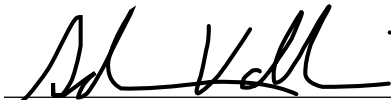
- a) Employed or retained for a commission, percentage, brokerage, contingent fee, or other consideration, any firm or person (other than a bona fide employee working solely for me or the above CONSULTANT) to solicit or secure this AGREEMENT;
- b) Agreed, as an express or implied condition for obtaining this contract, to employ or retain the services of any firm or person in connection with carrying out this AGREEMENT; or
- c) Paid, or agreed to pay, to any firm, organization or person (other than a bona fide employee working solely for me or the above CONSULTANT) any fee, contribution, donation, or consideration of any kind for, or in connection with, procuring or carrying out this AGREEMENT; except as hereby expressly stated (if any);

I acknowledge that this certificate is to be furnished to the Washington State Department of Transportation

and the Federal Highway Administration, U.S. Department of Transportation in connection with this AGREEMENT involving participation of Federal-aid highway funds, and is subject to applicable State and Federal laws, both criminal and civil.

V+M Structural Design

Consultant (Firm Name)



Signature (Authorized Official of Consultant)

September 7, 2022

Date

Exhibit G-1(b) Certification of the City of Redmond

I hereby certify that I am the:

Mayor

Other

of the City of Redmond, and the City

or its representative has not been required, directly or indirectly as an express or implied condition in connection with obtaining or carrying out this AGREEMENT to:

- a) Employ or retain, or agree to employ to retain, any firm or person; o
- b) Pay, or agree to pay, to any firm, person, or organization, any fee, contribution, donation, or consideration of any kind; except as hereby expressly stated (if any):

I acknowledge that this certificate is to be furnished to the Washington State Department of Transportation and the Federal Highway Administration, U.S. Department of Transportation, in connection with this AGREEMENT involving participation of Federal-aid highway funds, and is subject to applicable State and Federal laws, both criminal and civil.

Signature

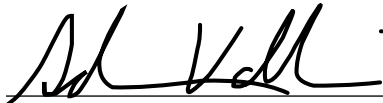
Date

Exhibit G-2 Certification Regarding Debarment Suspension and Other Responsibility Matters - Primary Covered Transactions

- I. The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
 - A. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
 - B. Have not within a three (3) year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State anti-trust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - C. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; an
 - D. Have not within a three (3) year period preceding this application / proposal had one or more public transactions (Federal, State and local) terminated for cause or default.
- II. Where the prospective primary participant is unable to certify to any of the statements in this certification such prospective participant shall attach an explanation to this proposal.

V+M Structural Design

Consultant (Firm Name)



Signature (Authorized Official of Consultant)

September 7, 2022

Date

Exhibit G-3 Certification Regarding the Restrictions of the Use of Federal Funds for Lobbying

The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

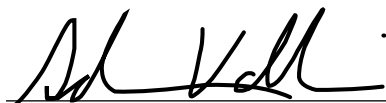
1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or any employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative AGREEMENT, and the extension, continuation, renewal, amendment, or modification of Federal contract, grant, loan or cooperative AGREEMENT.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan or cooperative AGREEMENT, the undersigned shall complete and submit Standard Form - LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the require certification shall be subject to a civil penalty of not less than \$10,000.00, and not more than \$100,000.00 for each such failure.

The prospective participant also agrees by submitting his or her bid or proposal that he or she shall require that the language of this certification be included in all lower tier sub-contracts, which exceed \$100,000 and that all such sub-recipients shall certify and disclose accordingly.

V+M Structural Design

Consultant (Firm Name)



Signature (Authorized Official of Consultant)

September 7, 2022

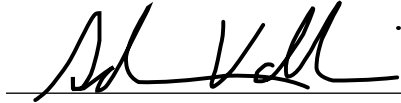
Date

Exhibit G-4 Certification of Current Cost or Pricing Data

This is to certify that, to the best of my knowledge and belief, the cost or pricing data (as defined in section of the Federal Acquisition Regulation (FAR) and required under FAR subsection 15.403-4) submitted, either actually or by specific identification in writing, to the Contracting Officer or to the Contracting Officer's representative in support of Structural Engineering and Bridge Program On-Call * are accurate, complete, and current as of September 7, 2022 **.

This certification includes the cost or pricing data supporting any advance AGREEMENT's and forward pricing rate AGREEMENT's between the offer or and the Government that are part of the proposal.

Firm: V+M Structural Design



Signature

President

Title

Date of Execution September 7, 2022

***.

*Identify the proposal, quotation, request for pricing adjustment, or other submission involved, giving the appropriate identifying number (e.g. project title.)

**Insert the day, month, and year, when price negotiations were concluded and price AGREEMENT was reached.

***Insert the day, month, and year, of signing, which should be as close as practicable to the date when the price negotiations were concluded and the contract price was agreed to.

To Be Used Only If Insurance Requirements Are Increased

The professional liability limit of the CONSULTANT to the AGENCY identified in Section XII, Legal Relations and Insurance of this Agreement is amended to \$ ^{NA}_____.

The CONSULTANT shall provide Professional Liability insurance with minimum per occurrence limits in the amount of \$ ^{NA}_____.

Such insurance coverage shall be evidenced by one of the following methods:

- Certificate of Insurance
- Self-insurance through an irrevocable Letter of Credit from a qualified financial institution

Self-insurance through documentation of a separate fund established exclusively for the payment of professional liability claims, including claim amounts already reserved against the fund, safeguards established for payment from the fund, a copy of the latest annual financial statements, and disclosure of the investment portfolio for those funds.

Should the minimum Professional Liability insurance limit required by the AGENCY as specified above exceed \$1 million per occurrence or the value of the contract, whichever is greater, then justification shall be submitted to the Federal Highway Administration (FHWA) for approval to increase the minimum insurance limit.

If FHWA approval is obtained, the AGENCY may, at its own cost, reimburse the CONSULTANT for the additional professional liability insurance required.

Notes: Cost of added insurance requirements: \$ ^{NA}_____.

- Include all costs, fee increase, premiums.
- This cost shall not be billed against an FHWA funded project.
- For final contracts, include this exhibit

NA

Exhibit I

Alleged Consultant Design Error Procedures

The purpose of this exhibit is to establish a procedure to determine if a consultant has alleged design error is of a nature that exceeds the accepted standard of care. In addition, it will establish a uniform method for the resolution and/or cost recovery procedures in those instances where the agency believes it has suffered some material damage due to the alleged error by the consultant.

Step 1 Potential Consultant Design Error(s) is Identified by Agency's Project Manager

At the first indication of potential consultant design error(s), the first step in the process is for the Agency's project manager to notify the Director of Public Works or Agency Engineer regarding the potential design error(s). For federally funded projects, the Region Local Programs Engineer should be informed and involved in these procedures. (Note: The Director of Public Works or Agency Engineer may appoint an agency staff person other than the project manager, who has not been as directly involved in the project, to be responsible for the remaining steps in these procedures.)

Step 2 Project Manager Documents the Alleged Consultant Design Error(s)

After discussion of the alleged design error(s) and the magnitude of the alleged error(s), and with the Director of Public Works or Agency Engineer's concurrence, the project manager obtains more detailed documentation than is normally required on the project. Examples include all decisions and descriptions of work, photographs, records of labor, materials, and equipment.

Step 3 Contact the Consultant Regarding the Alleged Design Error(s)

If it is determined that there is a need to proceed further, the next step in the process is for the project manager to contact the consultant regarding the alleged design error(s) and the magnitude of the alleged error(s). The project manager and other appropriate agency staff should represent the agency and the consultant should be represented by their project manager and any personnel (including sub-consultants) deemed appropriate for the alleged design error(s) issue.

Step 4 Attempt to Resolve Alleged Design Error with Consultant

After the meeting(s) with the consultant have been completed regarding the consultant's alleged design error(s), there are three possible scenarios:

- It is determined via mutual agreement that there is not a consultant design error(s). If this is the case, then the process will not proceed beyond this point.
- It is determined via mutual agreement that a consultant design error(s) occurred. If this is the case, then the Director of Public Works or Agency Engineer, or their representatives, negotiate a settlement with the consultant. The settlement would be paid to the agency or the amount would be reduced from the consultant's agreement with the agency for the services on the project in which the design error took place. The agency is to provide LP, through the Region Local Programs Engineer, a summary of the settlement for review and to make adjustments, if any, as to how the settlement affects federal reimbursements. No further action is required.
- There is not a mutual agreement regarding the alleged consultant design error(s). The consultant may request that the alleged design error(s) issue be forwarded to the Director of Public Works or Agency Engineer for review. If the Director of Public Works or Agency Engineer, after review with their legal counsel, is not able to reach mutual agreement with the consultant, proceed to Step 5.

Step 5 Forward Documents to Local Programs

For federally funded projects, all available information, including costs, should be forwarded through the Region Local Programs Engineer to LP for their review and consultation with the FHWA. LP will meet with representatives of the agency and the consultant to review the alleged design error(s), and attempt to find a resolution to the issue. If necessary, LP will request assistance from the Attorney General's Office for legal interpretation. LP will also identify how the alleged error(s) affects eligibility of project costs for federal reimbursement.

- If mutual agreement is reached, the agency and consultant adjust the scope of work and costs to reflect the agreed upon resolution. LP, in consultation with FHWA, will identify the amount of federal participation in the agreed upon resolution of the issue.
- If mutual agreement is not reached, the agency and consultant may seek settlement by arbitration or by litigation.

Exhibit J

Consultant Claim Procedures

The purpose of this exhibit is to describe a procedure regarding claim(s) on a consultant agreement. The following procedures should only be utilized on consultant claims greater than \$1,000. If the consultant's claim(s) total a \$1,000 or less, it would not be cost effective to proceed through the outlined steps. It is suggested that the Director of Public Works or Agency Engineer negotiate a fair and reasonable price for the consultant's claim(s) that total \$1,000 or less.

This exhibit will outline the procedures to be followed by the consultant and the agency to consider a potential claim by the consultant.

Step 1 Consultant Files a Claim with the Agency Project Manager

If the consultant determines that they were requested to perform additional services that were outside of the agreement's scope of work, they may be entitled to a claim. The first step that must be completed is the request for consideration of the claim to the Agency's project manager.

The consultant's claim must outline the following:

- Summation of hours by classification for each firm that is included in the claim
- Any correspondence that directed the consultant to perform the additional work;
- Timeframe of the additional work that was outside of the project scope;
- Summary of direct labor dollars, overhead costs, profit and reimbursable costs associated with the additional work; and
- Explanation as to why the consultant believes the additional work was outside of the agreement scope of work.

Step 2 Review by Agency Personnel Regarding the Consultant's Claim for Additional Compensation

After the consultant has completed step 1, the next step in the process is to forward the request to the Agency's project manager. The project manager will review the consultant's claim and will meet with the Director of Public Works or Agency Engineer to determine if the Agency agrees with the claim. If the FHWA is participating in the project's funding, forward a copy of the consultant's claim and the Agency's recommendation for federal participation in the claim to the WSDOT Local Programs through the Region Local Programs Engineer. If the claim is not eligible for federal participation, payment will need to be from agency funds.

If the Agency project manager, Director of Public Works or Agency Engineer, WSDOT Local Programs (if applicable), and FHWA (if applicable) agree with the consultant's claim, send a request memo, including backup documentation to the consultant to either supplement the agreement, or create a new agreement for the claim. After the request has been approved, the Agency shall write the supplement and/or new agreement and pay the consultant the amount of the claim. Inform the consultant that the final payment for the agreement is subject to audit. No further action is needed regarding the claim procedures.

If the Agency does not agree with the consultant's claim, proceed to step 3 of the procedures.

Step 3 Preparation of Support Documentation Regarding Consultant's Claim(s)

If the Agency does not agree with the consultant's claim, the project manager shall prepare a summary for the Director of Public Works or Agency Engineer that included the following:

- Copy of information supplied by the consultant regarding the claim;
- Agency's summation of hours by classification for each firm that should be included in the claim
- Any correspondence that directed the consultant to perform the additional work;
- Agency's summary of direct labor dollars, overhead costs, profit and reimbursable costs associate with the additional work;
- Explanation regarding those areas in which the Agency does/does not agree with the consultant's claim(s);
- Explanation to describe what has been instituted to preclude future consultant claim(s); and
- Recommendations to resolve the claim.

Step 4 Director of Public Works or Agency Engineer Reviews Consultant Claim and Agency Documentation

The Director of Public Works or Agency Engineer shall review and administratively approve or disapprove the claim, or portions thereof, which may include getting Agency Council or Commission approval (as appropriate to agency dispute resolution procedures). If the project involves federal participation, obtain concurrence from WSDOT Local Programs and FHWA regarding final settlement of the claim. If the claim is not eligible for federal participation, payment will need to be from agency funds.

Step 5 Informing Consultant of Decision Regarding the Claim

The Director of Public Works or Agency Engineer shall notify (in writing) the consultant of their final decision regarding the consultant's claim(s). Include the final dollar amount of the accepted claim(s) and rationale utilized for the decision.

Step 6 Preparation of Supplement or New Agreement for the Consultant's Claim(s)

The agency shall write the supplement and/or new agreement and pay the consultant the amount of the claim. Inform the consultant that the final payment for the agreement is subject to audit

Local Agency A&E Professional Services Negotiated Hourly Rate Consultant Agreement

Agreement Number: _____

Firm/Organization Legal Name (do not use dba's):		
Address	Federal Aid Number	
UBI Number	Federal TIN	
Execution Date	Completion Date	
1099 Form Required <input type="checkbox"/> Yes <input type="checkbox"/> No	Federal Participation <input type="checkbox"/> Yes <input type="checkbox"/> No	
Project Title		
Description of Work		
<input type="checkbox"/> Yes <input type="checkbox"/> Yes <input type="checkbox"/> Yes <input type="checkbox"/> Yes	<input type="checkbox"/> No DBE Participation <input type="checkbox"/> No MBE Participation <input type="checkbox"/> No WBE Participation <input type="checkbox"/> No SBE Participation	Maximum Amount Payable: \$1,000,000

Index of Exhibits

- Exhibit A Scope of Work
- Exhibit B DBE Participation
- Exhibit C Preparation and Delivery of Electronic Engineering and Other Data
- Exhibit D Prime Consultant Cost Computations
- Exhibit E Sub-consultant Cost Computations
- Exhibit F Title VI Assurances
- Exhibit G Certification Documents
- ~~Exhibit H Liability Insurance Increase~~ NA
- Exhibit I Alleged Consultant Design Error Procedures
- Exhibit J Consultant Claim Procedures

THIS AGREEMENT, made and entered into as shown in the “Execution Date” box on page one (1) of this AGREEMENT, between the _____, hereinafter called the “AGENCY,” and the “Firm / Organization Name” referenced on page one (1) of this AGREEMENT, hereinafter called the “CONSULTANT.”

WHEREAS, the AGENCY desires to accomplish the work referenced in “Description of Work” on page one (1) of this AGREEMENT and hereafter called the “SERVICES;” and does not have sufficient staff to meet the required commitment and therefore deems it advisable and desirable to engage the assistance of a CONSULTANT to provide the necessary SERVICES; and

WHEREAS, the CONSULTANT represents that they comply with the Washington State Statutes relating to professional registration, if applicable, and has signified a willingness to furnish consulting services to the AGENCY.

NOW, THEREFORE, in consideration of the terms, conditions, covenants, and performance contained herein, or attached and incorporated and made a part hereof, the parties hereto agree as follows:

I. General Description of Work

The work under this AGREEMENT shall consist of the above-described SERVICES as herein defined, and necessary to accomplish the completed work for this project. The CONSULTANT shall furnish all services, labor, and related equipment and, if applicable, sub-consultants and subcontractors necessary to conduct and complete the SERVICES as designated elsewhere in this AGREEMENT.

II. General Scope of Work

The Scope of Work and projected level of effort required for these SERVICES is described in Exhibit “A” attached hereto and by this reference made a part of this AGREEMENT. The General Scope of Work was developed utilizing performance based contracting methodologies.

III. General Requirements

All aspects of coordination of the work of this AGREEMENT with outside agencies, groups, or individuals shall receive advance approval by the AGENCY. Necessary contacts and meetings with agencies, groups, and/or individuals shall be coordinated through the AGENCY. The CONSULTANT shall attend coordination, progress, and presentation meetings with the AGENCY and/or such State, Federal, Community, City, or County officials, groups or individuals as may be requested by the AGENCY. The AGENCY will provide the CONSULTANT sufficient notice prior to meetings requiring CONSULTANT participation. The minimum required hours or days’ notice shall be agreed to between the AGENCY and the CONSULTANT and shown in Exhibit “A.”

The CONSULTANT shall prepare a monthly progress report, in a form approved by the AGENCY, which will outline in written and graphical form the various phases and the order of performance of the SERVICES in sufficient detail so that the progress of the SERVICES can easily be evaluated.

The CONSULTANT, any sub-consultants, and the AGENCY shall comply with all Federal, State, and local laws, rules, codes, regulations, and all AGENCY policies and directives, applicable to the work to be performed under this AGREEMENT. This AGREEMENT shall be interpreted and construed in accordance with the laws of the State of Washington.

Participation for Disadvantaged Business Enterprises (DBE) or Small Business Enterprises (SBE), if required, per 49 CFR Part 26, shall be shown on the heading of this AGREEMENT. If DBE firms are utilized at the commencement of this AGREEMENT, the amounts authorized to each firm and their certification number will be shown on Exhibit “B” attached hereto and by this reference made part of this AGREEMENT. If the Prime CONSULTANT is, a DBE certified firm they must comply with the Commercial Useful Function (CUF) regulation outlined in the AGENCY’s “DBE Program Participation Plan” and perform a minimum of 30% of the total amount of this AGREEMENT. It is recommended, but not required, that non-DBE Prime CONSULTANTS perform a minimum of 30% of the total amount of this AGREEMENT.

In the absence of a mandatory DBE goal, a voluntary SBE goal amount of ten percent of the Consultant Agreement is established. The Consultant shall develop a SBE Participation Plan prior to commencing work. Although the goal is voluntary, the outreach efforts to provide SBE maximum practicable opportunities are not.

The CONSULTANT, on a monthly basis, shall enter the amounts paid to all firms (including Prime) involved with this AGREEMENT into the wsdot.diversitycompliance.com program. Payment information shall identify any DBE Participation.

All Reports, PS&E materials, and other data furnished to the CONSULTANT by the AGENCY shall be returned. All electronic files, prepared by the CONSULTANT, must meet the requirements as outlined in Exhibit “C – Preparation and Delivery of Electronic Engineering and other Data.”

All designs, drawings, specifications, documents, and other work products, including all electronic files, prepared by the CONSULTANT prior to completion or termination of this AGREEMENT are instruments of service for these SERVICES, and are the property of the AGENCY. Reuse by the AGENCY or by others, acting through or on behalf of the AGENCY of any such instruments of service, not occurring, as a part of this SERVICE, shall be without liability or legal exposure to the CONSULTANT.

Any and all notices or requests required under this AGREEMENT shall be made in writing and sent to the other party by (i) certified mail, return receipt requested, or (ii) by email or facsimile, to the address set forth below:

If to AGENCY:

Name:
Agency:
Address:
City: State: Zip:
Email:
Phone:
Facsimile:

If to CONSULTANT:

Name:
Agency:
Address:
City: State: Zip:
Email:
Phone:
Facsimile:

IV. Time for Beginning and Completion

The CONSULTANT shall not begin any work under the terms of this AGREEMENT until authorized in writing by the AGENCY. All work under this AGREEMENT shall conform to the criteria agreed upon detailed in the AGREEMENT documents. These SERVICES must be completed by the date shown in the heading of this AGREEMENT titled “Completion Date.”

The established completion time shall not be extended because of any delays attributable to the CONSULTANT, but may be extended by the AGENCY in the event of a delay attributable to the AGENCY, or because of unavoidable delays caused by an act of GOD, governmental actions, or other conditions beyond the control of the CONSULTANT. A prior supplemental AGREEMENT issued by the AGENCY is required to extend the established completion time.

V. Payment Provisions

The CONSULTANT shall be paid by the AGENCY for completed SERVICES rendered under this AGREEMENT as provided hereinafter. Such payment shall be full compensation for SERVICES performed or SERVICES rendered and for all labor, materials, supplies, equipment, and incidentals necessary to complete SERVICES. The CONSULTANT shall conform to all applicable portions of 48 CFR Part 31 (www.ecfr.gov).

- A. Hourly Rates: Hourly rates are comprised of the following elements - Direct (Raw) Labor, Indirect Cost Rate, and Fee (Profit). The CONSULTANT shall be paid by the AGENCY for work done, based upon the negotiated hourly rates shown in Exhibits “D” and “E” attached hereto and by reference made part of this AGREEMENT. These negotiated hourly rates will be accepted based on a review of the CONSULTANT’s direct labor rates and indirect cost rate computations and agreed upon fee. The accepted negotiated rates shall be memorialized in a final written acknowledgment between the parties. Such final written acknowledgment shall be incorporated into, and become a part of, this AGREEMENT. The initially accepted negotiated rates shall be applicable from the approval date, as memorialized in a final written acknowledgment, to 180 days following the CONSULTANT’s fiscal year end (FYE) date.

The direct (raw) labor rates and classifications, as shown on Exhibits “D” and “E” shall be subject to renegotiations for each subsequent twelve (12) month period (180 days following FYE date to 180 days following FYE date) upon written request of the CONSULTANT or the AGENCY. The written request must be made to the other party within ninety (90) days following the CONSULTANT’s FYE date. If no such written request is made, the current direct (raw) labor rates and classifications as shown on Exhibits “D” and “E” will remain in effect for the twelve (12) month period.

Conversely, if a timely request is made in the manner set forth above, the parties will commence negotiations to determine the new direct (raw) labor rates and classifications that will be applicable for the twelve (12) month period. Any agreed to renegotiated rates shall be memorialized in a final written acknowledgment between the parties. Such final written acknowledgment shall be incorporated into, and become a part of, this AGREEMENT. If requested, the CONSULTANT shall provide current payroll register and classifications to aid in negotiations. If the parties cannot reach an agreement on the direct (raw) labor rates and classifications, the AGENCY shall perform an audit of the CONSULTANT’s books and records to determine the CONSULTANT’s actual costs. The audit findings will establish the direct (raw) labor rates and classifications that will be applicable for the twelve (12) month period.

The fee as identified in Exhibits “D” and “E” shall represent a value to be applied throughout the life of the AGREEMENT.

The CONSULTANT shall submit annually to the AGENCY an updated indirect cost rate within 180 days of the close of its fiscal year. An approved updated indirect cost rate shall be included in the current fiscal year rate under this AGREEMENT, even if/when other components of the hourly rate are not renegotiated. These rates will be applicable for the twelve (12) month period. At the AGENCY’s option, a provisional and/or conditional indirect cost rate may be negotiated. This provisional or conditional indirect rate shall remain in effect until the updated indirect cost rate is completed and approved. Indirect cost rate costs incurred during the provisional or conditional period will not be adjusted. The CONSULTANT may request an extension of the last approved indirect cost rate for the twelve (12) month period. These requests for provisional indirect cost rate and/or extension will be considered on a case-by-case basis, and if granted, will be memorialized in a final written acknowledgment.

The CONSULTANT shall maintain and have accessible support data for verification of the components of the hourly rates, i.e., direct (raw) labor, indirect cost rate, and fee (profit) percentage. The CONSULTANT shall bill each employee’s actual classification, and actual salary plus indirect cost rate plus fee.

- A. Direct Non-Salary Costs: Direct Non-Salary Costs will be reimbursed at the actual cost to the CONSULTANT. These charges may include, but are not limited to, the following items: travel, printing, long distance telephone, supplies, computer charges, and fees of sub-consultants. Air or train travel will be reimbursed only to lowest price available, unless otherwise approved by the AGENCY. The CONSULTANT shall comply with the rules and regulations regarding travel costs (excluding air, train, and rental car costs) in accordance with the WSDOT's Accounting Manual M 13-82, Chapter 10 – Travel Rules and Procedures, and all revisions thereto. Air, train, and rental card costs shall be reimbursed in accordance with 48 Code of Federal Regulations (CFR) Part 31.205-46 "Travel Costs." The billing for Direct Non-salary Costs shall include an itemized listing of the charges directly identifiable with these SERVICES. The CONSULTANT shall maintain the original supporting documents in their office. Copies of the original supporting documents shall be supplied to the STATE upon request. All above charges must be necessary for the SERVICES provided under this AGREEMENT.
- B. Maximum Amount Payable: The Maximum Amount Payable by the AGENCY to the CONSULTANT under this AGREEMENT shall not exceed the amount shown in the heading of this AGREEMENT on page one (1.) The Maximum Amount Payable does not include payment for extra work as stipulated in section XIII, "Extra Work." No minimum amount payable is guaranteed under this AGREEMENT.
- C. Monthly Progress Payments: Progress payments may be claimed on a monthly basis for all costs authorized in A and B above. Detailed statements shall support the monthly billings for hours expended at the rates established in Exhibit "D," including names and classifications of all employees, and billings for all direct non-salary expenses. To provide a means of verifying the billed salary costs for the CONSULTANT's employees, the AGENCY may conduct employee interviews. These interviews may consist of recording the names, titles, salary rates, and present duties of those employees performing work on the SERVICES at the time of the interview.
- D. Final Payment: Final Payment of any balance due the CONSULTANT of the gross amount earned will be made promptly upon its verification by the AGENCY after the completion of the SERVICES under this AGREEMENT, contingent upon receipt of all PS&E, plans, maps, notes, reports, electronic data, and other related documents, which are required to be furnished under this AGREEMENT. Acceptance of such Final Payment by the CONSULTANT shall constitute a release of all claims for payment, which the CONSULTANT may have against the AGENCY unless such claims are specifically reserved in writing and transmitted to the AGENCY by the CONSULTANT prior to its acceptance. Said Final Payment shall not, however, be a bar to any claims that the AGENCY may have against the CONSULTANT or to any remedies the AGENCY may pursue with respect to such claims.

The payment of any billing will not constitute agreement as to the appropriateness of any item and at the time of final audit all required adjustments will be made and reflected in a final payment. In the event that such final audit reveals an overpayment to the CONSULTANT, the CONSULTANT will refund such overpayment to the AGENCY within thirty (30) calendar days of notice of the overpayment. Such refund shall not constitute a waiver by the CONSULTANT for any claims relating to the validity of a finding by the AGENCY of overpayment. Per WSDOT's "Audit Guide for Consultants," Chapter 23 "Resolution Procedures," the CONSULTANT has twenty (20) working days after receipt of the final Post Audit to begin the appeal process to the AGENCY for audit findings

E. Inspection of Cost Records: The CONSULTANT and their sub-consultants shall keep available for inspection by representatives of the AGENCY and the United States, for a period of six (6) years after receipt of final payment, the cost records and accounts pertaining to this AGREEMENT and all items related to or bearing upon these records with the following exception: if any litigation, claim or audit arising out of, in connection with, or related to this AGREEMENT is initiated before the expiration of the six (6) year period, the cost records and accounts shall be retained until such litigation, claim, or audit involving the records is completed. An interim or post audit may be performed on this AGREEMENT. The audit, if any, will be performed by the State Auditor, WSDOT's Internal Audit Office and /or at the request of the AGENCY's Project Manager.

VI. Sub-Contracting

The AGENCY permits subcontracts for those items of SERVICES as shown in Exhibit "A" attached hereto and by this reference made part of this AGREEMENT.

The CONSULTANT shall not subcontract for the performance of any SERVICE under this AGREEMENT without prior written permission of the AGENCY. No permission for subcontracting shall create, between the AGENCY and sub-consultant, any contract or any other relationship.

Compensation for this sub-consultant SERVICES shall be based on the cost factors shown on Exhibit "E" attached hereto and by this reference made part of this AGREEMENT.

The SERVICES of the sub-consultant shall not exceed its maximum amount payable identified in each sub consultant cost estimate unless a prior written approval has been issued by the AGENCY.

All reimbursable direct labor, indirect cost rate, direct non-salary costs and fee costs for the sub-consultant shall be negotiated and substantiated in accordance with section V "Payment Provisions" herein and shall be memorialized in a final written acknowledgment between the parties

All subcontracts shall contain all applicable provisions of this AGREEMENT, and the CONSULTANT shall require each sub-consultant or subcontractor, of any tier, to abide by the terms and conditions of this AGREEMENT. With respect to sub-consultant payment, the CONSULTANT shall comply with all applicable sections of the STATE's Prompt Payment laws as set forth in RCW 39.04.250 and RCW 39.76.011.

The CONSULTANT, sub-recipient, or sub-consultant shall not discriminate on the basis of race, color, national origin, or sex in the performance of this AGREEMENT. The CONSULTANT shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the CONSULTANT to carry out these requirements is a material breach of this AGREEMENT, which may result in the termination of this AGREEMENT or such other remedy as the recipient deems appropriate.

VII. Employment and Organizational Conflict of Interest

The CONSULTANT warrants that they have not employed or retained any company or person, other than a bona fide employee working solely for the CONSULTANT, to solicit or secure this contract, and that it has not paid or agreed to pay any company or person, other than a bona fide employee working solely for the CONSULTANT, any fee, commission, percentage, brokerage fee, gift, or any other consideration, contingent upon or resulting from the award or making of this agreement. For breach or violation of this warrant, the AGENCY shall have the right to annul this AGREEMENT without liability or, in its discretion, to deduct from this AGREEMENT price or consideration or otherwise recover the full amount of such fee, commission, percentage, brokerage fee, gift, or contingent fee.

Any and all employees of the CONSULTANT or other persons while engaged in the performance of any work or services required of the CONSULTANT under this AGREEMENT, shall be considered employees of the CONSULTANT only and not of the AGENCY, and any and all claims that may arise under any Workmen's Compensation Act on behalf of said employees or other persons while so engaged, and any and all claims made by a third party as a consequence of any act or omission on the part of the CONSULTANT's employees or other persons while so engaged on any of the work or services provided to be rendered herein, shall be the sole obligation and responsibility of the CONSULTANT.

The CONSULTANT shall not engage, on a full- or part-time basis, or other basis, during the period of this AGREEMENT, any professional or technical personnel who are, or have been, at any time during the period of this AGREEMENT, in the employ of the United States Department of Transportation or the AGENCY, except regularly retired employees, without written consent of the public employer of such person if he/she will be working on this AGREEMENT for the CONSULTANT.

Agreement Number:

VIII. Nondiscrimination

During the performance of this AGREEMENT, the CONSULTANT, for itself, its assignees, sub-consultants, subcontractors and successors in interest, agrees to comply with the following laws and regulations:

- Title VI of the Civil Rights Act of 1964 (42 U.S.C. Chapter 21 Subchapter V § 2000d through 2000d-4a)
- Federal-aid Highway Act of 1973 (23 U.S.C. Chapter 3 § 324)
- Rehabilitation Act of 1973 (29 U.S.C. Chapter 16 Subchapter V § 794)
- Age Discrimination Act of 1975 (42 U.S.C. Chapter 76 § 6101 *et. seq.*)
- Civil Rights Restoration Act of 1987 (Public Law 100-259)
- American with Disabilities Act of 1990 (42 U.S.C. Chapter 126 § 12101 *et. seq.*)
- 23 CFR Part 200
- 49 CFR Part 21
- 49 CFR Part 26
- RCW 49.60.180

In relation to Title VI of the Civil Rights Act of 1964, the CONSULTANT is bound by the provisions of Exhibit “F” attached hereto and by this reference made part of this AGREEMENT, and shall include the attached Exhibit “F” in every sub-contract, including procurement of materials and leases of equipment, unless exempt by the Regulations or directives issued pursuant thereto.

IX. Termination of Agreement

The right is reserved by the AGENCY to terminate this AGREEMENT at any time with or without cause upon ten (10) days written notice to the CONSULTANT.

In the event this AGREEMENT is terminated by the AGENCY, other than for default on the part of the CONSULTANT, a final payment shall be made to the CONSULTANT for actual hours charged at the time of termination of this AGREEMENT, plus any direct non-salary costs incurred up to the time of termination of this AGREEMENT.

No payment shall be made for any SERVICES completed after ten (10) days following receipt by the CONSULTANT of the notice to terminate. If the accumulated payment made to the CONSULTANT prior to Notice of Termination exceeds the total amount that would be due when computed as set forth in paragraph two (2) of this section, then no final payment shall be due and the CONSULTANT shall immediately reimburse the AGENCY for any excess paid.

If the services of the CONSULTANT are terminated by the AGENCY for default on the part of the CONSULTANT, the above formula for payment shall not apply.

In the event of a termination for default, the amount to be paid to the CONSULTANT shall be determined by the AGENCY with consideration given to the actual costs incurred by the CONSULTANT in performing SERVICES to the date of termination, the amount of SERVICES originally required which was satisfactorily completed to date of termination, whether that SERVICE is in a form or a type which is usable to the AGENCY at the time of termination, the cost to the AGENCY of employing another firm to complete the SERVICES required and the time which may be required to do so, and other factors which affect the value to the AGENCY of the SERVICES performed at the time of termination. Under no circumstances shall payment made under this subsection exceed the amount, which would have been made using the formula set forth in paragraph two (2) of this section.

If it is determined for any reason, that the CONSULTANT was not in default or that the CONSULTANT’s failure to perform is without the CONSULTANT’s or its employee’s fault or negligence, the termination shall be deemed to be a termination for the convenience of the AGENCY. In such an event, the CONSULTANT would be reimbursed for actual costs in accordance with the termination for other than default clauses listed previously.

The CONSULTANT shall, within 15 days, notify the AGENCY in writing, in the event of the death of any member, partner, or officer of the CONSULTANT or the death or change of any of the CONSULTANT's supervisory and/or other key personnel assigned to the project or disaffiliation of any principally involved CONSULTANT employee.

The CONSULTANT shall also notify the AGENCY, in writing, in the event of the sale or transfer of 50% or more of the beneficial ownership of the CONSULTANT within 15 days of such sale or transfer occurring. The CONSULTANT shall continue to be obligated to complete the SERVICES under the terms of this AGREEMENT unless the AGENCY chooses to terminate this AGREEMENT for convenience or chooses to renegotiate any term(s) of this AGREEMENT. If termination for convenience occurs, final payment will be made to the CONSULTANT as set forth in the second and third paragraphs of this section.

Payment for any part of the SERVICES by the AGENCY shall not constitute a waiver by the AGENCY of any remedies of any type it may have against the CONSULTANT for any breach of this AGREEMENT by the CONSULTANT, or for failure of the CONSULTANT to perform SERVICES required of it by the AGENCY.

Forbearance of any rights under the AGREEMENT will not constitute waiver of entitlement to exercise those rights with respect to any future act or omission by the CONSULTANT.

X. Changes of Work

The CONSULTANT shall make such changes and revisions in the completed work of this AGREEMENT as necessary to correct errors appearing therein, without additional compensation thereof. Should the AGENCY find it desirable for its own purposes to have previously satisfactorily completed SERVICES or parts thereof changed or revised, the CONSULTANT shall make such revisions as directed by the AGENCY. This work shall be considered as Extra Work and will be paid for as herein provided under section XIII "Extra Work."

XI. Disputes

Any disputed issue not resolved pursuant to the terms of this AGREEMENT shall be submitted in writing within 10 days to the Director of Public Works or AGENCY Engineer, whose decision in the matter shall be final and binding on the parties of this AGREEMENT; provided however, that if an action is brought challenging the Director of Public Works or AGENCY Engineer's decision, that decision shall be subject to judicial review. If the parties to this AGREEMENT mutually agree, disputes concerning alleged design errors will be conducted under the procedures found in Exhibit "J". In the event that either party deem it necessary to institute legal action or proceeding to enforce any right or obligation under this AGREEMENT, this action shall be initiated in the Superior Court of the State of Washington, situated in the county in which the AGENCY is located. The parties hereto agree that all questions shall be resolved by application of Washington law and that the parties have the right of appeal from such decisions of the Superior Court in accordance with the laws of the State of Washington. The CONSULTANT hereby consents to the personal jurisdiction of the Superior Court of the State of Washington, situated in the county in which the AGENCY is located.

XII. Legal Relations

The CONSULTANT, any sub-consultants, and the AGENCY shall comply with all Federal, State, and local laws, rules, codes, regulations and all AGENCY policies and directives, applicable to the work to be performed under this AGREEMENT. This AGREEMENT shall be interpreted and construed in accordance with the laws of the State of Washington.

The CONSULTANT shall defend, indemnify, and hold the State of Washington (STATE) and the AGENCY and their officers and employees harmless from all claims, demands, or suits at law or equity arising in whole or in part from the negligence of, or the breach of any obligation under this AGREEMENT by, the CONSULTANT or the CONSULTANT's agents, employees, sub consultants, subcontractors or vendors, of any tier, or any other persons for whom the CONSULTANT may be legally liable; provided that nothing herein shall require a CONSULTANT

to defend or indemnify the STATE and the AGENCY and their officers and employees against and hold harmless the STATE and the AGENCY and their officers and employees from claims, demands or suits based solely upon the negligence of, or breach of any obligation under this AGREEMENT by the STATE and the AGENCY, their agents, officers, employees, sub-consultants, subcontractors or vendors, of any tier, or any other persons for whom the STATE and /or the AGENCY may be legally liable; and provided further that if the claims or suits are caused by or result from the concurrent negligence of (a) the CONSULTANT or the CONSULTANT's agents, employees, sub-consultants, subcontractors or vendors, of any tier, or any other persons for whom the CONSULTANT is legally liable, and (b) the STATE and/or AGENCY, their agents, officers, employees, sub-consultants, subcontractors and or vendors, of any tier, or any other persons for whom the STATE and/or AGENCY may be legally liable, the defense and indemnity obligation shall be valid and enforceable only to the extent of the CONSULTANT's negligence or the negligence of the CONSULTANT's agents, employees, sub-consultants, subcontractors or vendors, of any tier, or any other persons for whom the CONSULTANT may be legally liable. This provision shall be included in any AGREEMENT between CONSULTANT and any sub-consultant, subcontractor and vendor, of any tier.

The CONSULTANT shall also defend, indemnify, and hold the STATE and the AGENCY and their officers and employees harmless from all claims, demands, or suits at law or equity arising in whole or in part from the alleged patent or copyright infringement or other allegedly improper appropriation or use of trade secrets, patents, proprietary information, know-how, copyright rights or inventions by the CONSULTANT or the CONSULTANT's agents, employees, sub-consultants, subcontractors or vendors, of any tier, or any other persons for whom the CONSULTANT may be legally liable, in performance of the Work under this AGREEMENT or arising out of any use in connection with the AGREEMENT of methods, processes, designs, information or other items furnished or communicated to STATE and/or the AGENCY, their agents, officers and employees pursuant to the AGREEMENT; provided that this indemnity shall not apply to any alleged patent or copyright infringement or other allegedly improper appropriation or use of trade secrets, patents, proprietary information, know-how, copyright rights or inventions resulting from STATE and/or AGENCY's, their agents', officers and employees' failure to comply with specific written instructions regarding use provided to STATE and/or AGENCY, their agents, officers and employees by the CONSULTANT, its agents, employees, sub-consultants, subcontractors or vendors, of any tier, or any other persons for whom the CONSULTANT may be legally liable.

The CONSULTANT's relation to the AGENCY shall be at all times as an independent contractor.

Notwithstanding any determination by the Executive Ethics Board or other tribunal, the AGENCY may, in its sole discretion, by written notice to the CONSULTANT terminate this AGREEMENT if it is found after due notice and examination by the AGENCY that there is a violation of the Ethics in Public Service Act, Chapter 42.52 RCW; or any similar statute involving the CONSULTANT in the procurement of, or performance under, this AGREEMENT.

The CONSULTANT specifically assumes potential liability for actions brought by the CONSULTANT's own employees or its agents against the STATE and/or the AGENCY and, solely for the purpose of this indemnification and defense, the CONSULTANT specifically waives any immunity under the state industrial insurance law, Title 51 RCW. The Parties have mutually negotiated this waiver.

Unless otherwise specified in this AGREEMENT, the AGENCY shall be responsible for administration of construction contracts, if any, on the project. Subject to the processing of a new sole source, or an acceptable supplemental AGREEMENT, the CONSULTANT shall provide On-Call assistance to the AGENCY during contract administration. By providing such assistance, the CONSULTANT shall assume no responsibility for proper construction techniques, job site safety, or any construction contractor's failure to perform its work in accordance with the contract documents.

The CONSULTANT shall obtain and keep in force during the terms of this AGREEMENT, or as otherwise required, the following insurance with companies or through sources approved by the State Insurance Commissioner pursuant to Title 48 RCW.

Insurance Coverage

- A. Worker’s compensation and employer’s liability insurance as required by the STATE.
- B. Commercial general liability insurance written under ISO Form CG 00 01 12 04 or its equivalent with minimum limits of one million dollars (\$1,000,000.00) per occurrence and two million dollars (\$2,000,000.00) in the aggregate for each policy period.
- C. Business auto liability insurance written under ISO Form CG 00 01 10 01 or equivalent providing coverage for any “Auto” (Symbol 1) used in an amount not less than a one million dollar (\$1,000,000.00) combined single limit for each occurrence.

Excepting the Worker’s Compensation Insurance and any Professional Liability Insurance, the STATE and AGENCY, their officers, employees, and agents will be named on all policies of CONSULTANT and any sub-consultant and/or subcontractor as an additional insured (the “AIs”), with no restrictions or limitations concerning products and completed operations coverage. This coverage shall be primary coverage and non-contributory and any coverage maintained by the AIs shall be excess over, and shall not contribute with, the additional insured coverage required hereunder. The CONSULTANT’s and the sub-consultant’s and/or subcontractor’s insurer shall waive any and all rights of subrogation against the AIs. The CONSULTANT shall furnish the AGENCY with verification of insurance and endorsements required by this AGREEMENT. The AGENCY reserves the right to require complete, certified copies of all required insurance policies at any time.

All insurance shall be obtained from an insurance company authorized to do business in the State of Washington. The CONSULTANT shall submit a verification of insurance as outlined above within fourteen (14) days of the execution of this AGREEMENT to:

Name:
Agency:
Address:
City: State: Zip:
Email:
Phone:
Facsimile:

No cancellation of the foregoing policies shall be effective without thirty (30) days prior notice to the AGENCY.

The CONSULTANT’s professional liability to the AGENCY, including that which may arise in reference to section IX “Termination of Agreement” of this AGREEMENT, shall be limited to the accumulative amount of the authorized AGREEMENT or one million dollars (\$1,000,000.00), whichever is greater, unless the limit of liability is increased by the AGENCY pursuant to Exhibit H. In no case shall the CONSULTANT’s professional liability to third parties be limited in any way.

The parties enter into this AGREEMENT for the sole benefit of the parties, and to the exclusion of any third party, and no third party beneficiary is intended or created by the execution of this AGREEMENT.

The AGENCY will pay no progress payments under section V “Payment Provisions” until the CONSULTANT has fully complied with this section. This remedy is not exclusive; and the AGENCY may take such other action as is available to it under other provisions of this AGREEMENT, or otherwise in law.

XIII. Extra Work

- A. The AGENCY may at any time, by written order, make changes within the general scope of this AGREEMENT in the SERVICES to be performed.
- B. If any such change causes an increase or decrease in the estimated cost of, or the time required for, performance of any part of the SERVICES under this AGREEMENT, whether or not changed by the order, or otherwise affects any other terms and conditions of this AGREEMENT, the AGENCY shall make an equitable adjustment in the: (1) maximum amount payable; (2) delivery or completion schedule, or both; and (3) other affected terms and shall modify this AGREEMENT accordingly.
- C. The CONSULTANT must submit any “request for equitable adjustment,” hereafter referred to as “CLAIM,” under this clause within thirty (30) days from the date of receipt of the written order. However, if the AGENCY decides that the facts justify it, the AGENCY may receive and act upon a CLAIM submitted before final payment of this AGREEMENT.
- D. Failure to agree to any adjustment shall be a dispute under the section XI “Disputes” clause. However, nothing in this clause shall excuse the CONSULTANT from proceeding with the AGREEMENT as changed.
- E. Notwithstanding the terms and conditions of paragraphs (A.) and (B.) above, the maximum amount payable for this AGREEMENT, shall not be increased or considered to be increased except by specific written supplement to this AGREEMENT.

XIV. Endorsement of Plans

If applicable, the CONSULTANT shall place their endorsement on all plans, estimates, or any other engineering data furnished by them.

XV. Federal Review

The Federal Highway Administration shall have the right to participate in the review or examination of the SERVICES in progress.

XVI. Certification of the Consultant and the Agency

Attached hereto as Exhibit “G-1(a and b)” are the Certifications of the CONSULTANT and the AGENCY, Exhibit “G-2” Certification Regarding Debarment, Suspension and Other Responsibility Matters - Primary Covered Transactions, Exhibit “G-3” Certification Regarding the Restrictions of the Use of Federal Funds for Lobbying and Exhibit “G-4” Certificate of Current Cost or Pricing Data. Exhibit “G-3” is required only in AGREEMENTS over one hundred thousand dollars (\$100,000.00) and Exhibit “G-4” is required only in AGREEMENTS over five hundred thousand dollars (\$500,000.00.) These Exhibits must be executed by the CONSULTANT, and submitted with the master AGREEMENT, and returned to the AGENCY at the address listed in section III “General Requirements” prior to its performance of any SERVICES under this AGREEMENT.

XVII. Complete Agreement

This document and referenced attachments contain all covenants, stipulations, and provisions agreed upon by the parties. No agent, or representative of either party has authority to make, and the parties shall not be bound by or be liable for, any statement, representation, promise or agreement not set forth herein. No changes, amendments, or modifications of the terms hereof shall be valid unless reduced to writing and signed by the parties as a supplement to this AGREEMENT.

XVIII. Execution and Acceptance

This AGREEMENT may be simultaneously executed in several counterparts, each of which shall be deemed to be an original having identical legal effect. The CONSULTANT does hereby ratify and adopt all statements, representations, warranties, covenants, and AGREEMENT’s contained in the proposal, and the supporting material submitted by the CONSULTANT, and does hereby accept this AGREEMENT and agrees to all of the terms and conditions thereof.

XIX. Protection of Confidential Information

The CONSULTANT acknowledges that some of the material and information that may come into its possession or knowledge in connection with this AGREEMENT or its performance may consist of information that is exempt from disclosure to the public or other unauthorized persons under either chapter 42.56 RCW or other local, state, or federal statutes (“State’s Confidential Information”). The “State’s Confidential Information” includes, but is not limited to, names, addresses, Social Security numbers, e-mail addresses, telephone numbers, financial profiles credit card information, driver’s license numbers, medical data, law enforcement records (or any other information identifiable to an individual), STATE and AGENCY source code or object code, STATE and AGENCY security data, non-public Specifications, STATE and AGENCY non-publicly available data, proprietary software, STATE and AGENCY security data, or information which may jeopardize any part of the project that relates to any of these types of information. The CONSULTANT agrees to hold the State’s Confidential Information in strictest confidence and not to make use of the State’s Confidential Information for any purpose other than the performance of this AGREEMENT, to release it only to authorized employees, sub-consultants or subcontractors requiring such information for the purposes of carrying out this AGREEMENT, and not to release, divulge, publish, transfer, sell, disclose, or otherwise make it known to any other party without the AGENCY’s express written consent or as provided by law. The CONSULTANT agrees to release such information or material only to employees, sub-consultants or subcontractors who have signed a nondisclosure AGREEMENT, the terms of which have been previously approved by the AGENCY. The CONSULTANT agrees to implement physical, electronic, and managerial safeguards to prevent unauthorized access to the State’s Confidential Information.

Immediately upon expiration or termination of this AGREEMENT, the CONSULTANT shall, at the AGENCY’s option: (i) certify to the AGENCY that the CONSULTANT has destroyed all of the State’s Confidential Information; or (ii) returned all of the State’s Confidential Information to the AGENCY; or (iii) take whatever other steps the AGENCY requires of the CONSULTANT to protect the State’s Confidential Information.

As required under Executive Order 00-03, the CONSULTANT shall maintain a log documenting the following: the State’s Confidential Information received in the performance of this AGREEMENT; the purpose(s) for which the State’s Confidential Information was received; who received, maintained, and used the State’s Confidential Information; and the final disposition of the State’s Confidential Information. The CONSULTANT’s records shall be subject to inspection, review, or audit upon reasonable notice from the AGENCY.

The AGENCY reserves the right to monitor, audit, or investigate the use of the State’s Confidential Information collected, used, or acquired by the CONSULTANT through this AGREEMENT. The monitoring, auditing, or investigating may include, but is not limited to, salting databases.

Violation of this section by the CONSULTANT or its sub-consultants or subcontractors may result in termination of this AGREEMENT and demand for return of all State’s Confidential Information, monetary damages, or penalties

It is understood and acknowledged that the CONSULTANT may provide the AGENCY with information, which is proprietary and/or confidential during the term of this AGREEMENT. The parties agree to maintain the confidentiality of such information during the term of this AGREEMENT and afterwards. All materials containing such proprietary and/or confidential information shall be clearly identified and marked as “Confidential” and shall be returned to the disclosing party at the conclusion of the SERVICES under this AGREEMENT.

The CONSULTANT shall provide the AGENCY with a list of all information and materials it considers confidential and/or proprietary in nature: (a) at the commencement of the term of this AGREEMENT, or (b) as soon as such confidential or proprietary material is developed. "Proprietary and/or confidential information" is not meant to include any information which, at the time of its disclosure: (i) is already known to the other party; (ii) is rightfully disclosed to one of the parties by a third party that is not acting as an agent or representative for the other party; (iii) is independently developed by or for the other party; (iv) is publicly known; or (v) is generally utilized by unaffiliated third parties engaged in the same business or businesses as the CONSULTANT.

The parties also acknowledge that the AGENCY is subject to Washington State and federal public disclosure laws. As such, the AGENCY shall maintain the confidentiality of all such information marked proprietary and or confidential or otherwise exempt, unless such disclosure is required under applicable state or federal law. If a public disclosure request is made to view materials identified as "Proprietary and/or confidential information" or otherwise exempt information, the AGENCY will notify the CONSULTANT of the request and of the date that such records will be released to the requester unless the CONSULTANT obtains a court order from a court of competent jurisdiction enjoining that disclosure. If the CONSULTANT fails to obtain the court order enjoining disclosure, the AGENCY will release the requested information on the date specified.

The CONSULTANT agrees to notify the sub-consultant of any AGENCY communication regarding disclosure that may include a sub-consultant's proprietary and/or confidential information. The CONSULTANT notification to the sub-consultant will include the date that such records will be released by the AGENCY to the requester and state that unless the sub-consultant obtains a court order from a court of competent jurisdiction enjoining that disclosure the AGENCY will release the requested information. If the CONSULTANT and/or sub-consultant fail to obtain a court order or other judicial relief enjoining the AGENCY by the release date, the CONSULTANT shall waive and release and shall hold harmless and indemnify the AGENCY from all claims of actual or alleged damages, liabilities, or costs associated with the AGENCY's said disclosure of sub-consultants' information.

XX. Records Maintenance

During the progress of the Work and SERVICES provided hereunder and for a period of not less than six (6) years from the date of final payment to the CONSULTANT, the CONSULTANT shall keep, retain, and maintain all "documents" pertaining to the SERVICES provided pursuant to this AGREEMENT. Copies of all "documents" pertaining to the SERVICES provided hereunder shall be made available for review at the CONSULTANT's place of business during normal working hours. If any litigation, claim, or audit is commenced, the CONSULTANT shall cooperate with AGENCY and assist in the production of all such documents. "Documents" shall be retained until all litigation, claims or audit findings have been resolved even though such litigation, claim, or audit continues past the six (6) year retention period.

For purposes of this AGREEMENT, "documents" means every writing or record of every type and description, including electronically stored information ("ESI"), that is in the possession, control, or custody of the CONSULTANT, including, without limitation, any and all correspondences, contracts, AGREEMENTs, appraisals, plans, designs, data, surveys, maps, spreadsheets, memoranda, stenographic or handwritten notes, reports, records, telegrams, schedules, diaries, notebooks, logbooks, invoices, accounting records, work sheets, charts, notes, drafts, scribbles, recordings, visual displays, photographs, minutes of meetings, tabulations, computations, summaries, inventories, and writings regarding conferences, conversations or telephone conversations, and any and all other taped, recorded, written, printed or typed matters of any kind or description; every copy of the foregoing whether or not the original is in the possession, custody, or control of the CONSULTANT, and every copy of any of the foregoing, whether or not such copy is a copy identical to an original, or whether or not such copy contains any commentary or notation whatsoever that does not appear on the original.

For purposes of this AGREEMENT, "ESI" means any and all computer data or electronic recorded media of any kind, including "Native Files", that are stored in any medium from which it can be retrieved and examined, either directly or after translation into a reasonably useable form. ESI may include information and/or documentation stored in various software programs such as Email, Outlook, Word, Excel, Access, Publisher, PowerPoint, Adobe Acrobat, SQL databases, or any other software or electronic communication programs or databases that the CONSULTANT may use in the performance of its operations. ESI may be located on network servers, backup tapes, smart phones, thumb drives, CDs, DVDs, floppy disks, work computers, cell phones, laptops, or any other electronic device that CONSULTANT uses in the performance of its Work or SERVICES hereunder, including any personal devices used by the CONSULTANT or any sub-consultant at home.

"Native files" are a subset of ESI and refer to the electronic format of the application in which such ESI is normally created, viewed, and /or modified

The CONSULTANT shall include this section XX "Records Maintenance" in every subcontract it enters into in relation to this AGREEMENT and bind the sub-consultant to its terms, unless expressly agreed to otherwise in writing by the AGENCY prior to the execution of such subcontract.

In witness whereof, the parties hereto have executed this AGREEMENT as of the day and year shown in the "Execution Date" box on page one (1) of this AGREEMENT.



Signature

Date

Signature

Date

Any modification, change, or reformation of this AGREEMENT shall require approval as to form by the Office of the Attorney General.

***Exhibit A
Scope of Work***

Project No.

Exhibit A

Scope of Services for Structural Engineering On-Call Services

A. Description

Scope of Services. The CONSULTANT/CONTRACTOR has been retained to perform services for the City on an on-call basis during the term of this Agreement. By “on-call basis” it is meant that CONSULTANT/CONTRACTOR agrees to make itself available and to assign sufficient personnel to perform the services called for in this Agreement when and to the extent that the CITY requests the CONSULTANT/CONTRACTOR to do so.

Under this Agreement, the purpose of this Agreement is to provide comprehensive professional services in support of the Public Works department’s Structural Engineering and Bridge projects and program needs.

The specific on-call services to be provided are described as follows:

B. General Scope of Services

The CONSULTANT/CONTRACTOR will provide all labor, materials, equipment, and supplies to perform professional engineering services on a task order basis for various task assignment projects. These tasks may include, but are not limited to the following:

- Provide engineering and design services for yearly bridge preservation program and projects including development of project plans, specifications, and cost estimates.
- Assist city staff with project scoping and project estimation including field assessment and review of projects.
- Prepare design memorandums, technical memorandums, preliminary reports and final reports
- Assist city staff with yearly project selection and repair list
- Assist City staff in program development including prioritization strategies, budgeting, treatment selections, preservation strategies, program organization, other-City comparisons, and general asset management
- Provide design and construction management administration
- Provide peer reviews of other design document, plans, specifications, opinion of costs, or other related documents.
- Perform right-of-way and topographic surveying and mapping
- Provide construction inspection services
- Perform bridge inspections as needed
- Provide assistance with grant applications
- Provide public outreach assistance for projects including but not limited to preparation and distribution of outreach materials, call management, and website updates.
- Perform load ratings
- Perform scour analysis
- Perform seismic evaluation

- Determine bridge sufficiency rating
- Perform asset management including keeping bridge files up to date
- Respond to telephone inquiries and meet with City staff to discuss work product as needed
- Assist City staff in revising design guidance, standard details and specifications to meet the latest best practices.
- Respond to field questions regarding construction plans as needed.
- Provide graphic and artistic displays for publications and presentations.
- Provide BridgeWorks, Access database, Excel, Word, PowerPoint, and other software support.
- Provide drafting and GIS mapping support.
- Other Related Work Items:
 - Attendance and assistance at meetings
 - Coordination of work product with other service providers as needed.

Task Order Administration:

A. Period of Performance and Contract Value

This CONSULTANT/CONTRACTOR Agreement shall remain in effect until December 31, 2025. Any work authorized by task order before December 31, 2025, shall continue until the completion date designated in the task order.

At the City of Redmond's option, the contract may be extended for an additional two-year term based on the CITY'S need for continued services and CONSULTANT/CONTRACTOR'S performance.

The maximum value of this contract will not exceed one million dollars (\$1,000,000); however there is no guarantee that the CITY will expend the entire value of this contract. Specifically, the CITY does not guarantee that the CONSULTANT/CONTRACTOR will receive a specified volume of work, a specific total contract amount, or a specific task order value. At the City's discretion the value of the contract could potentially be increased through supplemental agreements in an amount not to exceed one million dollars (\$1,000,000). The work will be conducted through task orders for specific pieces of work.

B. CONSULTANT/CONTRACTOR Resources and Time

Specific work under this contract will be performed on a task order basis consisting of individually negotiated task orders. Each task order will provide a specific scope, budget and schedule of the services required.

The CONSULTANT/ONTRACTOR will be expected to respond on short notice to requests for technical services to resolve urgent task orders. CONSULTANT/CONTRACTOR task orders will be coordinated with on-going work being performed by the CITY.

C. Task Order Process

1. For each individual task order, the project manager will issue a written or verbal “Task Order Request” to the CONSULTANT/CONTRACTOR. The task request will describe the nature and extent of the project, its scope & preliminary schedule.
2. Within ten (10) calendar days of the time frame specified in the “task order request,” the CONSULTANT/CONTRACTOR will prepare a proposal that includes an applicable scope of work, schedule, and fees as well as identify key staff assignments and potential subconsultants.
3. The CONSULTANT/CONTRACTOR and project manager will determine the detailed scope of work, project schedule consultant fee, and other project management details.
4. The project manager will provide a final approval of the task order with a signed task order sheet.
5. The CONSULTANT/CONTRACTOR will be paid on the basis of approved monthly invoices. Task orders will be invoiced in a manner to allow costs to identified by work performed under separate task orders.

The project manager will issue a written task release when work on a specific task order is complete and final payment for that task is authorized.

Exhibit B
DBE Participation Plan

In the absents of a mandatory DBE goal, a voluntary SBE goal amount of ten percent of the Consultant Agreement is established. The Consultant shall develop a SBE Participation Plan prior to commencing work. Although the goal is voluntary, the outreach efforts to provide SBE maximum practicable opportunities are not.

KPFF will look for opportunities to include SBE firms in future task orders as much as possible.

Preparation and Delivery of Electronic Engineering and Other Data

In this Exhibit the agency, as applicable, is to provide a description of the format and standards the consultant is to use in preparing electronic files for transmission to the agency. The format and standards to be provided may include, but are not limited to, the following:

I. Surveying, Roadway Design & Plans Preparation Section

A. Survey Data

Standard: City of Redmond Datum Control, State Plan Coordinate System
Format: Basemap in CAD/Civil3D 2013 or higher
Transmission: FTP, CD, Email, SharePoint

B. Roadway Design Files

Standard: City of Redmond, AASHTO, NACTOI, WSDOT Design Manual
Format: Basemap in CAD/Civil3D 2013 or higher
Transmission: FTP, CD, Email, SharePoint

C. Computer Aided Drafting Files

Standard: Consultant Drafting Standards, City of Redmond Title Block Format
Format: Basemap in CAD/Civil3D 2013 or higher
Transmission: FTP, CD, Email, SharePoint

D. Specify the Agency's Right to Review Product with the Consultant

Agency will retain the right to review all deliverable referenced in the Scope of Work Exhibit A

E. Specify the Electronic Deliverables to Be Provided to the Agency

Deliverables outlined in Scope of Work Exhibit A

F. Specify What Agency Furnished Services and Information Is to Be Provided

Agency furnished services and information outlined in Scope of Work Exhibit A

II. Any Other Electronic Files to Be Provided

Excel Spreadsheets
Word Documents
PDFs
WSDOT eForms

III. Methods to Electronically Exchange Data

Email, FTP, SharePoint

A. Agency Software Suite

N/A

B. Electronic Messaging System

N/A

C. File Transfers Format

PDF, Zip Files, Word, Excel,
Access, CAD

Exhibit D
Prime Consultant Cost Computations

Exhibit D

Structural Engineering Bridge Program On-Call

KPFF

TITLE	NTE HOURLY RATE	OH 140.56%	FF 30%	BILLING RATE
Principal	\$ 79.04	\$ 111.10	\$ 23.71	\$ 213.85
Project Manager	\$ 78.18	\$ 109.89	\$ 23.45	\$ 211.52
Senior Professional Engineer II	\$ 74.54	\$ 104.78	\$ 22.36	\$ 201.69
Senior Professional Engineer I	\$ 62.92	\$ 88.44	\$ 18.88	\$ 170.23
Design Engineer II	\$ 49.13	\$ 69.06	\$ 14.74	\$ 132.92
Design Engineer I	\$ 41.05	\$ 57.70	\$ 12.32	\$ 111.07
Design Engineer	\$ 36.98	\$ 51.98	\$ 11.10	\$ 100.06
Sr. CAD Drafter	\$ 61.16	\$ 85.96	\$ 18.35	\$ 165.47
CAD Drafter II	\$ 58.27	\$ 81.91	\$ 17.48	\$ 157.67
CAD Drafter I	\$ 33.00	\$ 46.38	\$ 9.90	\$ 89.28
Project Coordinator	\$ 38.92	\$ 54.70	\$ 11.67	\$ 105.29
Senior Construction Manager	\$ 69.23	\$ 97.31	\$ 20.77	\$ 187.31
Construction Manager	\$ 51.92	\$ 72.98	\$ 15.58	\$ 140.47
Assistant Construction Manager	\$ 46.88	\$ 65.89	\$ 14.06	\$ 126.84

Negotiated Fee

Project Name:	Structural Engineering & Bridge Program On-Call
Project Number:	
Consultant:	KPFF
Estimated Design Fee:	\$1,000,000
Prepared By:	W. Greg Hess

Fee Computation

Factor	Rate	Weight	Value
Degree of Risk	0.30	X 25	7.50
Relative Difficulty of Work	0.30	X 20	6.00
Estimated Design Fee	\$1,000,000	0.32 X 15	4.80
Period of Performance enter in months	36	0.35 X 15	5.25
Assistance by the Agency	0.25	X 15	3.75
Subconsulting enter %	25%	0.28 X 10	2.80
Negotiated Fee (% of DSC)		100	30 %

Note: See Instructions for factor descriptions and rate guidelines. Minimum is .17 and maximum is .35.

Multiplier Computation

Component	Multiplier
Labor (DSC)	1.00
Overhead (OH)	1.41
Fee	0.30
Total Multiplier (DSC+OH+Fee)	2.71



**Washington State
Department of Transportation**

Development Division
Contract Services Office
PO Box 47408
Olympia, WA 98504-7408
7345 Linderson Way SW
Tumwater, WA 98501-6504

TTY: 1-800-833-6388
www.wsdot.wa.gov

October 8, 2021

KPFF, Inc.
1601 Fifth Avenue, Ste 1600
Seattle, WA 98101-3665

Subject: Acceptance FYE 2021 ICR – Cognizant Review

Dear Marci Monroe-Jones:

We have accepted your firms FYE 2021 Indirect Cost Rate (ICR) based on the “Cognizant Review” from the Washington State Department of Transportation Audit Office as follows:

Home Rate: 140.40%
Home Facilities Capital Cost of Money: 0.16%
Field Rate: 108.79%
Field Facilities Capital Cost of Money: 0.04%

This rate will be applicable for WSDOT Agreements and Local Agency Contracts in Washington only. This rate may be subject to additional review if considered necessary by WSDOT. Your ICR must be updated on an annual basis.

Costs billed to agreements/contracts will still be subject to audit of actual costs, based on the terms and conditions of the respective agreement/contract.

Any other entity contracting with your firm is responsible for determining the acceptability of the ICR.

If you have any questions, feel free to contact our office at **(360) 705-7019** or via email consultantrates@wsdot.wa.gov.

Regards;

ERIK K. JONSON
Contract Services Manager

EKJ:ah

Exhibit E ***Sub-consultant Cost Computations***

If no sub-consultant participation listed at this time. The CONSULTANT shall not sub-contract for the performance of any work under this AGREEMENT without prior written permission of the AGENCY. Refer to section VI “Sub-Contracting” of this AGREEMENT.

Furtado & Associates, Inc.
Red Barn Group, Inc.

Structural Engineering Bridge Program On-Call

Red Barn Group Inc.

TITLE	NTE HOURLY RATE	OH 156%	FF 30%	BILLING RATE
(e.g. Senior Engineer, Admin. Support, etc.)		\$ -	\$ -	\$ -
Construction Inspector 1	\$ 60.00	\$ 93.60	\$ 18.00	\$ 171.60
Construction Inspector 2	\$ 72.00	\$ 112.32	\$ 21.60	\$ 205.92
Project/Contract Manager	\$ 50.00	\$ 78.00	\$ 15.00	\$ 143.00
Admin	\$ 35.00	\$ 54.60	\$ 10.50	\$ 100.10
Principal Engineer (Bridge Inspector Certified)	\$ 90.00	\$ 140.40	\$ 27.00	\$ 257.40

Negotiated Fee

Project Name:	Structural Engineering & Bridge Program On-Call
Project Number:	22-0048 (Red Barn Proj. Number)
Consultant:	Red Barn Group Inc.
Estimated Design Fee:	\$0
Prepared By:	Rebekah J. Weston, PE

Fee Computation

Factor	Rate		Weight	Value
Degree of Risk	0.28	X	25	7.00
Relative Difficulty of Work	0.28	X	20	5.60
Estimated Design Fee	0.35	X	15	5.25
Period of Performance	enter in months		36	0.35 X 15 = 5.25
Assistance by the Agency	0.35	X	15	5.25
Subconsulting	enter %	X	10	1.70
Negotiated Fee (% of DSC)			100	30 %

Note: See Instructions for factor descriptions and rate guidelines. Minimum is .17 and maximum is .35.

Multiplier Computation

Component	Multiplier
Labor (DSC)	1.00
Overhead (OH)	1.56
Fee	0.30
Total Multiplier (DSC+OH+Fee)	2.86

Structural Engineering Bridge Program On-Call

Furtado & Associates, Inc.

TITLE	NTE HOURLY		OH	FF	BILLING
	RATE		169.44%	30.00%	RATE
(e.g. Senior Engineer, Admin. Support, etc.)			\$ -	\$ -	\$ -
President	\$	145.00	\$ 245.69	\$ 43.50	\$ 434.19
Senior Vice President	\$	130.00	\$ 220.27	\$ 39.00	\$ 389.27
Vice President	\$	120.00	\$ 203.33	\$ 36.00	\$ 359.33
Principal	\$	100.00	\$ 169.44	\$ 30.00	\$ 299.44
Senior Principal	\$	130.00	\$ 220.27	\$ 39.00	\$ 389.27
Department Manager	\$	80.00	\$ 135.55	\$ 24.00	\$ 239.55
Survey Manager I	\$	60.00	\$ 101.66	\$ 18.00	\$ 179.66
Survey Manager II	\$	80.00	\$ 135.55	\$ 24.00	\$ 239.55
Survey Manager III	\$	97.00	\$ 164.36	\$ 29.10	\$ 290.46
Civil CAD Manager	\$	70.00	\$ 118.61	\$ 21.00	\$ 209.61
Deputy Project Manager	\$	60.00	\$ 101.66	\$ 18.00	\$ 179.66
Project Manager I	\$	65.00	\$ 110.14	\$ 19.50	\$ 194.64
Project Manager II	\$	85.00	\$ 144.02	\$ 25.50	\$ 254.52
Senior Project Manager	\$	120.00	\$ 203.33	\$ 36.00	\$ 359.33
Surveyor I	\$	26.00	\$ 44.05	\$ 7.80	\$ 77.85
Surveyor II	\$	32.00	\$ 54.22	\$ 9.60	\$ 95.82
Surveyor III	\$	35.00	\$ 59.30	\$ 10.50	\$ 104.80
Field Team Lead	\$	45.00	\$ 76.25	\$ 13.50	\$ 134.75
Field Team Lead, Senior	\$	55.00	\$ 93.19	\$ 16.50	\$ 164.69
Field Team Lead, Senior Managing	\$	65.00	\$ 110.14	\$ 19.50	\$ 194.64
Senior Survey Technician	\$	60.00	\$ 101.66	\$ 18.00	\$ 179.66
Engineering Technician I	\$	26.00	\$ 44.05	\$ 7.80	\$ 77.85
Engineering Technician II	\$	30.00	\$ 50.83	\$ 9.00	\$ 89.83
Engineering Technician III	\$	35.00	\$ 59.30	\$ 10.50	\$ 104.80
Engineering Technician IV	\$	40.00	\$ 67.78	\$ 12.00	\$ 119.78
Engineering Technician V	\$	45.00	\$ 76.25	\$ 13.50	\$ 134.75
Engineering Technician VI	\$	50.00	\$ 84.72	\$ 15.00	\$ 149.72
Engineering Technician VII	\$	55.00	\$ 93.19	\$ 16.50	\$ 164.69
Engineering Technician VIII	\$	60.00	\$ 101.66	\$ 18.00	\$ 179.66
Engineering Technician IX	\$	65.00	\$ 110.14	\$ 19.50	\$ 194.64
Civil Engineer I	\$	44.00	\$ 74.55	\$ 13.20	\$ 131.75
Civil Engineer II	\$	65.00	\$ 110.14	\$ 19.50	\$ 194.64
Civil Engineer III	\$	80.00	\$ 135.55	\$ 24.00	\$ 239.55
Engineering Department Manager	\$	85.00	\$ 144.02	\$ 25.50	\$ 254.52
Document Control Specialist I	\$	50.00	\$ 84.72	\$ 15.00	\$ 149.72
Document Control Specialist II	\$	70.00	\$ 118.61	\$ 21.00	\$ 209.61
Construction Inspector I	\$	50.00	\$ 84.72	\$ 15.00	\$ 149.72
Construction Inspector II	\$	75.00	\$ 127.08	\$ 22.50	\$ 224.58
Construction Inspector III	\$	90.00	\$ 152.50	\$ 27.00	\$ 269.50
Construction Manager I	\$	65.00	\$ 110.14	\$ 19.50	\$ 194.64
Construction Manager II	\$	80.00	\$ 135.55	\$ 24.00	\$ 239.55
Construction Manager III	\$	100.00	\$ 169.44	\$ 30.00	\$ 299.44

Structural Engineering Bridge Program On-Call

Project/Office Administrator I	\$	25.00	\$	42.36	\$	7.50	\$	74.86
Project/Office Administrator II	\$	40.00	\$	67.78	\$	12.00	\$	119.78
Senior Project/Office Administrator	\$	60.00	\$	101.66	\$	18.00	\$	179.66
HR Manager	\$	55.00	\$	93.19	\$	16.50	\$	164.69
Controller	\$	74.50	\$	126.23	\$	22.35	\$	223.08

Negotiated Fee

Project Name:	Structural Engineering & Bridge Program On-Call
Project Number:	
Consultant:	Furtado and Associates Consulting Engineers
Estimated Design Fee:	\$0
Prepared By:	Joshua Cheatham

Fee Computation

Factor	Rate		Weight	Value
Degree of Risk	0.28	X	25	7.00
Relative Difficulty of Work	0.28	X	20	5.60
Estimated Design Fee	0.35	X	15	5.25
Period of Performance	enter in months		36	0.35 X 15 = 5.25
Assistance by the Agency	0.35	X	15	5.25
Subconsulting	enter %		0%	0.17 X 10 = 1.70
Negotiated Fee (% of DSC)			100	30 %

Note: See Instructions for factor descriptions and rate guidelines. Minimum is .17 and maximum is .35.

Multiplier Computation

Component	Multiplier
Labor (DSC)	1.00
Overhead (OH)	1.69
Fee	0.30
Total Multiplier (DSC+OH+Fee)	2.99



March 15, 2022

Furtado & Associates, Inc.
901 Fifth Avenue, Suite 2710
Seattle, WA 98164

Subject: Acceptance FYE 2021 ICR – Audit Office Review

Dear Jessica Goldsberry:

Transmitted herewith is the WSDOT Audit Office's memo of "Acceptance" of your firm's FYE 2021 Indirect Cost Rate (ICR) as follows:

- Home Office: 169.44% of direct labor
- Field Office: 146.17% of direct labor

This rate will be applicable for WSDOT Agreements and Local Agency Contracts in Washington only. This rate may be subject to additional review if considered necessary by WSDOT. Your ICR must be updated on an annual basis.

Costs billed to agreements/contracts will still be subject to audit of actual costs, based on the terms and conditions of the respective agreement/contract.

This was not a cognizant review. Any other entity contracting with your firm is responsible for determining the acceptability of the ICR.

If you have any questions, feel free to contact our office at **(360) 705-7019** or via email consultanrates@wsdot.wa.gov.

Regards;

ERIK K. JONSON
Contract Services Manager

EKJ:ah

Exhibit F - Title VI Assurances Appendix A & E

APPENDIX A

During the performance of this contract, the contractor, for itself, its assignees, and successors in interest (hereinafter referred to as the "contractor") agrees as follows:

1. **Compliance with Regulations:** The contractor (hereinafter includes consultants) will comply with the Acts and the Regulations relative to Non-discrimination in Federally-assisted programs of the U.S. Department of Transportation, (*Federal Highway Administration*), as they may be amended from time to time, which are herein incorporated by reference and made a part of this contract.
2. **Non-discrimination:** The contractor, with regard to the work performed by it during the contract, will not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The contractor will not participate directly or indirectly in the discrimination prohibited by the Acts and the Regulations, including employment practices when the contract covers any activity, project, or program set forth in Appendix B of 49 CFR Part 21. *[Include Washington State Department of Transportation specific program requirements.]*
3. **Solicitations for Subcontracts, Including Procurements of Materials and Equipment:** In all solicitations, either by competitive bidding, or negotiation made by the contractor for work to be performed under a subcontract, including procurements of materials, or leases of equipment, each potential subcontractor or supplier will be notified by the contractor of the contractor's obligations under this contract and the Acts and the Regulations relative to Non-discrimination on the grounds of race, color, or national origin. *[Include Washington State Department of Transportation specific program requirements.]*
4. **Information and Reports:** The contractor will provide all information and reports required by the Acts, the Regulations, and directives issued pursuant thereto and will permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the Recipient or the (*Federal Highway Administration*) to be pertinent to ascertain compliance with such Acts, Regulations, and instructions. Where any information required of a contractor is in the exclusive possession of another who fails or refuses to furnish the information, the contractor will so certify to the Recipient or the (*Federal Highway Administration*), as appropriate, and will set forth what efforts it has made to obtain the information.
5. **Sanctions for Noncompliance:** In the event of a contractor's noncompliance with the Non- discrimination provisions of this contract, the Recipient will impose such contract sanctions as it or the (*Federal Highway Administration*) may determine to be appropriate, including, but not limited to:
 - a. withholding payments to the contractor under the contract until the contractor complies; and/or
 - b. cancelling, terminating, or suspending a contract, in whole or in part.
6. **Incorporation of Provisions:** The contractor will include the provisions of paragraphs one through six in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Acts, the Regulations and directives issued pursuant thereto. The contractor will take action with respect to any subcontract or procurement as the Recipient or the (*Federal Highway Administration*) may direct as a means of enforcing such provisions including sanctions for noncompliance. Provided, that if the contractor becomes involved in, or is threatened with litigation by a subcontractor, or supplier because of such direction, the contractor may request the Recipient to enter into any litigation to protect the interests of the Recipient. In addition, the contractor may request the United States to enter into the litigation to protect the interests of the United States.

Exhibit F - Title VI Assurances Appendix A & E

APPENDIX E

During the performance of this contract, the contractor, for itself, its assignees, and successors in interest (hereinafter referred to as the "contractor") agrees to comply with the following non-discrimination statutes and authorities; including but not limited to:

Pertinent Non-Discrimination Authorities:

- Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d et seq., 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin); and 49 CFR Part 21.
- The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, (42 U.S.C. § 4601), (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects);
- Federal-Aid Highway Act of 1973, (23 U.S.C. § 324 et seq.), (prohibits discrimination on the basis of sex);
- Section 504 of the Rehabilitation Act of 1973, (29 U.S.C. § 794 et seq.), as amended, (prohibits discrimination on the basis of disability); and 49 CFR Part 27;
- The Age Discrimination Act of 1975, as amended, (42 U.S.C. § 6101 et seq.), (prohibits discrimination on the basis of age);
- Airport and Airway Improvement Act of 1982, (49 USC § 471, Section 47123), as amended, (prohibits discrimination based on race, creed, color, national origin, or sex);
- The Civil Rights Restoration Act of 1987, (PL 100-209), (Broadened the scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, The Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms "programs or activities" to include all of the programs or activities of the Federal-aid recipients, sub-recipients and contractors, whether such programs or activities are Federally funded or not);
- Titles II and III of the Americans with Disabilities Act, which prohibit discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities (42 U.S.C. §§ 12131-12189) as implemented by Department of Transportation regulations at 49 C.F.R. parts 37 and 38;
- The Federal Aviation Administration's Non-discrimination statute (49 U.S.C. § 47123) (prohibits discrimination on the basis of race, color, national origin, and sex);
- Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations, which ensures discrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations;
- Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, and resulting agency guidance, national origin discrimination includes discrimination because of limited English proficiency (LEP). To ensure compliance with Title VI, you must take reasonable steps to ensure that LEP persons have meaningful access to your programs (70 Fed. Reg. at 74087 to 74100);
- Title IX of the Education Amendments of 1972, as amended, which prohibits you from discriminating because of sex in education programs or activities (20 U.S.C. 1681 et seq).

Exhibit G

Certification Document

- Exhibit G-1(a) Certification of Consultant
- Exhibit G-1(b) Certification of _____
- Exhibit G-2 Certification Regarding Debarment, Suspension and Other Responsibility Matters - Primary Covered Transactions
- Exhibit G-3 Certification Regarding the Restrictions of the Use of Federal Funds for Lobbying
- Exhibit G-4 Certificate of Current Cost or Pricing Data

Exhibit G-1(a) Certification of Consultant

I hereby certify that I am the and duly authorized representative of the firm of

whose address is

and that neither the above firm nor I have

- a) Employed or retained for a commission, percentage, brokerage, contingent fee, or other consideration, any firm or person (other than a bona fide employee working solely for me or the above CONSULTANT) to solicit or secure this AGREEMENT;
- b) Agreed, as an express or implied condition for obtaining this contract, to employ or retain the services of any firm or person in connection with carrying out this AGREEMENT; or
- c) Paid, or agreed to pay, to any firm, organization or person (other than a bona fide employee working solely for me or the above CONSULTANT) any fee, contribution, donation, or consideration of any kind for, or in connection with, procuring or carrying out this AGREEMENT; except as hereby expressly stated (if any);

I acknowledge that this certificate is to be furnished to the _____

and the Federal Highway Administration, U.S. Department of Transportation in connection with this AGREEMENT involving participation of Federal-aid highway funds, and is subject to applicable State and Federal laws, both criminal and civil.

Consultant (Firm Name)



Signature (Authorized Official of Consultant)

Date

Exhibit G-1(b) Certification of _____

I hereby certify that I am the:

Other

of the _____, and _____

or its representative has not been required, directly or indirectly as an express or implied condition in connection with obtaining or carrying out this AGREEMENT to:

- a) Employ or retain, or agree to employ to retain, any firm or person; o
- b) Pay, or agree to pay, to any firm, person, or organization, any fee, contribution, donation, or consideration of any kind; except as hereby expressly stated (if any):

I acknowledge that this certificate is to be furnished to the _____

and the Federal Highway Administration, U.S. Department of Transportation, in connection with this AGREEMENT involving participation of Federal-aid highway funds, and is subject to applicable State and Federal laws, both criminal and civil.

Signature

Date

Exhibit G-2 Certification Regarding Debarment Suspension and Other Responsibility Matters - Primary Covered Transactions

- I. The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
 - A. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
 - B. Have not within a three (3) year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State anti-trust statues or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - C. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; an
 - D. Have not within a three (3) year period preceding this application / proposal had one or more public transactions (Federal, State and local) terminated for cause or default.
- II. Where the prospective primary participant is unable to certify to any of the statements in this certification such prospective participant shall attach an explanation to this proposal.

Consultant (Firm Name)



Signature (Authorized Official of Consultant)

Date

Exhibit G-3 Certification Regarding the Restrictions of the Use of Federal Funds for Lobbying

The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or any employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative AGREEMENT, and the extension, continuation, renewal, amendment, or modification of Federal contract, grant, loan or cooperative AGREEMENT.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan or cooperative AGREEMENT, the undersigned shall complete and submit Standard Form - LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the require certification shall be subject to a civil penalty of not less than \$10,000.00, and not more than \$100,000.00 for each such failure.

The prospective participant also agrees by submitting his or her bid or proposal that he or she shall require that the language of this certification be included in all lower tier sub-contracts, which exceed \$100,000 and that all such sub-recipients shall certify and disclose accordingly.

Consultant (Firm Name)



Signature (Authorized Official of Consultant)

Date

Exhibit G-4 Certification of Current Cost or Pricing Data

This is to certify that, to the best of my knowledge and belief, the cost or pricing data (as defined in section of the Federal Acquisition Regulation (FAR) and required under FAR subsection 15.403-4) submitted, either actually or by specific identification in writing, to the Contracting Officer or to the Contracting Officer's representative in support of _____* are accurate, complete, and current as of _____**.

This certification includes the cost or pricing data supporting any advance AGREEMENT's and forward pricing rate AGREEMENT's between the offer or and the Government that are part of the proposal.

Firm: _____



Signature

Title

Date of Execution _____***.

*Identify the proposal, quotation, request for pricing adjustment, or other submission involved, giving the appropriate identifying number (e.g. project title.)

**Insert the day, month, and year, when price negotiations were concluded and price AGREEMENT was reached.

***Insert the day, month, and year, of signing, which should be as close as practicable to the date when the price negotiations were concluded and the contract price was agreed to.

To Be Used Only If Insurance Requirements Are Increased

The professional liability limit of the CONSULTANT to the AGENCY identified in Section XII, Legal Relations and Insurance of this Agreement is amended to \$ _____ .

The CONSULTANT shall provide Professional Liability insurance with minimum per occurrence limits in the amount of \$ _____.

Such insurance coverage shall be evidenced by one of the following methods:

- Certificate of Insurance
- Self-insurance through an irrevocable Letter of Credit from a qualified financial institution

Self-insurance through documentation of a separate fund established exclusively for the payment of professional liability claims, including claim amounts already reserved against the fund, safeguards established for payment from the fund, a copy of the latest annual financial statements, and disclosure of the investment portfolio for those funds.

Should the minimum Professional Liability insurance limit required by the AGENCY as specified above exceed \$1 million per occurrence or the value of the contract, whichever is greater, then justification shall be submitted to the Federal Highway Administration (FHWA) for approval to increase the minimum insurance limit.

If FHWA approval is obtained, the AGENCY may, at its own cost, reimburse the CONSULTANT for the additional professional liability insurance required.

Notes: Cost of added insurance requirements: \$ _____.

- Include all costs, fee increase, premiums.
 - This cost shall not be billed against an FHWA funded project.
 - For final contracts, include this exhibit
-

Exhibit I

Alleged Consultant Design Error Procedures

The purpose of this exhibit is to establish a procedure to determine if a consultant has alleged design error is of a nature that exceeds the accepted standard of care. In addition, it will establish a uniform method for the resolution and/or cost recovery procedures in those instances where the agency believes it has suffered some material damage due to the alleged error by the consultant.

Step 1 Potential Consultant Design Error(s) is Identified by Agency's Project Manager

At the first indication of potential consultant design error(s), the first step in the process is for the Agency's project manager to notify the Director of Public Works or Agency Engineer regarding the potential design error(s). For federally funded projects, the Region Local Programs Engineer should be informed and involved in these procedures. (Note: The Director of Public Works or Agency Engineer may appoint an agency staff person other than the project manager, who has not been as directly involved in the project, to be responsible for the remaining steps in these procedures.)

Step 2 Project Manager Documents the Alleged Consultant Design Error(s)

After discussion of the alleged design error(s) and the magnitude of the alleged error(s), and with the Director of Public Works or Agency Engineer's concurrence, the project manager obtains more detailed documentation than is normally required on the project. Examples include all decisions and descriptions of work, photographs, records of labor, materials, and equipment.

Step 3 Contact the Consultant Regarding the Alleged Design Error(s)

If it is determined that there is a need to proceed further, the next step in the process is for the project manager to contact the consultant regarding the alleged design error(s) and the magnitude of the alleged error(s). The project manager and other appropriate agency staff should represent the agency and the consultant should be represented by their project manager and any personnel (including sub-consultants) deemed appropriate for the alleged design error(s) issue.

Step 4 Attempt to Resolve Alleged Design Error with Consultant

After the meeting(s) with the consultant have been completed regarding the consultant's alleged design error(s), there are three possible scenarios:

- It is determined via mutual agreement that there is not a consultant design error(s). If this is the case, then the process will not proceed beyond this point.
- It is determined via mutual agreement that a consultant design error(s) occurred. If this is the case, then the Director of Public Works or Agency Engineer, or their representatives, negotiate a settlement with the consultant. The settlement would be paid to the agency or the amount would be reduced from the consultant's agreement with the agency for the services on the project in which the design error took place. The agency is to provide LP, through the Region Local Programs Engineer, a summary of the settlement for review and to make adjustments, if any, as to how the settlement affects federal reimbursements. No further action is required.
- There is not a mutual agreement regarding the alleged consultant design error(s). The consultant may request that the alleged design error(s) issue be forwarded to the Director of Public Works or Agency Engineer for review. If the Director of Public Works or Agency Engineer, after review with their legal counsel, is not able to reach mutual agreement with the consultant, proceed to Step 5.

Step 5 Forward Documents to Local Programs

For federally funded projects, all available information, including costs, should be forwarded through the Region Local Programs Engineer to LP for their review and consultation with the FHWA. LP will meet with representatives of the agency and the consultant to review the alleged design error(s), and attempt to find a resolution to the issue. If necessary, LP will request assistance from the Attorney General's Office for legal interpretation. LP will also identify how the alleged error(s) affects eligibility of project costs for federal reimbursement.

- If mutual agreement is reached, the agency and consultant adjust the scope of work and costs to reflect the agreed upon resolution. LP, in consultation with FHWA, will identify the amount of federal participation in the agreed upon resolution of the issue.
- If mutual agreement is not reached, the agency and consultant may seek settlement by arbitration or by litigation.

Exhibit J

Consultant Claim Procedures

The purpose of this exhibit is to describe a procedure regarding claim(s) on a consultant agreement. The following procedures should only be utilized on consultant claims greater than \$1,000. If the consultant's claim(s) total a \$1,000 or less, it would not be cost effective to proceed through the outlined steps. It is suggested that the Director of Public Works or Agency Engineer negotiate a fair and reasonable price for the consultant's claim(s) that total \$1,000 or less.

This exhibit will outline the procedures to be followed by the consultant and the agency to consider a potential claim by the consultant.

Step 1 Consultant Files a Claim with the Agency Project Manager

If the consultant determines that they were requested to perform additional services that were outside of the agreement's scope of work, they may be entitled to a claim. The first step that must be completed is the request for consideration of the claim to the Agency's project manager.

The consultant's claim must outline the following:

- Summation of hours by classification for each firm that is included in the claim
- Any correspondence that directed the consultant to perform the additional work;
- Timeframe of the additional work that was outside of the project scope;
- Summary of direct labor dollars, overhead costs, profit and reimbursable costs associated with the additional work; and
- Explanation as to why the consultant believes the additional work was outside of the agreement scope of work.

Step 2 Review by Agency Personnel Regarding the Consultant's Claim for Additional Compensation

After the consultant has completed step 1, the next step in the process is to forward the request to the Agency's project manager. The project manager will review the consultant's claim and will meet with the Director of Public Works or Agency Engineer to determine if the Agency agrees with the claim. If the FHWA is participating in the project's funding, forward a copy of the consultant's claim and the Agency's recommendation for federal participation in the claim to the WSDOT Local Programs through the Region Local Programs Engineer. If the claim is not eligible for federal participation, payment will need to be from agency funds.

If the Agency project manager, Director of Public Works or Agency Engineer, WSDOT Local Programs (if applicable), and FHWA (if applicable) agree with the consultant's claim, send a request memo, including backup documentation to the consultant to either supplement the agreement, or create a new agreement for the claim. After the request has been approved, the Agency shall write the supplement and/or new agreement and pay the consultant the amount of the claim. Inform the consultant that the final payment for the agreement is subject to audit. No further action is needed regarding the claim procedures.

If the Agency does not agree with the consultant's claim, proceed to step 3 of the procedures.

Step 3 Preparation of Support Documentation Regarding Consultant's Claim(s)

If the Agency does not agree with the consultant's claim, the project manager shall prepare a summary for the Director of Public Works or Agency Engineer that included the following:

- Copy of information supplied by the consultant regarding the claim;
- Agency's summation of hours by classification for each firm that should be included in the claim
- Any correspondence that directed the consultant to perform the additional work;
- Agency's summary of direct labor dollars, overhead costs, profit and reimbursable costs associate with the additional work;
- Explanation regarding those areas in which the Agency does/does not agree with the consultant's claim(s);
- Explanation to describe what has been instituted to preclude future consultant claim(s); and
- Recommendations to resolve the claim.

Step 4 Director of Public Works or Agency Engineer Reviews Consultant Claim and Agency Documentation

The Director of Public Works or Agency Engineer shall review and administratively approve or disapprove the claim, or portions thereof, which may include getting Agency Council or Commission approval (as appropriate to agency dispute resolution procedures). If the project involves federal participation, obtain concurrence from WSDOT Local Programs and FHWA regarding final settlement of the claim. If the claim is not eligible for federal participation, payment will need to be from agency funds.

Step 5 Informing Consultant of Decision Regarding the Claim

The Director of Public Works or Agency Engineer shall notify (in writing) the consultant of their final decision regarding the consultant's claim(s). Include the final dollar amount of the accepted claim(s) and rationale utilized for the decision.

Step 6 Preparation of Supplement or New Agreement for the Consultant's Claim(s)

The agency shall write the supplement and/or new agreement and pay the consultant the amount of the claim. Inform the consultant that the final payment for the agreement is subject to audit

Local Agency A&E Professional Services Negotiated Hourly Rate Consultant Agreement

Agreement Number: _____

Firm/Organization Legal Name (do not use dba's):		
Address	Federal Aid Number	
UBI Number	Federal TIN	
Execution Date	Completion Date	
1099 Form Required <input type="checkbox"/> Yes <input type="checkbox"/> No	Federal Participation <input type="checkbox"/> Yes <input type="checkbox"/> No	
Project Title		
Description of Work		
<input type="checkbox"/> Yes <input type="checkbox"/> Yes <input type="checkbox"/> Yes <input type="checkbox"/> Yes	<input type="checkbox"/> No DBE Participation <input type="checkbox"/> No MBE Participation <input type="checkbox"/> No WBE Participation <input type="checkbox"/> No SBE Participation	Maximum Amount Payable: \$1,000,000.00

Index of Exhibits

- Exhibit A Scope of Work
- Exhibit B DBE Participation
- Exhibit C Preparation and Delivery of Electronic Engineering and Other Data
- Exhibit D Prime Consultant Cost Computations
- Exhibit E Sub-consultant Cost Computations
- Exhibit F Title VI Assurances
- Exhibit G Certification Documents
- Exhibit H ~~Liability Insurance Increase~~ **Not Applicable**
- Exhibit I Alleged Consultant Design Error Procedures
- Exhibit J Consultant Claim Procedures

THIS AGREEMENT, made and entered into as shown in the “Execution Date” box on page one (1) of this AGREEMENT, between the _____, hereinafter called the “AGENCY,” and the “Firm / Organization Name” referenced on page one (1) of this AGREEMENT, hereinafter called the “CONSULTANT.”

WHEREAS, the AGENCY desires to accomplish the work referenced in “Description of Work” on page one (1) of this AGREEMENT and hereafter called the “SERVICES;” and does not have sufficient staff to meet the required commitment and therefore deems it advisable and desirable to engage the assistance of a CONSULTANT to provide the necessary SERVICES; and

WHEREAS, the CONSULTANT represents that they comply with the Washington State Statutes relating to professional registration, if applicable, and has signified a willingness to furnish consulting services to the AGENCY.

NOW, THEREFORE, in consideration of the terms, conditions, covenants, and performance contained herein, or attached and incorporated and made a part hereof, the parties hereto agree as follows:

I. General Description of Work

The work under this AGREEMENT shall consist of the above-described SERVICES as herein defined, and necessary to accomplish the completed work for this project. The CONSULTANT shall furnish all services, labor, and related equipment and, if applicable, sub-consultants and subcontractors necessary to conduct and complete the SERVICES as designated elsewhere in this AGREEMENT.

II. General Scope of Work

The Scope of Work and projected level of effort required for these SERVICES is described in Exhibit “A” attached hereto and by this reference made a part of this AGREEMENT. The General Scope of Work was developed utilizing performance based contracting methodologies.

III. General Requirements

All aspects of coordination of the work of this AGREEMENT with outside agencies, groups, or individuals shall receive advance approval by the AGENCY. Necessary contacts and meetings with agencies, groups, and/or individuals shall be coordinated through the AGENCY. The CONSULTANT shall attend coordination, progress, and presentation meetings with the AGENCY and/or such State, Federal, Community, City, or County officials, groups or individuals as may be requested by the AGENCY. The AGENCY will provide the CONSULTANT sufficient notice prior to meetings requiring CONSULTANT participation. The minimum required hours or days’ notice shall be agreed to between the AGENCY and the CONSULTANT and shown in Exhibit “A.”

The CONSULTANT shall prepare a monthly progress report, in a form approved by the AGENCY, which will outline in written and graphical form the various phases and the order of performance of the SERVICES in sufficient detail so that the progress of the SERVICES can easily be evaluated.

The CONSULTANT, any sub-consultants, and the AGENCY shall comply with all Federal, State, and local laws, rules, codes, regulations, and all AGENCY policies and directives, applicable to the work to be performed under this AGREEMENT. This AGREEMENT shall be interpreted and construed in accordance with the laws of the State of Washington.

Participation for Disadvantaged Business Enterprises (DBE) or Small Business Enterprises (SBE), if required, per 49 CFR Part 26, shall be shown on the heading of this AGREEMENT. If DBE firms are utilized at the commencement of this AGREEMENT, the amounts authorized to each firm and their certification number will be shown on Exhibit “B” attached hereto and by this reference made part of this AGREEMENT. If the Prime CONSULTANT is, a DBE certified firm they must comply with the Commercial Useful Function (CUF) regulation outlined in the AGENCY’s “DBE Program Participation Plan” and perform a minimum of 30% of the total amount of this AGREEMENT. It is recommended, but not required, that non-DBE Prime CONSULTANTS perform a minimum of 30% of the total amount of this AGREEMENT.

In the absence of a mandatory DBE goal, a voluntary SBE goal amount of ten percent of the Consultant Agreement is established. The Consultant shall develop a SBE Participation Plan prior to commencing work. Although the goal is voluntary, the outreach efforts to provide SBE maximum practicable opportunities are not.

The CONSULTANT, on a monthly basis, shall enter the amounts paid to all firms (including Prime) involved with this AGREEMENT into the wsdot.diversitycompliance.com program. Payment information shall identify any DBE Participation.

All Reports, PS&E materials, and other data furnished to the CONSULTANT by the AGENCY shall be returned. All electronic files, prepared by the CONSULTANT, must meet the requirements as outlined in Exhibit “C – Preparation and Delivery of Electronic Engineering and other Data.”

All designs, drawings, specifications, documents, and other work products, including all electronic files, prepared by the CONSULTANT prior to completion or termination of this AGREEMENT are instruments of service for these SERVICES, and are the property of the AGENCY. Reuse by the AGENCY or by others, acting through or on behalf of the AGENCY of any such instruments of service, not occurring, as a part of this SERVICE, shall be without liability or legal exposure to the CONSULTANT.

Any and all notices or requests required under this AGREEMENT shall be made in writing and sent to the other party by (i) certified mail, return receipt requested, or (ii) by email or facsimile, to the address set forth below:

If to AGENCY:

Name:
Agency:
Address:
City: State: Zip:
Email:
Phone:
Facsimile:

If to CONSULTANT:

Name:
Agency:
Address:
City: State: Zip:
Email:
Phone:
Facsimile:

IV. Time for Beginning and Completion

The CONSULTANT shall not begin any work under the terms of this AGREEMENT until authorized in writing by the AGENCY. All work under this AGREEMENT shall conform to the criteria agreed upon detailed in the AGREEMENT documents. These SERVICES must be completed by the date shown in the heading of this AGREEMENT titled “Completion Date.”

The established completion time shall not be extended because of any delays attributable to the CONSULTANT, but may be extended by the AGENCY in the event of a delay attributable to the AGENCY, or because of unavoidable delays caused by an act of GOD, governmental actions, or other conditions beyond the control of the CONSULTANT. A prior supplemental AGREEMENT issued by the AGENCY is required to extend the established completion time.

V. Payment Provisions

The CONSULTANT shall be paid by the AGENCY for completed SERVICES rendered under this AGREEMENT as provided hereinafter. Such payment shall be full compensation for SERVICES performed or SERVICES rendered and for all labor, materials, supplies, equipment, and incidentals necessary to complete SERVICES. The CONSULTANT shall conform to all applicable portions of 48 CFR Part 31 (www.ecfr.gov).

- A. Hourly Rates: Hourly rates are comprised of the following elements - Direct (Raw) Labor, Indirect Cost Rate, and Fee (Profit). The CONSULTANT shall be paid by the AGENCY for work done, based upon the negotiated hourly rates shown in Exhibits “D” and “E” attached hereto and by reference made part of this AGREEMENT. These negotiated hourly rates will be accepted based on a review of the CONSULTANT’s direct labor rates and indirect cost rate computations and agreed upon fee. The accepted negotiated rates shall be memorialized in a final written acknowledgment between the parties. Such final written acknowledgment shall be incorporated into, and become a part of, this AGREEMENT. The initially accepted negotiated rates shall be applicable from the approval date, as memorialized in a final written acknowledgment, to 180 days following the CONSULTANT’s fiscal year end (FYE) date.

The direct (raw) labor rates and classifications, as shown on Exhibits “D” and “E” shall be subject to renegotiations for each subsequent twelve (12) month period (180 days following FYE date to 180 days following FYE date) upon written request of the CONSULTANT or the AGENCY. The written request must be made to the other party within ninety (90) days following the CONSULTANT’s FYE date. If no such written request is made, the current direct (raw) labor rates and classifications as shown on Exhibits “D” and “E” will remain in effect for the twelve (12) month period.

Conversely, if a timely request is made in the manner set forth above, the parties will commence negotiations to determine the new direct (raw) labor rates and classifications that will be applicable for the twelve (12) month period. Any agreed to renegotiated rates shall be memorialized in a final written acknowledgment between the parties. Such final written acknowledgment shall be incorporated into, and become a part of, this AGREEMENT. If requested, the CONSULTANT shall provide current payroll register and classifications to aid in negotiations. If the parties cannot reach an agreement on the direct (raw) labor rates and classifications, the AGENCY shall perform an audit of the CONSULTANT’s books and records to determine the CONSULTANT’s actual costs. The audit findings will establish the direct (raw) labor rates and classifications that will be applicable for the twelve (12) month period.

The fee as identified in Exhibits “D” and “E” shall represent a value to be applied throughout the life of the AGREEMENT.

The CONSULTANT shall submit annually to the AGENCY an updated indirect cost rate within 180 days of the close of its fiscal year. An approved updated indirect cost rate shall be included in the current fiscal year rate under this AGREEMENT, even if/when other components of the hourly rate are not renegotiated. These rates will be applicable for the twelve (12) month period. At the AGENCY’s option, a provisional and/or conditional indirect cost rate may be negotiated. This provisional or conditional indirect rate shall remain in effect until the updated indirect cost rate is completed and approved. Indirect cost rate costs incurred during the provisional or conditional period will not be adjusted. The CONSULTANT may request an extension of the last approved indirect cost rate for the twelve (12) month period. These requests for provisional indirect cost rate and/or extension will be considered on a case-by-case basis, and if granted, will be memorialized in a final written acknowledgment.

The CONSULTANT shall maintain and have accessible support data for verification of the components of the hourly rates, i.e., direct (raw) labor, indirect cost rate, and fee (profit) percentage. The CONSULTANT shall bill each employee’s actual classification, and actual salary plus indirect cost rate plus fee.

- A. Direct Non-Salary Costs: Direct Non-Salary Costs will be reimbursed at the actual cost to the CONSULTANT. These charges may include, but are not limited to, the following items: travel, printing, long distance telephone, supplies, computer charges, and fees of sub-consultants. Air or train travel will be reimbursed only to lowest price available, unless otherwise approved by the AGENCY. The CONSULTANT shall comply with the rules and regulations regarding travel costs (excluding air, train, and rental car costs) in accordance with the WSDOT's Accounting Manual M 13-82, Chapter 10 – Travel Rules and Procedures, and all revisions thereto. Air, train, and rental car costs shall be reimbursed in accordance with 48 Code of Federal Regulations (CFR) Part 31.205-46 "Travel Costs." The billing for Direct Non-salary Costs shall include an itemized listing of the charges directly identifiable with these SERVICES. The CONSULTANT shall maintain the original supporting documents in their office. Copies of the original supporting documents shall be supplied to the STATE upon request. All above charges must be necessary for the SERVICES provided under this AGREEMENT.
- B. Maximum Amount Payable: The Maximum Amount Payable by the AGENCY to the CONSULTANT under this AGREEMENT shall not exceed the amount shown in the heading of this AGREEMENT on page one (1.) The Maximum Amount Payable does not include payment for extra work as stipulated in section XIII, "Extra Work." No minimum amount payable is guaranteed under this AGREEMENT.
- C. Monthly Progress Payments: Progress payments may be claimed on a monthly basis for all costs authorized in A and B above. Detailed statements shall support the monthly billings for hours expended at the rates established in Exhibit "D," including names and classifications of all employees, and billings for all direct non-salary expenses. To provide a means of verifying the billed salary costs for the CONSULTANT's employees, the AGENCY may conduct employee interviews. These interviews may consist of recording the names, titles, salary rates, and present duties of those employees performing work on the SERVICES at the time of the interview.
- D. Final Payment: Final Payment of any balance due the CONSULTANT of the gross amount earned will be made promptly upon its verification by the AGENCY after the completion of the SERVICES under this AGREEMENT, contingent upon receipt of all PS&E, plans, maps, notes, reports, electronic data, and other related documents, which are required to be furnished under this AGREEMENT. Acceptance of such Final Payment by the CONSULTANT shall constitute a release of all claims for payment, which the CONSULTANT may have against the AGENCY unless such claims are specifically reserved in writing and transmitted to the AGENCY by the CONSULTANT prior to its acceptance. Said Final Payment shall not, however, be a bar to any claims that the AGENCY may have against the CONSULTANT or to any remedies the AGENCY may pursue with respect to such claims.

The payment of any billing will not constitute agreement as to the appropriateness of any item and at the time of final audit all required adjustments will be made and reflected in a final payment. In the event that such final audit reveals an overpayment to the CONSULTANT, the CONSULTANT will refund such overpayment to the AGENCY within thirty (30) calendar days of notice of the overpayment. Such refund shall not constitute a waiver by the CONSULTANT for any claims relating to the validity of a finding by the AGENCY of overpayment. Per WSDOT's "Audit Guide for Consultants," Chapter 23 "Resolution Procedures," the CONSULTANT has twenty (20) working days after receipt of the final Post Audit to begin the appeal process to the AGENCY for audit findings

E. Inspection of Cost Records: The CONSULTANT and their sub-consultants shall keep available for inspection by representatives of the AGENCY and the United States, for a period of six (6) years after receipt of final payment, the cost records and accounts pertaining to this AGREEMENT and all items related to or bearing upon these records with the following exception: if any litigation, claim or audit arising out of, in connection with, or related to this AGREEMENT is initiated before the expiration of the six (6) year period, the cost records and accounts shall be retained until such litigation, claim, or audit involving the records is completed. An interim or post audit may be performed on this AGREEMENT. The audit, if any, will be performed by the State Auditor, WSDOT's Internal Audit Office and /or at the request of the AGENCY's Project Manager.

VI. Sub-Contracting

The AGENCY permits subcontracts for those items of SERVICES as shown in Exhibit "A" attached hereto and by this reference made part of this AGREEMENT.

The CONSULTANT shall not subcontract for the performance of any SERVICE under this AGREEMENT without prior written permission of the AGENCY. No permission for subcontracting shall create, between the AGENCY and sub-consultant, any contract or any other relationship.

Compensation for this sub-consultant SERVICES shall be based on the cost factors shown on Exhibit "E" attached hereto and by this reference made part of this AGREEMENT.

The SERVICES of the sub-consultant shall not exceed its maximum amount payable identified in each sub consultant cost estimate unless a prior written approval has been issued by the AGENCY.

All reimbursable direct labor, indirect cost rate, direct non-salary costs and fee costs for the sub-consultant shall be negotiated and substantiated in accordance with section V "Payment Provisions" herein and shall be memorialized in a final written acknowledgment between the parties

All subcontracts shall contain all applicable provisions of this AGREEMENT, and the CONSULTANT shall require each sub-consultant or subcontractor, of any tier, to abide by the terms and conditions of this AGREEMENT. With respect to sub-consultant payment, the CONSULTANT shall comply with all applicable sections of the STATE's Prompt Payment laws as set forth in RCW 39.04.250 and RCW 39.76.011.

The CONSULTANT, sub-recipient, or sub-consultant shall not discriminate on the basis of race, color, national origin, or sex in the performance of this AGREEMENT. The CONSULTANT shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the CONSULTANT to carry out these requirements is a material breach of this AGREEMENT, which may result in the termination of this AGREEMENT or such other remedy as the recipient deems appropriate.

VII. Employment and Organizational Conflict of Interest

The CONSULTANT warrants that they have not employed or retained any company or person, other than a bona fide employee working solely for the CONSULTANT, to solicit or secure this contract, and that it has not paid or agreed to pay any company or person, other than a bona fide employee working solely for the CONSULTANT, any fee, commission, percentage, brokerage fee, gift, or any other consideration, contingent upon or resulting from the award or making of this agreement. For breach or violation of this warrant, the AGENCY shall have the right to annul this AGREEMENT without liability or, in its discretion, to deduct from this AGREEMENT price or consideration or otherwise recover the full amount of such fee, commission, percentage, brokerage fee, gift, or contingent fee.

Any and all employees of the CONSULTANT or other persons while engaged in the performance of any work or services required of the CONSULTANT under this AGREEMENT, shall be considered employees of the CONSULTANT only and not of the AGENCY, and any and all claims that may arise under any Workmen's Compensation Act on behalf of said employees or other persons while so engaged, and any and all claims made by a third party as a consequence of any act or omission on the part of the CONSULTANT's employees or other persons while so engaged on any of the work or services provided to be rendered herein, shall be the sole obligation and responsibility of the CONSULTANT.

The CONSULTANT shall not engage, on a full- or part-time basis, or other basis, during the period of this AGREEMENT, any professional or technical personnel who are, or have been, at any time during the period of this AGREEMENT, in the employ of the United States Department of Transportation or the AGENCY, except regularly retired employees, without written consent of the public employer of such person if he/she will be working on this AGREEMENT for the CONSULTANT.

Agreement Number:

VIII. Nondiscrimination

During the performance of this AGREEMENT, the CONSULTANT, for itself, its assignees, sub-consultants, subcontractors and successors in interest, agrees to comply with the following laws and regulations:

- Title VI of the Civil Rights Act of 1964 (42 U.S.C. Chapter 21 Subchapter V § 2000d through 2000d-4a)
- Federal-aid Highway Act of 1973 (23 U.S.C. Chapter 3 § 324)
- Rehabilitation Act of 1973 (29 U.S.C. Chapter 16 Subchapter V § 794)
- Age Discrimination Act of 1975 (42 U.S.C. Chapter 76 § 6101 *et. seq.*)
- Civil Rights Restoration Act of 1987 (Public Law 100-259)
- American with Disabilities Act of 1990 (42 U.S.C. Chapter 126 § 12101 *et. seq.*)
- 23 CFR Part 200
- 49 CFR Part 21
- 49 CFR Part 26
- RCW 49.60.180

In relation to Title VI of the Civil Rights Act of 1964, the CONSULTANT is bound by the provisions of Exhibit “F” attached hereto and by this reference made part of this AGREEMENT, and shall include the attached Exhibit “F” in every sub-contract, including procurement of materials and leases of equipment, unless exempt by the Regulations or directives issued pursuant thereto.

IX. Termination of Agreement

The right is reserved by the AGENCY to terminate this AGREEMENT at any time with or without cause upon ten (10) days written notice to the CONSULTANT.

In the event this AGREEMENT is terminated by the AGENCY, other than for default on the part of the CONSULTANT, a final payment shall be made to the CONSULTANT for actual hours charged at the time of termination of this AGREEMENT, plus any direct non-salary costs incurred up to the time of termination of this AGREEMENT.

No payment shall be made for any SERVICES completed after ten (10) days following receipt by the CONSULTANT of the notice to terminate. If the accumulated payment made to the CONSULTANT prior to Notice of Termination exceeds the total amount that would be due when computed as set forth in paragraph two (2) of this section, then no final payment shall be due and the CONSULTANT shall immediately reimburse the AGENCY for any excess paid.

If the services of the CONSULTANT are terminated by the AGENCY for default on the part of the CONSULTANT, the above formula for payment shall not apply.

In the event of a termination for default, the amount to be paid to the CONSULTANT shall be determined by the AGENCY with consideration given to the actual costs incurred by the CONSULTANT in performing SERVICES to the date of termination, the amount of SERVICES originally required which was satisfactorily completed to date of termination, whether that SERVICE is in a form or a type which is usable to the AGENCY at the time of termination, the cost to the AGENCY of employing another firm to complete the SERVICES required and the time which may be required to do so, and other factors which affect the value to the AGENCY of the SERVICES performed at the time of termination. Under no circumstances shall payment made under this subsection exceed the amount, which would have been made using the formula set forth in paragraph two (2) of this section.

If it is determined for any reason, that the CONSULTANT was not in default or that the CONSULTANT’s failure to perform is without the CONSULTANT’s or its employee’s fault or negligence, the termination shall be deemed to be a termination for the convenience of the AGENCY. In such an event, the CONSULTANT would be reimbursed for actual costs in accordance with the termination for other than default clauses listed previously.

The CONSULTANT shall, within 15 days, notify the AGENCY in writing, in the event of the death of any member, partner, or officer of the CONSULTANT or the death or change of any of the CONSULTANT's supervisory and/or other key personnel assigned to the project or disaffiliation of any principally involved CONSULTANT employee.

The CONSULTANT shall also notify the AGENCY, in writing, in the event of the sale or transfer of 50% or more of the beneficial ownership of the CONSULTANT within 15 days of such sale or transfer occurring. The CONSULTANT shall continue to be obligated to complete the SERVICES under the terms of this AGREEMENT unless the AGENCY chooses to terminate this AGREEMENT for convenience or chooses to renegotiate any term(s) of this AGREEMENT. If termination for convenience occurs, final payment will be made to the CONSULTANT as set forth in the second and third paragraphs of this section.

Payment for any part of the SERVICES by the AGENCY shall not constitute a waiver by the AGENCY of any remedies of any type it may have against the CONSULTANT for any breach of this AGREEMENT by the CONSULTANT, or for failure of the CONSULTANT to perform SERVICES required of it by the AGENCY.

Forbearance of any rights under the AGREEMENT will not constitute waiver of entitlement to exercise those rights with respect to any future act or omission by the CONSULTANT.

X. Changes of Work

The CONSULTANT shall make such changes and revisions in the completed work of this AGREEMENT as necessary to correct errors appearing therein, without additional compensation thereof. Should the AGENCY find it desirable for its own purposes to have previously satisfactorily completed SERVICES or parts thereof changed or revised, the CONSULTANT shall make such revisions as directed by the AGENCY. This work shall be considered as Extra Work and will be paid for as herein provided under section XIII "Extra Work."

XI. Disputes

Any disputed issue not resolved pursuant to the terms of this AGREEMENT shall be submitted in writing within 10 days to the Director of Public Works or AGENCY Engineer, whose decision in the matter shall be final and binding on the parties of this AGREEMENT; provided however, that if an action is brought challenging the Director of Public Works or AGENCY Engineer's decision, that decision shall be subject to judicial review. If the parties to this AGREEMENT mutually agree, disputes concerning alleged design errors will be conducted under the procedures found in Exhibit "J". In the event that either party deem it necessary to institute legal action or proceeding to enforce any right or obligation under this AGREEMENT, this action shall be initiated in the Superior Court of the State of Washington, situated in the county in which the AGENCY is located. The parties hereto agree that all questions shall be resolved by application of Washington law and that the parties have the right of appeal from such decisions of the Superior Court in accordance with the laws of the State of Washington. The CONSULTANT hereby consents to the personal jurisdiction of the Superior Court of the State of Washington, situated in the county in which the AGENCY is located.

XII. Legal Relations

The CONSULTANT, any sub-consultants, and the AGENCY shall comply with all Federal, State, and local laws, rules, codes, regulations and all AGENCY policies and directives, applicable to the work to be performed under this AGREEMENT. This AGREEMENT shall be interpreted and construed in accordance with the laws of the State of Washington.

The CONSULTANT shall defend, indemnify, and hold the State of Washington (STATE) and the AGENCY and their officers and employees harmless from all claims, demands, or suits at law or equity arising in whole or in part from the negligence of, or the breach of any obligation under this AGREEMENT by, the CONSULTANT or the CONSULTANT's agents, employees, sub consultants, subcontractors or vendors, of any tier, or any other persons for whom the CONSULTANT may be legally liable; provided that nothing herein shall require a CONSULTANT

to defend or indemnify the STATE and the AGENCY and their officers and employees against and hold harmless the STATE and the AGENCY and their officers and employees from claims, demands or suits based solely upon the negligence of, or breach of any obligation under this AGREEMENT by the STATE and the AGENCY, their agents, officers, employees, sub-consultants, subcontractors or vendors, of any tier, or any other persons for whom the STATE and /or the AGENCY may be legally liable; and provided further that if the claims or suits are caused by or result from the concurrent negligence of (a) the CONSULTANT or the CONSULTANT's agents, employees, sub-consultants, subcontractors or vendors, of any tier, or any other persons for whom the CONSULTANT is legally liable, and (b) the STATE and/or AGENCY, their agents, officers, employees, sub-consultants, subcontractors and or vendors, of any tier, or any other persons for whom the STATE and/or AGENCY may be legally liable, the defense and indemnity obligation shall be valid and enforceable only to the extent of the CONSULTANT's negligence or the negligence of the CONSULTANT's agents, employees, sub-consultants, subcontractors or vendors, of any tier, or any other persons for whom the CONSULTANT may be legally liable. This provision shall be included in any AGREEMENT between CONSULTANT and any sub-consultant, subcontractor and vendor, of any tier.

The CONSULTANT shall also defend, indemnify, and hold the STATE and the AGENCY and their officers and employees harmless from all claims, demands, or suits at law or equity arising in whole or in part from the alleged patent or copyright infringement or other allegedly improper appropriation or use of trade secrets, patents, proprietary information, know-how, copyright rights or inventions by the CONSULTANT or the CONSULTANT's agents, employees, sub-consultants, subcontractors or vendors, of any tier, or any other persons for whom the CONSULTANT may be legally liable, in performance of the Work under this AGREEMENT or arising out of any use in connection with the AGREEMENT of methods, processes, designs, information or other items furnished or communicated to STATE and/or the AGENCY, their agents, officers and employees pursuant to the AGREEMENT; provided that this indemnity shall not apply to any alleged patent or copyright infringement or other allegedly improper appropriation or use of trade secrets, patents, proprietary information, know-how, copyright rights or inventions resulting from STATE and/or AGENCY's, their agents', officers and employees' failure to comply with specific written instructions regarding use provided to STATE and/or AGENCY, their agents, officers and employees by the CONSULTANT, its agents, employees, sub-consultants, subcontractors or vendors, of any tier, or any other persons for whom the CONSULTANT may be legally liable.

The CONSULTANT's relation to the AGENCY shall be at all times as an independent contractor.

Notwithstanding any determination by the Executive Ethics Board or other tribunal, the AGENCY may, in its sole discretion, by written notice to the CONSULTANT terminate this AGREEMENT if it is found after due notice and examination by the AGENCY that there is a violation of the Ethics in Public Service Act, Chapter 42.52 RCW; or any similar statute involving the CONSULTANT in the procurement of, or performance under, this AGREEMENT.

The CONSULTANT specifically assumes potential liability for actions brought by the CONSULTANT's own employees or its agents against the STATE and/or the AGENCY and, solely for the purpose of this indemnification and defense, the CONSULTANT specifically waives any immunity under the state industrial insurance law, Title 51 RCW. The Parties have mutually negotiated this waiver.

Unless otherwise specified in this AGREEMENT, the AGENCY shall be responsible for administration of construction contracts, if any, on the project. Subject to the processing of a new sole source, or an acceptable supplemental AGREEMENT, the CONSULTANT shall provide On-Call assistance to the AGENCY during contract administration. By providing such assistance, the CONSULTANT shall assume no responsibility for proper construction techniques, job site safety, or any construction contractor's failure to perform its work in accordance with the contract documents.

The CONSULTANT shall obtain and keep in force during the terms of this AGREEMENT, or as otherwise required, the following insurance with companies or through sources approved by the State Insurance Commissioner pursuant to Title 48 RCW.

XIII. Extra Work

- A. The AGENCY may at any time, by written order, make changes within the general scope of this AGREEMENT in the SERVICES to be performed.
- B. If any such change causes an increase or decrease in the estimated cost of, or the time required for, performance of any part of the SERVICES under this AGREEMENT, whether or not changed by the order, or otherwise affects any other terms and conditions of this AGREEMENT, the AGENCY shall make an equitable adjustment in the: (1) maximum amount payable; (2) delivery or completion schedule, or both; and (3) other affected terms and shall modify this AGREEMENT accordingly.
- C. The CONSULTANT must submit any “request for equitable adjustment,” hereafter referred to as “CLAIM,” under this clause within thirty (30) days from the date of receipt of the written order. However, if the AGENCY decides that the facts justify it, the AGENCY may receive and act upon a CLAIM submitted before final payment of this AGREEMENT.
- D. Failure to agree to any adjustment shall be a dispute under the section XI “Disputes” clause. However, nothing in this clause shall excuse the CONSULTANT from proceeding with the AGREEMENT as changed.
- E. Notwithstanding the terms and conditions of paragraphs (A.) and (B.) above, the maximum amount payable for this AGREEMENT, shall not be increased or considered to be increased except by specific written supplement to this AGREEMENT.

XIV. Endorsement of Plans

If applicable, the CONSULTANT shall place their endorsement on all plans, estimates, or any other engineering data furnished by them.

XV. Federal Review

The Federal Highway Administration shall have the right to participate in the review or examination of the SERVICES in progress.

XVI. Certification of the Consultant and the Agency

Attached hereto as Exhibit “G-1(a and b)” are the Certifications of the CONSULTANT and the AGENCY, Exhibit “G-2” Certification Regarding Debarment, Suspension and Other Responsibility Matters - Primary Covered Transactions, Exhibit “G-3” Certification Regarding the Restrictions of the Use of Federal Funds for Lobbying and Exhibit “G-4” Certificate of Current Cost or Pricing Data. Exhibit “G-3” is required only in AGREEMENTS over one hundred thousand dollars (\$100,000.00) and Exhibit “G-4” is required only in AGREEMENTS over five hundred thousand dollars (\$500,000.00.) These Exhibits must be executed by the CONSULTANT, and submitted with the master AGREEMENT, and returned to the AGENCY at the address listed in section III “General Requirements” prior to its performance of any SERVICES under this AGREEMENT.

XVII. Complete Agreement

This document and referenced attachments contain all covenants, stipulations, and provisions agreed upon by the parties. No agent, or representative of either party has authority to make, and the parties shall not be bound by or be liable for, any statement, representation, promise or agreement not set forth herein. No changes, amendments, or modifications of the terms hereof shall be valid unless reduced to writing and signed by the parties as a supplement to this AGREEMENT.

XVIII. Execution and Acceptance

This AGREEMENT may be simultaneously executed in several counterparts, each of which shall be deemed to be an original having identical legal effect. The CONSULTANT does hereby ratify and adopt all statements, representations, warranties, covenants, and AGREEMENT’s contained in the proposal, and the supporting material submitted by the CONSULTANT, and does hereby accept this AGREEMENT and agrees to all of the terms and conditions thereof.

XIX. Protection of Confidential Information

The CONSULTANT acknowledges that some of the material and information that may come into its possession or knowledge in connection with this AGREEMENT or its performance may consist of information that is exempt from disclosure to the public or other unauthorized persons under either chapter 42.56 RCW or other local, state, or federal statutes (“State’s Confidential Information”). The “State’s Confidential Information” includes, but is not limited to, names, addresses, Social Security numbers, e-mail addresses, telephone numbers, financial profiles credit card information, driver’s license numbers, medical data, law enforcement records (or any other information identifiable to an individual), STATE and AGENCY source code or object code, STATE and AGENCY security data, non-public Specifications, STATE and AGENCY non-publicly available data, proprietary software, STATE and AGENCY security data, or information which may jeopardize any part of the project that relates to any of these types of information. The CONSULTANT agrees to hold the State’s Confidential Information in strictest confidence and not to make use of the State’s Confidential Information for any purpose other than the performance of this AGREEMENT, to release it only to authorized employees, sub-consultants or subcontractors requiring such information for the purposes of carrying out this AGREEMENT, and not to release, divulge, publish, transfer, sell, disclose, or otherwise make it known to any other party without the AGENCY’s express written consent or as provided by law. The CONSULTANT agrees to release such information or material only to employees, sub-consultants or subcontractors who have signed a nondisclosure AGREEMENT, the terms of which have been previously approved by the AGENCY. The CONSULTANT agrees to implement physical, electronic, and managerial safeguards to prevent unauthorized access to the State’s Confidential Information.

Immediately upon expiration or termination of this AGREEMENT, the CONSULTANT shall, at the AGENCY’s option: (i) certify to the AGENCY that the CONSULTANT has destroyed all of the State’s Confidential Information; or (ii) returned all of the State’s Confidential Information to the AGENCY; or (iii) take whatever other steps the AGENCY requires of the CONSULTANT to protect the State’s Confidential Information.

As required under Executive Order 00-03, the CONSULTANT shall maintain a log documenting the following: the State’s Confidential Information received in the performance of this AGREEMENT; the purpose(s) for which the State’s Confidential Information was received; who received, maintained, and used the State’s Confidential Information; and the final disposition of the State’s Confidential Information. The CONSULTANT’s records shall be subject to inspection, review, or audit upon reasonable notice from the AGENCY.

The AGENCY reserves the right to monitor, audit, or investigate the use of the State’s Confidential Information collected, used, or acquired by the CONSULTANT through this AGREEMENT. The monitoring, auditing, or investigating may include, but is not limited to, salting databases.

Violation of this section by the CONSULTANT or its sub-consultants or subcontractors may result in termination of this AGREEMENT and demand for return of all State’s Confidential Information, monetary damages, or penalties

It is understood and acknowledged that the CONSULTANT may provide the AGENCY with information, which is proprietary and/or confidential during the term of this AGREEMENT. The parties agree to maintain the confidentiality of such information during the term of this AGREEMENT and afterwards. All materials containing such proprietary and/or confidential information shall be clearly identified and marked as “Confidential” and shall be returned to the disclosing party at the conclusion of the SERVICES under this AGREEMENT.

The CONSULTANT shall provide the AGENCY with a list of all information and materials it considers confidential and/or proprietary in nature: (a) at the commencement of the term of this AGREEMENT, or (b) as soon as such confidential or proprietary material is developed. "Proprietary and/or confidential information" is not meant to include any information which, at the time of its disclosure: (i) is already known to the other party; (ii) is rightfully disclosed to one of the parties by a third party that is not acting as an agent or representative for the other party; (iii) is independently developed by or for the other party; (iv) is publicly known; or (v) is generally utilized by unaffiliated third parties engaged in the same business or businesses as the CONSULTANT.

The parties also acknowledge that the AGENCY is subject to Washington State and federal public disclosure laws. As such, the AGENCY shall maintain the confidentiality of all such information marked proprietary and or confidential or otherwise exempt, unless such disclosure is required under applicable state or federal law. If a public disclosure request is made to view materials identified as "Proprietary and/or confidential information" or otherwise exempt information, the AGENCY will notify the CONSULTANT of the request and of the date that such records will be released to the requester unless the CONSULTANT obtains a court order from a court of competent jurisdiction enjoining that disclosure. If the CONSULTANT fails to obtain the court order enjoining disclosure, the AGENCY will release the requested information on the date specified.

The CONSULTANT agrees to notify the sub-consultant of any AGENCY communication regarding disclosure that may include a sub-consultant's proprietary and/or confidential information. The CONSULTANT notification to the sub-consultant will include the date that such records will be released by the AGENCY to the requester and state that unless the sub-consultant obtains a court order from a court of competent jurisdiction enjoining that disclosure the AGENCY will release the requested information. If the CONSULTANT and/or sub-consultant fail to obtain a court order or other judicial relief enjoining the AGENCY by the release date, the CONSULTANT shall waive and release and shall hold harmless and indemnify the AGENCY from all claims of actual or alleged damages, liabilities, or costs associated with the AGENCY's said disclosure of sub-consultants' information.

XX. Records Maintenance

During the progress of the Work and SERVICES provided hereunder and for a period of not less than six (6) years from the date of final payment to the CONSULTANT, the CONSULTANT shall keep, retain, and maintain all "documents" pertaining to the SERVICES provided pursuant to this AGREEMENT. Copies of all "documents" pertaining to the SERVICES provided hereunder shall be made available for review at the CONSULTANT's place of business during normal working hours. If any litigation, claim, or audit is commenced, the CONSULTANT shall cooperate with AGENCY and assist in the production of all such documents. "Documents" shall be retained until all litigation, claims or audit findings have been resolved even though such litigation, claim, or audit continues past the six (6) year retention period.

For purposes of this AGREEMENT, "documents" means every writing or record of every type and description, including electronically stored information ("ESI"), that is in the possession, control, or custody of the CONSULTANT, including, without limitation, any and all correspondences, contracts, AGREEMENTS, appraisals, plans, designs, data, surveys, maps, spreadsheets, memoranda, stenographic or handwritten notes, reports, records, telegrams, schedules, diaries, notebooks, logbooks, invoices, accounting records, work sheets, charts, notes, drafts, scribbles, recordings, visual displays, photographs, minutes of meetings, tabulations, computations, summaries, inventories, and writings regarding conferences, conversations or telephone conversations, and any and all other taped, recorded, written, printed or typed matters of any kind or description; every copy of the foregoing whether or not the original is in the possession, custody, or control of the CONSULTANT, and every copy of any of the foregoing, whether or not such copy is a copy identical to an original, or whether or not such copy contains any commentary or notation whatsoever that does not appear on the original.

For purposes of this AGREEMENT, “ESI” means any and all computer data or electronic recorded media of any kind, including “Native Files”, that are stored in any medium from which it can be retrieved and examined, either directly or after translation into a reasonably useable form. ESI may include information and/or documentation stored in various software programs such as Email, Outlook, Word, Excel, Access, Publisher, PowerPoint, Adobe Acrobat, SQL databases, or any other software or electronic communication programs or databases that the CONSULTANT may use in the performance of its operations. ESI may be located on network servers, backup tapes, smart phones, thumb drives, CDs, DVDs, floppy disks, work computers, cell phones, laptops, or any other electronic device that CONSULTANT uses in the performance of its Work or SERVICES hereunder, including any personal devices used by the CONSULTANT or any sub-consultant at home.

“Native files” are a subset of ESI and refer to the electronic format of the application in which such ESI is normally created, viewed, and /or modified

The CONSULTANT shall include this section XX “Records Maintenance” in every subcontract it enters into in relation to this AGREEMENT and bind the sub-consultant to its terms, unless expressly agreed to otherwise in writing by the AGENCY prior to the execution of such subcontract.

In witness whereof, the parties hereto have executed this AGREEMENT as of the day and year shown in the “Execution Date” box on page one (1) of this AGREEMENT.

Signature

Date

Signature

Date

Any modification, change, or reformation of this AGREEMENT shall require approval as to form by the Office of the Attorney General.

Exhibit A

Scope of Services for Transportation Engineering On-Call Services

A. Description

Scope of Services. The CONSULTANT/CONTRACTOR has been retained to perform services for the City on an on-call basis during the term of this Agreement. By “on-call basis” it is meant that CONSULTANT/CONTRACTOR agrees to make itself available and to assign sufficient personnel to perform the services called for in this Agreement when and to the extent that the CITY requests the CONSULTANT/CONTRACTOR to do so.

Under this Agreement, the purpose of this Agreement is to provide comprehensive professional services in support of the Public Works department’s Transportation Engineering projects and programs, including but not limited to, the pavement management program and ADA curb ramp program and projects.

The specific on-call services to be provided are described as follows:

B. General Scope of Services

The CONSULTANT/CONTRACTOR will provide all labor, materials, equipment, and supplies to perform professional engineering services on a task order basis for various task assignment projects. These tasks may include, but are not limited to the following:

- Provide engineering and design services for yearly pavement preservation program and projects including development of project plans, specs, and estimates.
- Provide engineering and design services for American Disability Act (ADA) related projects such as curb ramp replacement projects including development of project plans, specs, and estimates.
- Provide engineering and design services for other transportation projects as needed
- Conduct alternative analysis for transportation project including pavement and curb ramp projects
- Provide pavement testing and design services in support of pavement design with associated pavement design report.
- Provide support for the Streetsaver pavement management software or other pavement management software including updating street segments and pavement condition data and a year-end summary with new data.
- Provide pavement condition rating (PCI) survey for all city streets visual or video as needed.
- Assist city staff with developing budget needs and preservation strategies for the pavement management program and ADA curb ramp program.
- Assist city staff with project scoping and project estimation including field assessment and review of projects.
- Assist city staff with yearly project selection for pavement, curb ramp replacement, and other preservation programs.

- Assist City staff in program development including prioritization strategies, budgeting, treatment selections, program organization, other-City comparisons.
- Provide peer reviews of other design document, plans, specifications, opinion of costs, or other related documents.
- Respond to field questions regarding construction plans as needed.
- Perform field inventories of pavement and curb ramp data and assist city staff in keeping inventory data up to date.
- Assist City staff in revising design guidance, standard details and specifications to meet the latest best practices.
- Provide Access database, Excel, Word, PowerPoint, and other software support.
- Provide right-of-way and topographic surveying and mapping related to transportation projects
- Assist City staff in project management of transportation projects
- Provide public outreach assistance for transportation projects including but not limited to preparation and distribution of outreach materials, call management, and website updates.
- Provide drafting and GIS mapping support.
- Provide year-end summary of Pavement Management Program.
- Provide graphic and artistic displays for publications and presentations.
- Provide construction management administration and construction inspection services on transportation projects including but not limited to curb ramp and paving projects.
- Other Related Work Items:
 - Attendance and assistance at meetings
 - Coordination of work product with other service providers as needed.

Task Order Administration:

A. Period of Performance and Contract Value

This CONSULTANT/CONTRACTOR Agreement shall remain in effect until December 31, 2025. Any work authorized by task order before December 31, 2025, shall continue until the completion date designated in the task order.

At the City of Redmond's option, the contract may be extended for an additional two-year term based on the CITY'S need for continued services and CONSULTANT/CONTRACTOR'S performance.

The maximum value of this contract will not exceed one million dollars (\$1,000,000) ; however there is no guarantee that the CITY will expend the entire value of this contract. Specifically, the CITY does not guarantee that the CONSULTANT/CONTRACTOR will receive a specified volume of work, a specific total contract amount, or a specific task order value. At the City's discretion the value of the contract could potentially be increased through supplemental agreements in an additional amount not to exceed one million dollars (\$1,000,000). The work will be conducted through task orders for specific pieces of work.

B. CONSULTANT/CONTRACTOR Resources and Time

Specific work under this contract will be performed on a task order basis consisting of individually negotiated task orders. Each task order will provide a specific scope, budget and schedule of the services required.

The CONSULTANT/ONTRACTOR will be expected to respond on short notice to requests for technical services to resolve urgent task orders. CONSULTANT/CONTRACTOR task orders will be coordinated with on-going work being performed by the CITY.

C. Task Order Process

1. For each individual task order, the project manager will issue a written or verbal "Task Order Request" to the CONSULTANT/CONTRACTOR. The task request will describe the nature and extent of the project, its scope & preliminary schedule.
2. Within five (5) calendar days of the time frame specified in the "task order request," the CONSULTANT/CONTRACTOR will prepare a proposal that includes an applicable scope of work, schedule, and fees as well as identify key staff assignments and potential subconsultants.
3. The CONSULTANT/CONTRACTOR and project manager will determine the detailed scope of work, project schedule consultant fee, and other project management details.
4. The project manager will provide a final approval of the task order with a signed task order sheet.
5. The CONSULTANT/CONTRACTOR will be paid on the basis of approved monthly invoices. Task orders will be invoiced in a manner to allow costs to identified by work performed under separate task orders.

The project manager will issue a written task release when work on a specific task order is complete and final payment for that task is authorized.

Exhibit B

DBE Participation Plan

In the absence of a mandatory DBE goal, a voluntary SBE goal amount of ten percent of the Consultant Agreement is established. The Consultant shall develop a SBE Participation Plan prior to commencing work. Although the goal is voluntary, the outreach efforts to provide SBE maximum practicable opportunities are not.

Because this is an on-call Agreement, the specific fees associated with SBE/DBE consulting firms will be identified under the individual task orders that will be executed under this Agreement. Consulting firms expected to be utilized under this Agreement that are certified are HWA GeoSciences and Furtado & Associates - see below for their certification information.

HWA GeoSciences, Inc.:

DBE - D5F0024692

MWBE - M5F0024692

Furtado & Associates, Inc.

DBE - D3M0019981

MBE - M3M0019982

Preparation and Delivery of Electronic Engineering and Other Data

In this Exhibit the agency, as applicable, is to provide a description of the format and standards the consultant is to use in preparing electronic files for transmission to the agency. The format and standards to be provided may include, but are not limited to, the following:

I. Surveying, Roadway Design & Plans Preparation Section

A. Survey Data

Standard: City of Redmond Datum Control, State Plan Coordinate System
Format: Basemap in CAD/Civil3D 2013 or higher
Transmission: FTP, CD, Email, SharePoint

B. Roadway Design Files

Standard: City of Redmond, AASHTO, NACTOI, WSDOT Design Manual
Format: Basemap in CAD/Civil3D 2013 or higher
Transmission: FTP, CD, Email, SharePoint

C. Computer Aided Drafting Files

Standard: Consultant Drafting Standards, City of Redmond Title Block Format
Format: Basemap in CAD/Civil3D 2013 or higher
Transmission: FTP, CD, Email, SharePoint

D. Specify the Agency's Right to Review Product with the Consultant

Agency will retain the right to review all deliverable referenced in the Scope of Work Exhibit A

E. Specify the Electronic Deliverables to Be Provided to the Agency

Deliverables outlined in Scope of Work Exhibit A

F. Specify What Agency Furnished Services and Information Is to Be Provided

Agency furnished services and information outlined in Scope of Work Exhibit A

II. Any Other Electronic Files to Be Provided

Excel Spreadsheets
Word Documents
PDFs
WSDOT eForms

III. Methods to Electronically Exchange Data

Email, FTP, SharePoint

A. Agency Software Suite

N/A

B. Electronic Messaging System

N/A

C. File Transfers Format

PDF, Zip Files, Word, Excel,
Access, CAD

Exhibit D
Prime Consultant Cost Computations

See attached Exhibit D

Exhibit "D"

Project: City of Redmond Public Works Transportation On-Call
 Client: City of Redmond
 Consultant: Perteet, Inc.

Negotiated Hourly Rate Sheet
 Overhead: 182.52%
 Fixed Fee: 28.95%

Job Classification	Direct Labor (DL)	Overhead % (OH x DL)	Fixed Fee % (Fee x DL)	All Inclusive Hourly Billing
Principal	117.79	214.99	34.10	366.88
Sr. Associate	115.38	210.59	33.40	359.37
Sr. Engineer/Manager	73.75	134.61	21.35	229.71
Lead Engineer/Manager	58.00	105.86	16.79	180.65
Engineer III	48.56	88.63	14.06	151.25
Civil Designer II	42.73	77.99	12.37	133.09
Civil Designer I	38.00	69.36	11.00	118.36
Lead Planner	72.00	131.41	20.84	224.26
Planner III	60.00	109.51	17.37	186.88
Planner II	56.00	102.21	16.21	174.42
Planner I	28.00	51.11	8.11	87.21
Cultural Resources Specialist II	39.59	72.26	11.46	123.31
Cultural Resources Specialist I	30.00	54.76	8.69	93.44
Lead Tech/Designer	58.00	105.86	16.79	180.65
Technician III	39.00	71.18	11.29	121.47
Technician II	30.00	54.76	8.69	93.44
Technician I	26.00	47.46	7.53	80.98
Construction Supervisor	81.74	149.19	23.66	254.60
Construction Engineering Manager	71.00	129.59	20.55	221.14
Sr. Construction Manager	66.00	120.46	19.11	205.57
Construction Manager	64.50	117.73	18.67	200.90
Sr. Construction Tech	49.25	89.89	14.26	153.40
Construction Tech III	44.00	80.31	12.74	137.05
Construction Tech II	41.34	75.45	11.97	128.76
Construction Engineer III	48.56	88.63	14.06	151.25
Construction Specialist II	43.25	78.94	12.52	134.71
Construction Specialist I	38.00	69.36	11.00	118.36
Electrical Construction Observer	61.00	111.34	17.66	190.00
Sr. Construction Observer	64.00	116.81	18.53	199.34
Construction Observer III	41.12	75.05	11.90	128.08
Construction Observer II	35.00	63.88	10.13	109.01
Construction Observer I	30.00	54.76	8.69	93.44
Network Specialist	40.00	73.01	11.58	124.59
Controller	65.00	118.64	18.82	202.46
Contract Administrator	72.12	131.63	20.88	224.63
Accountant	51.00	93.09	14.76	158.85
Clerical	33.86	61.80	9.80	105.46
Marketing Director	65.00	118.64	18.82	202.46
Marketing Proposal Coordinator	41.76	76.22	12.09	130.07

Direct (Non-Salary) Reimbursable Expenses

GIS/Traffic Modeling	\$15 per hr.
Mileage	@ current federal rate

Note: Invoiced DL may be less than the maximum rate shown per job classification but will not exceed the maximum rate.

Negotiated Fee

Project Name:	Redmond Transportation On-Call - Paving & ADA Programs
Project Number:	
Consultant:	Perteet, Inc.
Estimated Design Fee:	\$1,000,000
Prepared By:	Gina Parenteau, Perteet

Fee Computation

Factor	Rate	Weight	Value
Degree of Risk	0.28	X 25	7.00
Relative Difficulty of Work	0.26	X 20	5.20
Estimated Design Fee	\$1,000,000	0.32 X 15	4.80
Period of Performance <i>enter in months</i>	36	0.35 X 15	5.25
Assistance by the Agency	0.30	X 15	4.50
Subconsulting <i>enter %</i>	10%	0.22 X 10	2.20
Negotiated Fee (% of DSC)		100	28.95 %

Note: See Instructions for factor descriptions and rate guidelines. Minimum is .17 and maximum is .35.

Multiplier Computation

Component	Multiplier
Labor (DSC)	1.00
Overhead (OH)	1.83
Fee	0.29
Total Multiplier (DSC+OH+Fee)	3.11



July 20, 2022

Pertect, Inc.
2707 Colby Avenue, Suite 900
Everett, WA 98201

Subject: Acceptance FYE 2021 ICR – Risk Assessment Review

Dear Denice Moan:

Based on Washington State Department of Transportation's (WSDOT) Risk Assessment review of your Indirect Cost Rate (ICR), we have accepted your proposed FYE 2021 ICR of 182.52% of direct labor (rate includes 0.10% Facilities Capital Cost of Money). This rate will be applicable for WSDOT Agreements and Local Agency Contracts in Washington only. This rate may be subject to additional review if considered necessary by WSDOT. Your ICR must be updated on an annual basis.

Costs billed to agreements/contracts will still be subject to audit of actual costs, based on the terms and conditions of the respective agreement/contract.

This was not a cognizant review. Any other entity contracting with your firm is responsible for determining the acceptability of the ICR.

If you have any questions, feel free to contact our office at **(360) 705-7019** or via email consultantrates@wsdot.wa.gov.

Regards;

ERIK K. JONSON
Contract Services Manager

EKJ:ah

Exhibit E

Sub-consultant Cost Computations

If no sub-consultant participation listed at this time. The CONSULTANT shall not sub-contract for the performance of any work under this AGREEMENT without prior written permission of the AGENCY. Refer to section VI “Sub-Contracting” of this AGREEMENT.

Subconsultants identified for services on this agreement are:

- HWA GeoSciences, Inc.
- Furtado & Associates, Inc.

EXHIBIT E - FURTADO

Project: City of Redmond Public Works Transportation On-Call
 Client: City of Redmond
 Consultant: Perteet, Inc.
 Subconsultant: Furtado & Associates

Negotiated Hourly Rate Sheet

Overhead: 169.44% Fixed Fee: 28.95%

Job Classification	Direct Labor (DL)	Overhead % (OH x DL)	Fixed Fee % (Fee x DL)	All Inclusive Hourly Billing Rate
President	145.00	245.69	41.98	432.67
Senior Vice President	130.00	220.27	37.64	387.91
Vice President	120.00	203.33	34.74	358.07
Principal	100.00	169.44	28.95	298.39
Senior Principal	130.00	220.27	37.64	387.91
Department Manager	80.00	135.55	23.16	238.71
Survey Manager I	60.00	101.66	17.37	179.03
Survey Manager II	80.00	135.55	23.16	238.71
Survey Manager III	97.00	164.36	28.08	289.44
Civil CAD Manager	70.00	118.61	20.27	208.88
Deputy Project Manager	60.00	101.66	17.37	179.03
Project Manager I	65.00	110.14	18.82	193.96
Project Manager II	85.00	144.02	24.61	253.63
Senior Project Manager	120.00	203.33	34.74	358.07
Surveyor I	26.00	44.05	7.53	77.58
Surveyor II	32.00	54.22	9.26	95.48
Surveyor III	35.00	59.30	10.13	104.43
Field Team Lead	45.00	76.25	13.03	134.28
Field Team Lead, Senior	55.00	93.19	15.92	164.11
Field Team Lead, Senior Managing	65.00	110.14	18.82	193.96
Senior Survey Technician	60.00	101.66	17.37	179.03
Engineering Technician I	26.00	44.05	7.53	77.58
Engineering Technician II	30.00	50.83	8.69	89.52
Engineering Technician III	35.00	59.30	10.13	104.43
Engineering Technician IV	40.00	67.78	11.58	119.36
Engineering Technician V	45.00	76.25	13.03	134.28
Engineering Technician VI	50.00	84.72	14.48	149.20
Engineering Technician VII	55.00	93.19	15.92	164.11
Engineering Technician VIII	60.00	101.66	17.37	179.03
Engineering Technician IX	65.00	110.14	18.82	193.96
Civil Engineer I	44.00	74.55	12.74	131.29
Civil Engineer II	65.00	110.14	18.82	193.96
Civil Engineer III	80.00	135.55	23.16	238.71
Engineering Department Manager	85.00	144.02	24.61	253.63
Document Control Specialist I	50.00	84.72	14.48	149.20
Document Control Specialist II	70.00	118.61	20.27	208.88
Construction Inspector I	50.00	84.72	14.48	149.20
Construction Inspector II	75.00	127.08	21.71	223.79
Construction Inspector III	90.00	152.50	26.06	268.56
Construction Manager I	65.00	110.14	18.82	193.96
Construction Manager II	80.00	135.55	23.16	238.71
Construction Manager III	100.00	169.44	28.95	298.39
Project/Office Administrator I	25.00	42.36	7.24	74.60
Project/Office Administrator II	40.00	67.78	11.58	119.36
Senior Project/Office Administrator	60.00	101.66	17.37	179.03
HR Manager	55.00	93.19	15.92	164.11
Controller	74.50	126.23	21.57	222.30

Direct (Non-Salary) Reimbursable Expenses

Survey Vehicle @ \$60.00 per day per vehicle

3D LiDAR Scanner @ \$550.00 per day



March 15, 2022

Furtado & Associates, Inc.
901 Fifth Avenue, Suite 2710
Seattle, WA 98164

Subject: Acceptance FYE 2021 ICR – Audit Office Review

Dear Jessica Goldsberry:

Transmitted herewith is the WSDOT Audit Office's memo of "Acceptance" of your firm's FYE 2021 Indirect Cost Rate (ICR) as follows:

- Home Office: 169.44% of direct labor
- Field Office: 146.17% of direct labor

This rate will be applicable for WSDOT Agreements and Local Agency Contracts in Washington only. This rate may be subject to additional review if considered necessary by WSDOT. Your ICR must be updated on an annual basis.

Costs billed to agreements/contracts will still be subject to audit of actual costs, based on the terms and conditions of the respective agreement/contract.

This was not a cognizant review. Any other entity contracting with your firm is responsible for determining the acceptability of the ICR.

If you have any questions, feel free to contact our office at **(360) 705-7019** or via email consultantrates@wsdot.wa.gov.

Regards;

ERIK K. JONSON
Contract Services Manager

EKJ:ah

EXHIBIT E - HWA

Project: City of Redmond Public Works Transportation On-Call
 Client: City of Redmond
 Consultant: Perteet, Inc.
 Subconsultant: HWA GeoSciences, Inc.

Negotiated Hourly Rate Sheet

Overhead: 208.31% Fixed Fee: 28.95%

Job Classification	Direct Labor (DL)	Overhead % (OH x DL)	Fixed Fee % (Fee x DL)	All Inclusive Hourly Billing Rate
Administrative Support	31.00	64.58	18.69	114.27
CAD	45.00	93.74	27.14	165.88
Contracts Administrator	41.00	85.41	24.73	151.13
Geologist I	28.00	58.33	16.89	103.21
Geologist II	34.00	70.83	20.50	125.33
Geologist III	38.00	79.16	22.92	140.07
Geologist IV	45.00	93.74	27.14	165.88
Geologist V	50.00	104.16	30.15	184.31
Geologist VI	55.00	114.57	33.17	202.74
Geologist VII	63.50	132.28	38.29	234.07
Geologist VIII	76.00	158.32	45.83	280.15
Geotechnical Engineer I	38.00	79.16	22.92	140.07
Geotechnical Engineer II	42.00	87.49	25.33	154.82
Geotechnical Engineer III	47.75	99.47	28.80	176.01
Geotechnical Engineer IV	50.25	104.68	30.30	185.23
Geotechnical Engineer V	55.00	114.57	33.17	202.74
Geotechnical Engineer VI	71.00	147.90	42.82	261.72
Geotechnical Engineer VII	78.00	162.48	47.04	287.52
Geotechnical Engineer VIII	81.00	168.73	48.85	298.58
Hydrogeologist VI	55.00	114.57	33.17	202.74
Lab/Field Technician I	22.00	45.83	13.27	81.10
Lab/Field Technician II	27.00	56.24	16.28	99.53
Lab/Field Technician III	32.00	66.66	19.30	117.96
Lab/Field Technician IV	38.50	80.20	23.22	141.92
Lab/Field Technician V	46.50	96.86	28.04	171.41
Principal IX	97.50	203.10	58.80	359.40



**Washington State
Department of Transportation**

Development Division
Contract Services Office
PO Box 47408
Olympia, WA 98504-7408
7345 Linderson Way SW
Tumwater, WA 98501-6504

TTY: 1-800-833-6388
www.wsdot.wa.gov

July 22, 2022

HWA GeoSciences, Inc.
21312 30th Drive SE, Suite 110
Bothell, WA 98021

Subject: Acceptance FYE 2021 ICR – CPA Report

Dear Vasiliy Babko:

We have accepted your firms FYE 2021 Indirect Cost Rate (ICR) of 208.31% of direct labor (rate includes 0.22% Facilities Capital Cost of Money) based on the “Independent CPA Report,” prepared by Thomas W Maxwell CPA. This rate will be applicable for WSDOT Agreements and Local Agency Contracts in Washington only. This rate may be subject to additional review if considered necessary by WSDOT. Your ICR must be updated on an annual basis.

Costs billed to agreements/contracts will still be subject to audit of actual costs, based on the terms and conditions of the respective agreement/contract.

This was not a cognizant review. Any other entity contracting with the firm is responsible for determining the acceptability of the ICR.

If you have any questions, feel free to contact our office at **(360) 705-7019** or via email consultantrates@wsdot.wa.gov.

Regards;

A handwritten signature in blue ink that reads "Erik K. Jonson".

ERIK K. JONSON
Contract Services Manager

EKJ:ah

HWA GEOSCIENCES, INC.
STATEMENT OF DIRECT LABOR, FRINGE BENEFITS, AND GENERAL OVERHEAD
FOR THE YEAR ENDED DECEMBER 31, 2021

<u>Description</u>	<u>GL Account Balance</u>	<u>Unallowable Costs</u>	<u>FAR Ref</u>	<u>Total Proposed</u>	<u>% of Direct Labor</u>
Direct Labor	<u>\$ 1,797,812</u>	<u>\$ -</u>		<u>\$ 1,797,812</u>	
Fringe Benefits:					
Bonuses	\$ 154,950	\$ -		\$ 154,950	
PTO	370,876	-		370,876	
401 k	194,033	-		194,033	
Employee group insurance	268,211	-		268,211	
Workers' comp	9,699	-		9,699	
Payroll taxes	266,500	(2,894)	(1)	263,606	
Other employee benefits	9,873	-		9,873	
Total Fringe Benefits	<u>\$ 1,274,142</u>	<u>\$ (2,894)</u>		<u>\$ 1,271,248</u>	<u>70.71%</u>
General Overhead:					
Indirect labor	\$ 1,127,480	\$ (37,821)	(2)(3)	\$ 1,089,659	
Bid and proposals	90,168	-		90,168	
Automobile expense	21,344	-		21,344	
Advertising and marketing	16,865	(16,865)	(3)	-	
Bank service charges	2,500	-		2,500	
Contributions	3,159	(3,159)	(4)	-	
Computer and software expenses	137,965	-		137,965	
Depreciation and amortization	93,781	-		93,781	
Dues and subscriptions	5,712	-		5,712	
Insurance	242,935	-		242,935	
Interest	366	(366)	(5)(6)	-	
Maintenance and repairs	10,945	-		10,945	
Meals and entertainment	1,025	-		1,025	
Office supplies and postage	23,476	(150)	(7)	23,326	
Printing	28,076	-		28,076	
Professional fees	72,970	-		72,970	
Seminars and professional education	22,464	(650)	(3)	21,814	
Supplies	63,443	-		63,443	
Rent and utilities	401,126	-		401,126	
Taxes and licenses	98,584	28,333	(6)(8)(9)	126,917	
Telecommunications	61,970	-		61,970	
Travel	3,089	-		3,089	
Recovery	(28,909)	-		(28,909)	
Total General Overhead	<u>\$ 2,500,534</u>	<u>\$ (30,678)</u>		<u>\$ 2,469,856</u>	<u>137.38%</u>
Total Fringe Benefits and General Overhead	<u>\$ 3,774,676</u>	<u>\$ (33,572)</u>		<u>\$ 3,741,104</u>	<u>208.09%</u>
Facilities Capital Cost of Money (FCCM)				<u>\$ 3,899</u>	<u>0.22%</u>

See notes to the indirect cost statement.

Exhibit F - Title VI Assurances Appendix A & E

APPENDIX A

During the performance of this contract, the contractor, for itself, its assignees, and successors in interest (hereinafter referred to as the "contractor") agrees as follows:

1. **Compliance with Regulations:** The contractor (hereinafter includes consultants) will comply with the Acts and the Regulations relative to Non-discrimination in Federally-assisted programs of the U.S. Department of Transportation, (*Federal Highway Administration*), as they may be amended from time to time, which are herein incorporated by reference and made a part of this contract.
2. **Non-discrimination:** The contractor, with regard to the work performed by it during the contract, will not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The contractor will not participate directly or indirectly in the discrimination prohibited by the Acts and the Regulations, including employment practices when the contract covers any activity, project, or program set forth in Appendix B of 49 CFR Part 21. *[Include Washington State Department of Transportation specific program requirements.]*
3. **Solicitations for Subcontracts, Including Procurements of Materials and Equipment:** In all solicitations, either by competitive bidding, or negotiation made by the contractor for work to be performed under a subcontract, including procurements of materials, or leases of equipment, each potential subcontractor or supplier will be notified by the contractor of the contractor's obligations under this contract and the Acts and the Regulations relative to Non-discrimination on the grounds of race, color, or national origin. *[Include Washington State Department of Transportation specific program requirements.]*
4. **Information and Reports:** The contractor will provide all information and reports required by the Acts, the Regulations, and directives issued pursuant thereto and will permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the Recipient or the (*Federal Highway Administration*) to be pertinent to ascertain compliance with such Acts, Regulations, and instructions. Where any information required of a contractor is in the exclusive possession of another who fails or refuses to furnish the information, the contractor will so certify to the Recipient or the (*Federal Highway Administration*), as appropriate, and will set forth what efforts it has made to obtain the information.
5. **Sanctions for Noncompliance:** In the event of a contractor's noncompliance with the Non- discrimination provisions of this contract, the Recipient will impose such contract sanctions as it or the (*Federal Highway Administration*) may determine to be appropriate, including, but not limited to:
 - a. withholding payments to the contractor under the contract until the contractor complies; and/or
 - b. cancelling, terminating, or suspending a contract, in whole or in part.
6. **Incorporation of Provisions:** The contractor will include the provisions of paragraphs one through six in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Acts, the Regulations and directives issued pursuant thereto. The contractor will take action with respect to any subcontract or procurement as the Recipient or the (*Federal Highway Administration*) may direct as a means of enforcing such provisions including sanctions for noncompliance. Provided, that if the contractor becomes involved in, or is threatened with litigation by a subcontractor, or supplier because of such direction, the contractor may request the Recipient to enter into any litigation to protect the interests of the Recipient. In addition, the contractor may request the United States to enter into the litigation to protect the interests of the United States.

Exhibit F - Title VI Assurances Appendix A & E

APPENDIX E

During the performance of this contract, the contractor, for itself, its assignees, and successors in interest (hereinafter referred to as the "contractor") agrees to comply with the following non-discrimination statutes and authorities; including but not limited to:

Pertinent Non-Discrimination Authorities:

- Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d et seq., 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin); and 49 CFR Part 21.
- The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, (42 U.S.C. § 4601), (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects);
- Federal-Aid Highway Act of 1973, (23 U.S.C. § 324 et seq.), (prohibits discrimination on the basis of sex);
- Section 504 of the Rehabilitation Act of 1973, (29 U.S.C. § 794 et seq.), as amended, (prohibits discrimination on the basis of disability); and 49 CFR Part 27;
- The Age Discrimination Act of 1975, as amended, (42 U.S.C. § 6101 et seq.), (prohibits discrimination on the basis of age);
- Airport and Airway Improvement Act of 1982, (49 USC § 471, Section 47123), as amended, (prohibits discrimination based on race, creed, color, national origin, or sex);
- The Civil Rights Restoration Act of 1987, (PL 100-209), (Broadened the scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, The Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms "programs or activities" to include all of the programs or activities of the Federal-aid recipients, sub-recipients and contractors, whether such programs or activities are Federally funded or not);
- Titles II and III of the Americans with Disabilities Act, which prohibit discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities (42 U.S.C. §§ 12131-12189) as implemented by Department of Transportation regulations at 49 C.F.R. parts 37 and 38;
- The Federal Aviation Administration's Non-discrimination statute (49 U.S.C. § 47123) (prohibits discrimination on the basis of race, color, national origin, and sex);
- Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations, which ensures discrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations;
- Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, and resulting agency guidance, national origin discrimination includes discrimination because of limited English proficiency (LEP). To ensure compliance with Title VI, you must take reasonable steps to ensure that LEP persons have meaningful access to your programs (70 Fed. Reg. at 74087 to 74100);
- Title IX of the Education Amendments of 1972, as amended, which prohibits you from discriminating because of sex in education programs or activities (20 U.S.C. 1681 et seq).

Exhibit G

Certification Document

- Exhibit G-1(a) Certification of Consultant
- Exhibit G-1(b) Certification of _____
- Exhibit G-2 Certification Regarding Debarment, Suspension and Other Responsibility Matters - Primary Covered Transactions
- Exhibit G-3 Certification Regarding the Restrictions of the Use of Federal Funds for Lobbying
- Exhibit G-4 Certificate of Current Cost or Pricing Data

Exhibit G-1(a) Certification of Consultant

I hereby certify that I am the and duly authorized representative of the firm of

whose address is

and that neither the above firm nor I have

- a) Employed or retained for a commission, percentage, brokerage, contingent fee, or other consideration, any firm or person (other than a bona fide employee working solely for me or the above CONSULTANT) to solicit or secure this AGREEMENT;
- b) Agreed, as an express or implied condition for obtaining this contract, to employ or retain the services of any firm or person in connection with carrying out this AGREEMENT; or
- c) Paid, or agreed to pay, to any firm, organization or person (other than a bona fide employee working solely for me or the above CONSULTANT) any fee, contribution, donation, or consideration of any kind for, or in connection with, procuring or carrying out this AGREEMENT; except as hereby expressly stated (if any);

I acknowledge that this certificate is to be furnished to the _____

and the Federal Highway Administration, U.S. Department of Transportation in connection with this AGREEMENT involving participation of Federal-aid highway funds, and is subject to applicable State and Federal laws, both criminal and civil.

Consultant (Firm Name)

Signature (Authorized Official of Consultant)

Date

Exhibit G-1(b) Certification of _____

I hereby certify that I am the:

Other

of the _____, and _____

or its representative has not been required, directly or indirectly as an express or implied condition in connection with obtaining or carrying out this AGREEMENT to:

- a) Employ or retain, or agree to employ to retain, any firm or person; o
- b) Pay, or agree to pay, to any firm, person, or organization, any fee, contribution, donation, or consideration of any kind; except as hereby expressly stated (if any):

I acknowledge that this certificate is to be furnished to the _____

and the Federal Highway Administration, U.S. Department of Transportation, in connection with this AGREEMENT involving participation of Federal-aid highway funds, and is subject to applicable State and Federal laws, both criminal and civil.

Signature

Date

Exhibit G-2 Certification Regarding Debarment Suspension and Other Responsibility Matters - Primary Covered Transactions

- I. The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
 - A. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
 - B. Have not within a three (3) year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State anti-trust statues or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - C. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; an
 - D. Have not within a three (3) year period preceding this application / proposal had one or more public transactions (Federal, State and local) terminated for cause or default.
- II. Where the prospective primary participant is unable to certify to any of the statements in this certification such prospective participant shall attach an explanation to this proposal.

Consultant (Firm Name)

Signature (Authorized Official of Consultant)

Date

Exhibit G-3 Certification Regarding the Restrictions of the Use of Federal Funds for Lobbying

The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or any employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative AGREEMENT, and the extension, continuation, renewal, amendment, or modification of Federal contract, grant, loan or cooperative AGREEMENT.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan or cooperative AGREEMENT, the undersigned shall complete and submit Standard Form - LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the require certification shall be subject to a civil penalty of not less than \$10,000.00, and not more than \$100,000.00 for each such failure.

The prospective participant also agrees by submitting his or her bid or proposal that he or she shall require that the language of this certification be included in all lower tier sub-contracts, which exceed \$100,000 and that all such sub-recipients shall certify and disclose accordingly.

Consultant (Firm Name)

Signature (Authorized Official of Consultant)

Date

Exhibit G-4 Certification of Current Cost or Pricing Data

This is to certify that, to the best of my knowledge and belief, the cost or pricing data (as defined in section of the Federal Acquisition Regulation (FAR) and required under FAR subsection 15.403-4) submitted, either actually or by specific identification in writing, to the Contracting Officer or to the Contracting Officer's representative in support of _____* are accurate, complete, and current as of _____**.

This certification includes the cost or pricing data supporting any advance AGREEMENT's and forward pricing rate AGREEMENT's between the offer or and the Government that are part of the proposal.

Firm: _____

Signature

Title

Date of Execution _____***.

*Identify the proposal, quotation, request for pricing adjustment, or other submission involved, giving the appropriate identifying number (e.g. project title.)

**Insert the day, month, and year, when price negotiations were concluded and price AGREEMENT was reached.

***Insert the day, month, and year, of signing, which should be as close as practicable to the date when the price negotiations were concluded and the contract price was agreed to.

To Be Used Only If Insurance Requirements Are Increased

The professional liability limit of the CONSULTANT to the AGENCY identified in Section XII, Legal Relations and Insurance of this Agreement is amended to \$ _____.

The CONSULTANT shall provide Professional Liability insurance with minimum per occurrence limits in the amount of \$ _____.

Such insurance coverage shall be evidenced by one of the following methods:

- Certificate of Insurance
- Self-insurance through an irrevocable Letter of Credit from a qualified financial institution

Self-insurance through documentation of a separate fund established exclusively for the payment of professional liability claims, including claim amounts already reserved against the fund, safeguards established for payment from the fund, a copy of the latest annual financial statements, and disclosure of the investment portfolio for those funds.

Should the minimum Professional Liability insurance limit required by the AGENCY as specified above exceed \$1 million per occurrence or the value of the contract, whichever is greater, then justification shall be submitted to the Federal Highway Administration (FHWA) for approval to increase the minimum insurance limit.

If FHWA approval is obtained, the AGENCY may, at its own cost, reimburse the CONSULTANT for the additional professional liability insurance required.

Notes: Cost of added insurance requirements: \$ _____.

- Include all costs, fee increase, premiums.
- This cost shall not be billed against an FHWA funded project.
- For final contracts, include this exhibit

Exhibit I

Alleged Consultant Design Error Procedures

The purpose of this exhibit is to establish a procedure to determine if a consultant has alleged design error is of a nature that exceeds the accepted standard of care. In addition, it will establish a uniform method for the resolution and/or cost recovery procedures in those instances where the agency believes it has suffered some material damage due to the alleged error by the consultant.

Step 1 Potential Consultant Design Error(s) is Identified by Agency's Project Manager

At the first indication of potential consultant design error(s), the first step in the process is for the Agency's project manager to notify the Director of Public Works or Agency Engineer regarding the potential design error(s). For federally funded projects, the Region Local Programs Engineer should be informed and involved in these procedures. (Note: The Director of Public Works or Agency Engineer may appoint an agency staff person other than the project manager, who has not been as directly involved in the project, to be responsible for the remaining steps in these procedures.)

Step 2 Project Manager Documents the Alleged Consultant Design Error(s)

After discussion of the alleged design error(s) and the magnitude of the alleged error(s), and with the Director of Public Works or Agency Engineer's concurrence, the project manager obtains more detailed documentation than is normally required on the project. Examples include all decisions and descriptions of work, photographs, records of labor, materials, and equipment.

Step 3 Contact the Consultant Regarding the Alleged Design Error(s)

If it is determined that there is a need to proceed further, the next step in the process is for the project manager to contact the consultant regarding the alleged design error(s) and the magnitude of the alleged error(s). The project manager and other appropriate agency staff should represent the agency and the consultant should be represented by their project manager and any personnel (including sub-consultants) deemed appropriate for the alleged design error(s) issue.

Step 4 Attempt to Resolve Alleged Design Error with Consultant

After the meeting(s) with the consultant have been completed regarding the consultant's alleged design error(s), there are three possible scenarios:

- It is determined via mutual agreement that there is not a consultant design error(s). If this is the case, then the process will not proceed beyond this point.
- It is determined via mutual agreement that a consultant design error(s) occurred. If this is the case, then the Director of Public Works or Agency Engineer, or their representatives, negotiate a settlement with the consultant. The settlement would be paid to the agency or the amount would be reduced from the consultant's agreement with the agency for the services on the project in which the design error took place. The agency is to provide LP, through the Region Local Programs Engineer, a summary of the settlement for review and to make adjustments, if any, as to how the settlement affects federal reimbursements. No further action is required.
- There is not a mutual agreement regarding the alleged consultant design error(s). The consultant may request that the alleged design error(s) issue be forwarded to the Director of Public Works or Agency Engineer for review. If the Director of Public Works or Agency Engineer, after review with their legal counsel, is not able to reach mutual agreement with the consultant, proceed to Step 5.

Step 5 Forward Documents to Local Programs

For federally funded projects, all available information, including costs, should be forwarded through the Region Local Programs Engineer to LP for their review and consultation with the FHWA. LP will meet with representatives of the agency and the consultant to review the alleged design error(s), and attempt to find a resolution to the issue. If necessary, LP will request assistance from the Attorney General's Office for legal interpretation. LP will also identify how the alleged error(s) affects eligibility of project costs for federal reimbursement.

- If mutual agreement is reached, the agency and consultant adjust the scope of work and costs to reflect the agreed upon resolution. LP, in consultation with FHWA, will identify the amount of federal participation in the agreed upon resolution of the issue.
- If mutual agreement is not reached, the agency and consultant may seek settlement by arbitration or by litigation.

Exhibit J

Consultant Claim Procedures

The purpose of this exhibit is to describe a procedure regarding claim(s) on a consultant agreement. The following procedures should only be utilized on consultant claims greater than \$1,000. If the consultant's claim(s) total a \$1,000 or less, it would not be cost effective to proceed through the outlined steps. It is suggested that the Director of Public Works or Agency Engineer negotiate a fair and reasonable price for the consultant's claim(s) that total \$1,000 or less.

This exhibit will outline the procedures to be followed by the consultant and the agency to consider a potential claim by the consultant.

Step 1 Consultant Files a Claim with the Agency Project Manager

If the consultant determines that they were requested to perform additional services that were outside of the agreement's scope of work, they may be entitled to a claim. The first step that must be completed is the request for consideration of the claim to the Agency's project manager.

The consultant's claim must outline the following:

- Summation of hours by classification for each firm that is included in the claim
- Any correspondence that directed the consultant to perform the additional work;
- Timeframe of the additional work that was outside of the project scope;
- Summary of direct labor dollars, overhead costs, profit and reimbursable costs associated with the additional work; and
- Explanation as to why the consultant believes the additional work was outside of the agreement scope of work.

Step 2 Review by Agency Personnel Regarding the Consultant's Claim for Additional Compensation

After the consultant has completed step 1, the next step in the process is to forward the request to the Agency's project manager. The project manager will review the consultant's claim and will meet with the Director of Public Works or Agency Engineer to determine if the Agency agrees with the claim. If the FHWA is participating in the project's funding, forward a copy of the consultant's claim and the Agency's recommendation for federal participation in the claim to the WSDOT Local Programs through the Region Local Programs Engineer. If the claim is not eligible for federal participation, payment will need to be from agency funds.

If the Agency project manager, Director of Public Works or Agency Engineer, WSDOT Local Programs (if applicable), and FHWA (if applicable) agree with the consultant's claim, send a request memo, including backup documentation to the consultant to either supplement the agreement, or create a new agreement for the claim. After the request has been approved, the Agency shall write the supplement and/or new agreement and pay the consultant the amount of the claim. Inform the consultant that the final payment for the agreement is subject to audit. No further action is needed regarding the claim procedures.

If the Agency does not agree with the consultant's claim, proceed to step 3 of the procedures.

Step 3 Preparation of Support Documentation Regarding Consultant's Claim(s)

If the Agency does not agree with the consultant's claim, the project manager shall prepare a summary for the Director of Public Works or Agency Engineer that included the following:

- Copy of information supplied by the consultant regarding the claim;
- Agency's summation of hours by classification for each firm that should be included in the claim
- Any correspondence that directed the consultant to perform the additional work;
- Agency's summary of direct labor dollars, overhead costs, profit and reimbursable costs associate with the additional work;
- Explanation regarding those areas in which the Agency does/does not agree with the consultant's claim(s);
- Explanation to describe what has been instituted to preclude future consultant claim(s); and
- Recommendations to resolve the claim.

Step 4 Director of Public Works or Agency Engineer Reviews Consultant Claim and Agency Documentation

The Director of Public Works or Agency Engineer shall review and administratively approve or disapprove the claim, or portions thereof, which may include getting Agency Council or Commission approval (as appropriate to agency dispute resolution procedures). If the project involves federal participation, obtain concurrence from WSDOT Local Programs and FHWA regarding final settlement of the claim. If the claim is not eligible for federal participation, payment will need to be from agency funds.

Step 5 Informing Consultant of Decision Regarding the Claim

The Director of Public Works or Agency Engineer shall notify (in writing) the consultant of their final decision regarding the consultant's claim(s). Include the final dollar amount of the accepted claim(s) and rationale utilized for the decision.

Step 6 Preparation of Supplement or New Agreement for the Consultant's Claim(s)

The agency shall write the supplement and/or new agreement and pay the consultant the amount of the claim. Inform the consultant that the final payment for the agreement is subject to audit

Local Agency A&E Professional Services Negotiated Hourly Rate Consultant Agreement

Agreement Number: _____

Firm/Organization Legal Name (do not use dba's):	
Address	Federal Aid Number
UBI Number	Federal TIN
Execution Date	Completion Date
1099 Form Required <input type="checkbox"/> Yes <input type="checkbox"/> No	Federal Participation <input type="checkbox"/> Yes <input type="checkbox"/> No
Project Title	
Description of Work	
<input type="checkbox"/> Yes <input type="checkbox"/> No DBE Participation <input type="checkbox"/> Yes <input type="checkbox"/> No MBE Participation <input type="checkbox"/> Yes <input type="checkbox"/> No WBE Participation <input type="checkbox"/> Yes <input type="checkbox"/> No SBE Participation	Maximum Amount Payable: \$600,000

Index of Exhibits

- Exhibit A Scope of Work
- Exhibit B DBE Participation
- Exhibit C Preparation and Delivery of Electronic Engineering and Other Data
- Exhibit D Prime Consultant Cost Computations
- Exhibit E Sub-consultant Cost Computations
- Exhibit F Title VI Assurances
- Exhibit G Certification Documents
- ~~Exhibit H Liability Insurance Increase~~ NA
- Exhibit I Alleged Consultant Design Error Procedures
- Exhibit J Consultant Claim Procedures

THIS AGREEMENT, made and entered into as shown in the “Execution Date” box on page one (1) of this AGREEMENT, between the _____, hereinafter called the “AGENCY,” and the “Firm / Organization Name” referenced on page one (1) of this AGREEMENT, hereinafter called the “CONSULTANT.”

WHEREAS, the AGENCY desires to accomplish the work referenced in “Description of Work” on page one (1) of this AGREEMENT and hereafter called the “SERVICES;” and does not have sufficient staff to meet the required commitment and therefore deems it advisable and desirable to engage the assistance of a CONSULTANT to provide the necessary SERVICES; and

WHEREAS, the CONSULTANT represents that they comply with the Washington State Statutes relating to professional registration, if applicable, and has signified a willingness to furnish consulting services to the AGENCY.

NOW, THEREFORE, in consideration of the terms, conditions, covenants, and performance contained herein, or attached and incorporated and made a part hereof, the parties hereto agree as follows:

I. General Description of Work

The work under this AGREEMENT shall consist of the above-described SERVICES as herein defined, and necessary to accomplish the completed work for this project. The CONSULTANT shall furnish all services, labor, and related equipment and, if applicable, sub-consultants and subcontractors necessary to conduct and complete the SERVICES as designated elsewhere in this AGREEMENT.

II. General Scope of Work

The Scope of Work and projected level of effort required for these SERVICES is described in Exhibit “A” attached hereto and by this reference made a part of this AGREEMENT. The General Scope of Work was developed utilizing performance based contracting methodologies.

III. General Requirements

All aspects of coordination of the work of this AGREEMENT with outside agencies, groups, or individuals shall receive advance approval by the AGENCY. Necessary contacts and meetings with agencies, groups, and/or individuals shall be coordinated through the AGENCY. The CONSULTANT shall attend coordination, progress, and presentation meetings with the AGENCY and/or such State, Federal, Community, City, or County officials, groups or individuals as may be requested by the AGENCY. The AGENCY will provide the CONSULTANT sufficient notice prior to meetings requiring CONSULTANT participation. The minimum required hours or days’ notice shall be agreed to between the AGENCY and the CONSULTANT and shown in Exhibit “A.”

The CONSULTANT shall prepare a monthly progress report, in a form approved by the AGENCY, which will outline in written and graphical form the various phases and the order of performance of the SERVICES in sufficient detail so that the progress of the SERVICES can easily be evaluated.

The CONSULTANT, any sub-consultants, and the AGENCY shall comply with all Federal, State, and local laws, rules, codes, regulations, and all AGENCY policies and directives, applicable to the work to be performed under this AGREEMENT. This AGREEMENT shall be interpreted and construed in accordance with the laws of the State of Washington.

Participation for Disadvantaged Business Enterprises (DBE) or Small Business Enterprises (SBE), if required, per 49 CFR Part 26, shall be shown on the heading of this AGREEMENT. If DBE firms are utilized at the commencement of this AGREEMENT, the amounts authorized to each firm and their certification number will be shown on Exhibit “B” attached hereto and by this reference made part of this AGREEMENT. If the Prime CONSULTANT is, a DBE certified firm they must comply with the Commercial Useful Function (CUF) regulation outlined in the AGENCY’s “DBE Program Participation Plan” and perform a minimum of 30% of the total amount of this AGREEMENT. It is recommended, but not required, that non-DBE Prime CONSULTANTS perform a minimum of 30% of the total amount of this AGREEMENT.

In the absence of a mandatory DBE goal, a voluntary SBE goal amount of ten percent of the Consultant Agreement is established. The Consultant shall develop a SBE Participation Plan prior to commencing work. Although the goal is voluntary, the outreach efforts to provide SBE maximum practicable opportunities are not.

The CONSULTANT, on a monthly basis, shall enter the amounts paid to all firms (including Prime) involved with this AGREEMENT into the wsdot.diversitycompliance.com program. Payment information shall identify any DBE Participation.

All Reports, PS&E materials, and other data furnished to the CONSULTANT by the AGENCY shall be returned. All electronic files, prepared by the CONSULTANT, must meet the requirements as outlined in Exhibit “C – Preparation and Delivery of Electronic Engineering and other Data.”

All designs, drawings, specifications, documents, and other work products, including all electronic files, prepared by the CONSULTANT prior to completion or termination of this AGREEMENT are instruments of service for these SERVICES, and are the property of the AGENCY. Reuse by the AGENCY or by others, acting through or on behalf of the AGENCY of any such instruments of service, not occurring, as a part of this SERVICE, shall be without liability or legal exposure to the CONSULTANT.

Any and all notices or requests required under this AGREEMENT shall be made in writing and sent to the other party by (i) certified mail, return receipt requested, or (ii) by email or facsimile, to the address set forth below:

If to AGENCY:

Name:
Agency:
Address:
City: State: Zip:
Email:
Phone:
Facsimile:

If to CONSULTANT:

Name:
Agency:
Address:
City: State: Zip:
Email:
Phone:
Facsimile:

IV. Time for Beginning and Completion

The CONSULTANT shall not begin any work under the terms of this AGREEMENT until authorized in writing by the AGENCY. All work under this AGREEMENT shall conform to the criteria agreed upon detailed in the AGREEMENT documents. These SERVICES must be completed by the date shown in the heading of this AGREEMENT titled “Completion Date.”

The established completion time shall not be extended because of any delays attributable to the CONSULTANT, but may be extended by the AGENCY in the event of a delay attributable to the AGENCY, or because of unavoidable delays caused by an act of GOD, governmental actions, or other conditions beyond the control of the CONSULTANT. A prior supplemental AGREEMENT issued by the AGENCY is required to extend the established completion time.

V. Payment Provisions

The CONSULTANT shall be paid by the AGENCY for completed SERVICES rendered under this AGREEMENT as provided hereinafter. Such payment shall be full compensation for SERVICES performed or SERVICES rendered and for all labor, materials, supplies, equipment, and incidentals necessary to complete SERVICES. The CONSULTANT shall conform to all applicable portions of 48 CFR Part 31 (www.ecfr.gov).

- A. Hourly Rates: Hourly rates are comprised of the following elements - Direct (Raw) Labor, Indirect Cost Rate, and Fee (Profit). The CONSULTANT shall be paid by the AGENCY for work done, based upon the negotiated hourly rates shown in Exhibits “D” and “E” attached hereto and by reference made part of this AGREEMENT. These negotiated hourly rates will be accepted based on a review of the CONSULTANT’s direct labor rates and indirect cost rate computations and agreed upon fee. The accepted negotiated rates shall be memorialized in a final written acknowledgment between the parties. Such final written acknowledgment shall be incorporated into, and become a part of, this AGREEMENT. The initially accepted negotiated rates shall be applicable from the approval date, as memorialized in a final written acknowledgment, to 180 days following the CONSULTANT’s fiscal year end (FYE) date.

The direct (raw) labor rates and classifications, as shown on Exhibits “D” and “E” shall be subject to renegotiations for each subsequent twelve (12) month period (180 days following FYE date to 180 days following FYE date) upon written request of the CONSULTANT or the AGENCY. The written request must be made to the other party within ninety (90) days following the CONSULTANT’s FYE date. If no such written request is made, the current direct (raw) labor rates and classifications as shown on Exhibits “D” and “E” will remain in effect for the twelve (12) month period.

Conversely, if a timely request is made in the manner set forth above, the parties will commence negotiations to determine the new direct (raw) labor rates and classifications that will be applicable for the twelve (12) month period. Any agreed to renegotiated rates shall be memorialized in a final written acknowledgment between the parties. Such final written acknowledgment shall be incorporated into, and become a part of, this AGREEMENT. If requested, the CONSULTANT shall provide current payroll register and classifications to aid in negotiations. If the parties cannot reach an agreement on the direct (raw) labor rates and classifications, the AGENCY shall perform an audit of the CONSULTANT’s books and records to determine the CONSULTANT’s actual costs. The audit findings will establish the direct (raw) labor rates and classifications that will be applicable for the twelve (12) month period.

The fee as identified in Exhibits “D” and “E” shall represent a value to be applied throughout the life of the AGREEMENT.

The CONSULTANT shall submit annually to the AGENCY an updated indirect cost rate within 180 days of the close of its fiscal year. An approved updated indirect cost rate shall be included in the current fiscal year rate under this AGREEMENT, even if/when other components of the hourly rate are not renegotiated. These rates will be applicable for the twelve (12) month period. At the AGENCY’s option, a provisional and/or conditional indirect cost rate may be negotiated. This provisional or conditional indirect rate shall remain in effect until the updated indirect cost rate is completed and approved. Indirect cost rate costs incurred during the provisional or conditional period will not be adjusted. The CONSULTANT may request an extension of the last approved indirect cost rate for the twelve (12) month period. These requests for provisional indirect cost rate and/or extension will be considered on a case-by-case basis, and if granted, will be memorialized in a final written acknowledgment.

The CONSULTANT shall maintain and have accessible support data for verification of the components of the hourly rates, i.e., direct (raw) labor, indirect cost rate, and fee (profit) percentage. The CONSULTANT shall bill each employee’s actual classification, and actual salary plus indirect cost rate plus fee.

- A. Direct Non-Salary Costs: Direct Non-Salary Costs will be reimbursed at the actual cost to the CONSULTANT. These charges may include, but are not limited to, the following items: travel, printing, long distance telephone, supplies, computer charges, and fees of sub-consultants. Air or train travel will be reimbursed only to lowest price available, unless otherwise approved by the AGENCY. The CONSULTANT shall comply with the rules and regulations regarding travel costs (excluding air, train, and rental car costs) in accordance with the WSDOT's Accounting Manual M 13-82, Chapter 10 – Travel Rules and Procedures, and all revisions thereto. Air, train, and rental car costs shall be reimbursed in accordance with 48 Code of Federal Regulations (CFR) Part 31.205-46 "Travel Costs." The billing for Direct Non-salary Costs shall include an itemized listing of the charges directly identifiable with these SERVICES. The CONSULTANT shall maintain the original supporting documents in their office. Copies of the original supporting documents shall be supplied to the STATE upon request. All above charges must be necessary for the SERVICES provided under this AGREEMENT.
- B. Maximum Amount Payable: The Maximum Amount Payable by the AGENCY to the CONSULTANT under this AGREEMENT shall not exceed the amount shown in the heading of this AGREEMENT on page one (1.) The Maximum Amount Payable does not include payment for extra work as stipulated in section XIII, "Extra Work." No minimum amount payable is guaranteed under this AGREEMENT.
- C. Monthly Progress Payments: Progress payments may be claimed on a monthly basis for all costs authorized in A and B above. Detailed statements shall support the monthly billings for hours expended at the rates established in Exhibit "D," including names and classifications of all employees, and billings for all direct non-salary expenses. To provide a means of verifying the billed salary costs for the CONSULTANT's employees, the AGENCY may conduct employee interviews. These interviews may consist of recording the names, titles, salary rates, and present duties of those employees performing work on the SERVICES at the time of the interview.
- D. Final Payment: Final Payment of any balance due the CONSULTANT of the gross amount earned will be made promptly upon its verification by the AGENCY after the completion of the SERVICES under this AGREEMENT, contingent upon receipt of all PS&E, plans, maps, notes, reports, electronic data, and other related documents, which are required to be furnished under this AGREEMENT. Acceptance of such Final Payment by the CONSULTANT shall constitute a release of all claims for payment, which the CONSULTANT may have against the AGENCY unless such claims are specifically reserved in writing and transmitted to the AGENCY by the CONSULTANT prior to its acceptance. Said Final Payment shall not, however, be a bar to any claims that the AGENCY may have against the CONSULTANT or to any remedies the AGENCY may pursue with respect to such claims.

The payment of any billing will not constitute agreement as to the appropriateness of any item and at the time of final audit all required adjustments will be made and reflected in a final payment. In the event that such final audit reveals an overpayment to the CONSULTANT, the CONSULTANT will refund such overpayment to the AGENCY within thirty (30) calendar days of notice of the overpayment. Such refund shall not constitute a waiver by the CONSULTANT for any claims relating to the validity of a finding by the AGENCY of overpayment. Per WSDOT's "Audit Guide for Consultants," Chapter 23 "Resolution Procedures," the CONSULTANT has twenty (20) working days after receipt of the final Post Audit to begin the appeal process to the AGENCY for audit findings

E. Inspection of Cost Records: The CONSULTANT and their sub-consultants shall keep available for inspection by representatives of the AGENCY and the United States, for a period of six (6) years after receipt of final payment, the cost records and accounts pertaining to this AGREEMENT and all items related to or bearing upon these records with the following exception: if any litigation, claim or audit arising out of, in connection with, or related to this AGREEMENT is initiated before the expiration of the six (6) year period, the cost records and accounts shall be retained until such litigation, claim, or audit involving the records is completed. An interim or post audit may be performed on this AGREEMENT. The audit, if any, will be performed by the State Auditor, WSDOT's Internal Audit Office and /or at the request of the AGENCY's Project Manager.

VI. Sub-Contracting

The AGENCY permits subcontracts for those items of SERVICES as shown in Exhibit "A" attached hereto and by this reference made part of this AGREEMENT.

The CONSULTANT shall not subcontract for the performance of any SERVICE under this AGREEMENT without prior written permission of the AGENCY. No permission for subcontracting shall create, between the AGENCY and sub-consultant, any contract or any other relationship.

Compensation for this sub-consultant SERVICES shall be based on the cost factors shown on Exhibit "E" attached hereto and by this reference made part of this AGREEMENT.

The SERVICES of the sub-consultant shall not exceed its maximum amount payable identified in each sub consultant cost estimate unless a prior written approval has been issued by the AGENCY.

All reimbursable direct labor, indirect cost rate, direct non-salary costs and fee costs for the sub-consultant shall be negotiated and substantiated in accordance with section V "Payment Provisions" herein and shall be memorialized in a final written acknowledgment between the parties

All subcontracts shall contain all applicable provisions of this AGREEMENT, and the CONSULTANT shall require each sub-consultant or subcontractor, of any tier, to abide by the terms and conditions of this AGREEMENT. With respect to sub-consultant payment, the CONSULTANT shall comply with all applicable sections of the STATE's Prompt Payment laws as set forth in RCW 39.04.250 and RCW 39.76.011.

The CONSULTANT, sub-recipient, or sub-consultant shall not discriminate on the basis of race, color, national origin, or sex in the performance of this AGREEMENT. The CONSULTANT shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the CONSULTANT to carry out these requirements is a material breach of this AGREEMENT, which may result in the termination of this AGREEMENT or such other remedy as the recipient deems appropriate.

VII. Employment and Organizational Conflict of Interest

The CONSULTANT warrants that they have not employed or retained any company or person, other than a bona fide employee working solely for the CONSULTANT, to solicit or secure this contract, and that it has not paid or agreed to pay any company or person, other than a bona fide employee working solely for the CONSULTANT, any fee, commission, percentage, brokerage fee, gift, or any other consideration, contingent upon or resulting from the award or making of this agreement. For breach or violation of this warrant, the AGENCY shall have the right to annul this AGREEMENT without liability or, in its discretion, to deduct from this AGREEMENT price or consideration or otherwise recover the full amount of such fee, commission, percentage, brokerage fee, gift, or contingent fee.

Any and all employees of the CONSULTANT or other persons while engaged in the performance of any work or services required of the CONSULTANT under this AGREEMENT, shall be considered employees of the CONSULTANT only and not of the AGENCY, and any and all claims that may arise under any Workmen's Compensation Act on behalf of said employees or other persons while so engaged, and any and all claims made by a third party as a consequence of any act or omission on the part of the CONSULTANT's employees or other persons while so engaged on any of the work or services provided to be rendered herein, shall be the sole obligation and responsibility of the CONSULTANT.

The CONSULTANT shall not engage, on a full- or part-time basis, or other basis, during the period of this AGREEMENT, any professional or technical personnel who are, or have been, at any time during the period of this AGREEMENT, in the employ of the United States Department of Transportation or the AGENCY, except regularly retired employees, without written consent of the public employer of such person if he/she will be working on this AGREEMENT for the CONSULTANT.

Agreement Number:

VIII. Nondiscrimination

During the performance of this AGREEMENT, the CONSULTANT, for itself, its assignees, sub-consultants, subcontractors and successors in interest, agrees to comply with the following laws and regulations:

- Title VI of the Civil Rights Act of 1964 (42 U.S.C. Chapter 21 Subchapter V § 2000d through 2000d-4a)
- Federal-aid Highway Act of 1973 (23 U.S.C. Chapter 3 § 324)
- Rehabilitation Act of 1973 (29 U.S.C. Chapter 16 Subchapter V § 794)
- Age Discrimination Act of 1975 (42 U.S.C. Chapter 76 § 6101 *et. seq.*)
- Civil Rights Restoration Act of 1987 (Public Law 100-259)
- American with Disabilities Act of 1990 (42 U.S.C. Chapter 126 § 12101 *et. seq.*)
- 23 CFR Part 200
- 49 CFR Part 21
- 49 CFR Part 26
- RCW 49.60.180

In relation to Title VI of the Civil Rights Act of 1964, the CONSULTANT is bound by the provisions of Exhibit “F” attached hereto and by this reference made part of this AGREEMENT, and shall include the attached Exhibit “F” in every sub-contract, including procurement of materials and leases of equipment, unless exempt by the Regulations or directives issued pursuant thereto.

IX. Termination of Agreement

The right is reserved by the AGENCY to terminate this AGREEMENT at any time with or without cause upon ten (10) days written notice to the CONSULTANT.

In the event this AGREEMENT is terminated by the AGENCY, other than for default on the part of the CONSULTANT, a final payment shall be made to the CONSULTANT for actual hours charged at the time of termination of this AGREEMENT, plus any direct non-salary costs incurred up to the time of termination of this AGREEMENT.

No payment shall be made for any SERVICES completed after ten (10) days following receipt by the CONSULTANT of the notice to terminate. If the accumulated payment made to the CONSULTANT prior to Notice of Termination exceeds the total amount that would be due when computed as set forth in paragraph two (2) of this section, then no final payment shall be due and the CONSULTANT shall immediately reimburse the AGENCY for any excess paid.

If the services of the CONSULTANT are terminated by the AGENCY for default on the part of the CONSULTANT, the above formula for payment shall not apply.

In the event of a termination for default, the amount to be paid to the CONSULTANT shall be determined by the AGENCY with consideration given to the actual costs incurred by the CONSULTANT in performing SERVICES to the date of termination, the amount of SERVICES originally required which was satisfactorily completed to date of termination, whether that SERVICE is in a form or a type which is usable to the AGENCY at the time of termination, the cost to the AGENCY of employing another firm to complete the SERVICES required and the time which may be required to do so, and other factors which affect the value to the AGENCY of the SERVICES performed at the time of termination. Under no circumstances shall payment made under this subsection exceed the amount, which would have been made using the formula set forth in paragraph two (2) of this section.

If it is determined for any reason, that the CONSULTANT was not in default or that the CONSULTANT’s failure to perform is without the CONSULTANT’s or its employee’s fault or negligence, the termination shall be deemed to be a termination for the convenience of the AGENCY. In such an event, the CONSULTANT would be reimbursed for actual costs in accordance with the termination for other than default clauses listed previously.

The CONSULTANT shall, within 15 days, notify the AGENCY in writing, in the event of the death of any member, partner, or officer of the CONSULTANT or the death or change of any of the CONSULTANT's supervisory and/or other key personnel assigned to the project or disaffiliation of any principally involved CONSULTANT employee.

The CONSULTANT shall also notify the AGENCY, in writing, in the event of the sale or transfer of 50% or more of the beneficial ownership of the CONSULTANT within 15 days of such sale or transfer occurring. The CONSULTANT shall continue to be obligated to complete the SERVICES under the terms of this AGREEMENT unless the AGENCY chooses to terminate this AGREEMENT for convenience or chooses to renegotiate any term(s) of this AGREEMENT. If termination for convenience occurs, final payment will be made to the CONSULTANT as set forth in the second and third paragraphs of this section.

Payment for any part of the SERVICES by the AGENCY shall not constitute a waiver by the AGENCY of any remedies of any type it may have against the CONSULTANT for any breach of this AGREEMENT by the CONSULTANT, or for failure of the CONSULTANT to perform SERVICES required of it by the AGENCY.

Forbearance of any rights under the AGREEMENT will not constitute waiver of entitlement to exercise those rights with respect to any future act or omission by the CONSULTANT.

X. Changes of Work

The CONSULTANT shall make such changes and revisions in the completed work of this AGREEMENT as necessary to correct errors appearing therein, without additional compensation thereof. Should the AGENCY find it desirable for its own purposes to have previously satisfactorily completed SERVICES or parts thereof changed or revised, the CONSULTANT shall make such revisions as directed by the AGENCY. This work shall be considered as Extra Work and will be paid for as herein provided under section XIII "Extra Work."

XI. Disputes

Any disputed issue not resolved pursuant to the terms of this AGREEMENT shall be submitted in writing within 10 days to the Director of Public Works or AGENCY Engineer, whose decision in the matter shall be final and binding on the parties of this AGREEMENT; provided however, that if an action is brought challenging the Director of Public Works or AGENCY Engineer's decision, that decision shall be subject to judicial review. If the parties to this AGREEMENT mutually agree, disputes concerning alleged design errors will be conducted under the procedures found in Exhibit "J". In the event that either party deem it necessary to institute legal action or proceeding to enforce any right or obligation under this AGREEMENT, this action shall be initiated in the Superior Court of the State of Washington, situated in the county in which the AGENCY is located. The parties hereto agree that all questions shall be resolved by application of Washington law and that the parties have the right of appeal from such decisions of the Superior Court in accordance with the laws of the State of Washington. The CONSULTANT hereby consents to the personal jurisdiction of the Superior Court of the State of Washington, situated in the county in which the AGENCY is located.

XII. Legal Relations

The CONSULTANT, any sub-consultants, and the AGENCY shall comply with all Federal, State, and local laws, rules, codes, regulations and all AGENCY policies and directives, applicable to the work to be performed under this AGREEMENT. This AGREEMENT shall be interpreted and construed in accordance with the laws of the State of Washington.

The CONSULTANT shall defend, indemnify, and hold the State of Washington (STATE) and the AGENCY and their officers and employees harmless from all claims, demands, or suits at law or equity arising in whole or in part from the negligence of, or the breach of any obligation under this AGREEMENT by, the CONSULTANT or the CONSULTANT's agents, employees, sub consultants, subcontractors or vendors, of any tier, or any other persons for whom the CONSULTANT may be legally liable; provided that nothing herein shall require a CONSULTANT

to defend or indemnify the STATE and the AGENCY and their officers and employees against and hold harmless the STATE and the AGENCY and their officers and employees from claims, demands or suits based solely upon the negligence of, or breach of any obligation under this AGREEMENT by the STATE and the AGENCY, their agents, officers, employees, sub-consultants, subcontractors or vendors, of any tier, or any other persons for whom the STATE and /or the AGENCY may be legally liable; and provided further that if the claims or suits are caused by or result from the concurrent negligence of (a) the CONSULTANT or the CONSULTANT's agents, employees, sub-consultants, subcontractors or vendors, of any tier, or any other persons for whom the CONSULTANT is legally liable, and (b) the STATE and/or AGENCY, their agents, officers, employees, sub-consultants, subcontractors and or vendors, of any tier, or any other persons for whom the STATE and/or AGENCY may be legally liable, the defense and indemnity obligation shall be valid and enforceable only to the extent of the CONSULTANT's negligence or the negligence of the CONSULTANT's agents, employees, sub-consultants, subcontractors or vendors, of any tier, or any other persons for whom the CONSULTANT may be legally liable. This provision shall be included in any AGREEMENT between CONSULTANT and any sub-consultant, subcontractor and vendor, of any tier.

The CONSULTANT shall also defend, indemnify, and hold the STATE and the AGENCY and their officers and employees harmless from all claims, demands, or suits at law or equity arising in whole or in part from the alleged patent or copyright infringement or other allegedly improper appropriation or use of trade secrets, patents, proprietary information, know-how, copyright rights or inventions by the CONSULTANT or the CONSULTANT's agents, employees, sub-consultants, subcontractors or vendors, of any tier, or any other persons for whom the CONSULTANT may be legally liable, in performance of the Work under this AGREEMENT or arising out of any use in connection with the AGREEMENT of methods, processes, designs, information or other items furnished or communicated to STATE and/or the AGENCY, their agents, officers and employees pursuant to the AGREEMENT; provided that this indemnity shall not apply to any alleged patent or copyright infringement or other allegedly improper appropriation or use of trade secrets, patents, proprietary information, know-how, copyright rights or inventions resulting from STATE and/or AGENCY's, their agents', officers and employees' failure to comply with specific written instructions regarding use provided to STATE and/or AGENCY, their agents, officers and employees by the CONSULTANT, its agents, employees, sub-consultants, subcontractors or vendors, of any tier, or any other persons for whom the CONSULTANT may be legally liable.

The CONSULTANT's relation to the AGENCY shall be at all times as an independent contractor.

Notwithstanding any determination by the Executive Ethics Board or other tribunal, the AGENCY may, in its sole discretion, by written notice to the CONSULTANT terminate this AGREEMENT if it is found after due notice and examination by the AGENCY that there is a violation of the Ethics in Public Service Act, Chapter 42.52 RCW; or any similar statute involving the CONSULTANT in the procurement of, or performance under, this AGREEMENT.

The CONSULTANT specifically assumes potential liability for actions brought by the CONSULTANT's own employees or its agents against the STATE and/or the AGENCY and, solely for the purpose of this indemnification and defense, the CONSULTANT specifically waives any immunity under the state industrial insurance law, Title 51 RCW. The Parties have mutually negotiated this waiver.

Unless otherwise specified in this AGREEMENT, the AGENCY shall be responsible for administration of construction contracts, if any, on the project. Subject to the processing of a new sole source, or an acceptable supplemental AGREEMENT, the CONSULTANT shall provide On-Call assistance to the AGENCY during contract administration. By providing such assistance, the CONSULTANT shall assume no responsibility for proper construction techniques, job site safety, or any construction contractor's failure to perform its work in accordance with the contract documents.

The CONSULTANT shall obtain and keep in force during the terms of this AGREEMENT, or as otherwise required, the following insurance with companies or through sources approved by the State Insurance Commissioner pursuant to Title 48 RCW.

XIII. Extra Work

- A. The AGENCY may at any time, by written order, make changes within the general scope of this AGREEMENT in the SERVICES to be performed.
- B. If any such change causes an increase or decrease in the estimated cost of, or the time required for, performance of any part of the SERVICES under this AGREEMENT, whether or not changed by the order, or otherwise affects any other terms and conditions of this AGREEMENT, the AGENCY shall make an equitable adjustment in the: (1) maximum amount payable; (2) delivery or completion schedule, or both; and (3) other affected terms and shall modify this AGREEMENT accordingly.
- C. The CONSULTANT must submit any “request for equitable adjustment,” hereafter referred to as “CLAIM,” under this clause within thirty (30) days from the date of receipt of the written order. However, if the AGENCY decides that the facts justify it, the AGENCY may receive and act upon a CLAIM submitted before final payment of this AGREEMENT.
- D. Failure to agree to any adjustment shall be a dispute under the section XI “Disputes” clause. However, nothing in this clause shall excuse the CONSULTANT from proceeding with the AGREEMENT as changed.
- E. Notwithstanding the terms and conditions of paragraphs (A.) and (B.) above, the maximum amount payable for this AGREEMENT, shall not be increased or considered to be increased except by specific written supplement to this AGREEMENT.

XIV. Endorsement of Plans

If applicable, the CONSULTANT shall place their endorsement on all plans, estimates, or any other engineering data furnished by them.

XV. Federal Review

The Federal Highway Administration shall have the right to participate in the review or examination of the SERVICES in progress.

XVI. Certification of the Consultant and the Agency

Attached hereto as Exhibit “G-1(a and b)” are the Certifications of the CONSULTANT and the AGENCY, Exhibit “G-2” Certification Regarding Debarment, Suspension and Other Responsibility Matters - Primary Covered Transactions, Exhibit “G-3” Certification Regarding the Restrictions of the Use of Federal Funds for Lobbying and Exhibit “G-4” Certificate of Current Cost or Pricing Data. Exhibit “G-3” is required only in AGREEMENTS over one hundred thousand dollars (\$100,000.00) and Exhibit “G-4” is required only in AGREEMENTS over five hundred thousand dollars (\$500,000.00.) These Exhibits must be executed by the CONSULTANT, and submitted with the master AGREEMENT, and returned to the AGENCY at the address listed in section III “General Requirements” prior to its performance of any SERVICES under this AGREEMENT.

XVII. Complete Agreement

This document and referenced attachments contain all covenants, stipulations, and provisions agreed upon by the parties. No agent, or representative of either party has authority to make, and the parties shall not be bound by or be liable for, any statement, representation, promise or agreement not set forth herein. No changes, amendments, or modifications of the terms hereof shall be valid unless reduced to writing and signed by the parties as a supplement to this AGREEMENT.

XVIII. Execution and Acceptance

This AGREEMENT may be simultaneously executed in several counterparts, each of which shall be deemed to be an original having identical legal effect. The CONSULTANT does hereby ratify and adopt all statements, representations, warranties, covenants, and AGREEMENT’s contained in the proposal, and the supporting material submitted by the CONSULTANT, and does hereby accept this AGREEMENT and agrees to all of the terms and conditions thereof.

XIX. Protection of Confidential Information

The CONSULTANT acknowledges that some of the material and information that may come into its possession or knowledge in connection with this AGREEMENT or its performance may consist of information that is exempt from disclosure to the public or other unauthorized persons under either chapter 42.56 RCW or other local, state, or federal statutes (“State’s Confidential Information”). The “State’s Confidential Information” includes, but is not limited to, names, addresses, Social Security numbers, e-mail addresses, telephone numbers, financial profiles credit card information, driver’s license numbers, medical data, law enforcement records (or any other information identifiable to an individual), STATE and AGENCY source code or object code, STATE and AGENCY security data, non-public Specifications, STATE and AGENCY non-publicly available data, proprietary software, STATE and AGENCY security data, or information which may jeopardize any part of the project that relates to any of these types of information. The CONSULTANT agrees to hold the State’s Confidential Information in strictest confidence and not to make use of the State’s Confidential Information for any purpose other than the performance of this AGREEMENT, to release it only to authorized employees, sub-consultants or subcontractors requiring such information for the purposes of carrying out this AGREEMENT, and not to release, divulge, publish, transfer, sell, disclose, or otherwise make it known to any other party without the AGENCY’s express written consent or as provided by law. The CONSULTANT agrees to release such information or material only to employees, sub-consultants or subcontractors who have signed a nondisclosure AGREEMENT, the terms of which have been previously approved by the AGENCY. The CONSULTANT agrees to implement physical, electronic, and managerial safeguards to prevent unauthorized access to the State’s Confidential Information.

Immediately upon expiration or termination of this AGREEMENT, the CONSULTANT shall, at the AGENCY’s option: (i) certify to the AGENCY that the CONSULTANT has destroyed all of the State’s Confidential Information; or (ii) returned all of the State’s Confidential Information to the AGENCY; or (iii) take whatever other steps the AGENCY requires of the CONSULTANT to protect the State’s Confidential Information.

As required under Executive Order 00-03, the CONSULTANT shall maintain a log documenting the following: the State’s Confidential Information received in the performance of this AGREEMENT; the purpose(s) for which the State’s Confidential Information was received; who received, maintained, and used the State’s Confidential Information; and the final disposition of the State’s Confidential Information. The CONSULTANT’s records shall be subject to inspection, review, or audit upon reasonable notice from the AGENCY.

The AGENCY reserves the right to monitor, audit, or investigate the use of the State’s Confidential Information collected, used, or acquired by the CONSULTANT through this AGREEMENT. The monitoring, auditing, or investigating may include, but is not limited to, salting databases.

Violation of this section by the CONSULTANT or its sub-consultants or subcontractors may result in termination of this AGREEMENT and demand for return of all State’s Confidential Information, monetary damages, or penalties

It is understood and acknowledged that the CONSULTANT may provide the AGENCY with information, which is proprietary and/or confidential during the term of this AGREEMENT. The parties agree to maintain the confidentiality of such information during the term of this AGREEMENT and afterwards. All materials containing such proprietary and/or confidential information shall be clearly identified and marked as “Confidential” and shall be returned to the disclosing party at the conclusion of the SERVICES under this AGREEMENT.

The CONSULTANT shall provide the AGENCY with a list of all information and materials it considers confidential and/or proprietary in nature: (a) at the commencement of the term of this AGREEMENT, or (b) as soon as such confidential or proprietary material is developed. "Proprietary and/or confidential information" is not meant to include any information which, at the time of its disclosure: (i) is already known to the other party; (ii) is rightfully disclosed to one of the parties by a third party that is not acting as an agent or representative for the other party; (iii) is independently developed by or for the other party; (iv) is publicly known; or (v) is generally utilized by unaffiliated third parties engaged in the same business or businesses as the CONSULTANT.

The parties also acknowledge that the AGENCY is subject to Washington State and federal public disclosure laws. As such, the AGENCY shall maintain the confidentiality of all such information marked proprietary and or confidential or otherwise exempt, unless such disclosure is required under applicable state or federal law. If a public disclosure request is made to view materials identified as "Proprietary and/or confidential information" or otherwise exempt information, the AGENCY will notify the CONSULTANT of the request and of the date that such records will be released to the requester unless the CONSULTANT obtains a court order from a court of competent jurisdiction enjoining that disclosure. If the CONSULTANT fails to obtain the court order enjoining disclosure, the AGENCY will release the requested information on the date specified.

The CONSULTANT agrees to notify the sub-consultant of any AGENCY communication regarding disclosure that may include a sub-consultant's proprietary and/or confidential information. The CONSULTANT notification to the sub-consultant will include the date that such records will be released by the AGENCY to the requester and state that unless the sub-consultant obtains a court order from a court of competent jurisdiction enjoining that disclosure the AGENCY will release the requested information. If the CONSULTANT and/or sub-consultant fail to obtain a court order or other judicial relief enjoining the AGENCY by the release date, the CONSULTANT shall waive and release and shall hold harmless and indemnify the AGENCY from all claims of actual or alleged damages, liabilities, or costs associated with the AGENCY's said disclosure of sub-consultants' information.

XX. Records Maintenance

During the progress of the Work and SERVICES provided hereunder and for a period of not less than six (6) years from the date of final payment to the CONSULTANT, the CONSULTANT shall keep, retain, and maintain all "documents" pertaining to the SERVICES provided pursuant to this AGREEMENT. Copies of all "documents" pertaining to the SERVICES provided hereunder shall be made available for review at the CONSULTANT's place of business during normal working hours. If any litigation, claim, or audit is commenced, the CONSULTANT shall cooperate with AGENCY and assist in the production of all such documents. "Documents" shall be retained until all litigation, claims or audit findings have been resolved even though such litigation, claim, or audit continues past the six (6) year retention period.

For purposes of this AGREEMENT, "documents" means every writing or record of every type and description, including electronically stored information ("ESI"), that is in the possession, control, or custody of the CONSULTANT, including, without limitation, any and all correspondences, contracts, AGREEMENTS, appraisals, plans, designs, data, surveys, maps, spreadsheets, memoranda, stenographic or handwritten notes, reports, records, telegrams, schedules, diaries, notebooks, logbooks, invoices, accounting records, work sheets, charts, notes, drafts, scribbles, recordings, visual displays, photographs, minutes of meetings, tabulations, computations, summaries, inventories, and writings regarding conferences, conversations or telephone conversations, and any and all other taped, recorded, written, printed or typed matters of any kind or description; every copy of the foregoing whether or not the original is in the possession, custody, or control of the CONSULTANT, and every copy of any of the foregoing, whether or not such copy is a copy identical to an original, or whether or not such copy contains any commentary or notation whatsoever that does not appear on the original.

For purposes of this AGREEMENT, “ESI” means any and all computer data or electronic recorded media of any kind, including “Native Files”, that are stored in any medium from which it can be retrieved and examined, either directly or after translation into a reasonably useable form. ESI may include information and/or documentation stored in various software programs such as Email, Outlook, Word, Excel, Access, Publisher, PowerPoint, Adobe Acrobat, SQL databases, or any other software or electronic communication programs or databases that the CONSULTANT may use in the performance of its operations. ESI may be located on network servers, backup tapes, smart phones, thumb drives, CDs, DVDs, floppy disks, work computers, cell phones, laptops, or any other electronic device that CONSULTANT uses in the performance of its Work or SERVICES hereunder, including any personal devices used by the CONSULTANT or any sub-consultant at home.

“Native files” are a subset of ESI and refer to the electronic format of the application in which such ESI is normally created, viewed, and /or modified

The CONSULTANT shall include this section XX “Records Maintenance” in every subcontract it enters into in relation to this AGREEMENT and bind the sub-consultant to its terms, unless expressly agreed to otherwise in writing by the AGENCY prior to the execution of such subcontract.

In witness whereof, the parties hereto have executed this AGREEMENT as of the day and year shown in the “Execution Date” box on page one (1) of this AGREEMENT.

Signature

Date

Signature

Date

Any modification, change, or reformation of this AGREEMENT shall require approval as to form by the Office of the Attorney General.

***Exhibit A
Scope of Work***

Project No.

See attached Scope of Work.

Exhibit A – Scope of Work

A. Description

Scope of Services - The CONSULTANT/CONTRACTOR has been retained to perform services for the CITY on an on-call basis during the term of this Agreement. By “on-call basis” it is meant that CONSULTANT/CONTRACTOR agrees to make itself available and to assign sufficient personnel to perform the services called for in this Agreement when and to the extent that the CITY requests the CONSULTANT/CONTRACTOR to do so.

Under this Agreement, the purpose of this Agreement is to provide comprehensive professional services in support of the Public Works Department’s Transportation Engineering projects and programs.

B. General Scope of Services

The CONSULTANT/CONTRACTOR will provide all labor, materials, equipment, and supplies to perform professional engineering services on a task order basis for various task assignment projects. These tasks may include, but are not limited to, the following:

Design and Analysis

- Provide engineering and design services for yearly Pavement Preservation Program and projects including development of project plans, specifications, and estimates.
- Provide engineering and design services for Americans with Disabilities Act (ADA) Program related projects such as curb ramp replacement projects including development of project plans, specifications, and estimates.
- Provide engineering and design services for Transportation Safety Improvement Program (TSIP) related projects such as installation of enhanced crosswalk features including development of project plans, specifications, and estimates.
- Provide engineering and design services for Channelization Program related projects such as restriping projects including development of project plans, specifications, and estimates.
- Provide preliminary engineering and design (PE/Design) for the Pavement Preservation Program, ADA Program, TSIP, and Channelization Program.
- Provide right-of-way and topographical survey and mapping related to transportation projects.
- Provide engineering and design services for other transportation projects as needed.
- Assist CITY staff in revising design guidance, standard details, and specifications to meet the latest best practices in Pavement Preservation Program, ADA Program, TSIP, and Channelization Program.
- Assist CITY staff in reviewing facilities designed by others in existing capital and private development transportation projects.

Traffic Engineering Studies

- Conduct traffic studies, corridor analyses, alternative analyses, and feasibility studies for the Pavement Preservation Program, ADA Program, TSIP, and Channelization Program.
- Assist City staff in reviewing historical studies prepared by others.

Testing, Rating, and Budgeting and Documentation

- Provide pavement testing and design services in support of pavement design with associated pavement design report.
- Provide support for the Streetsaver pavement management software or other pavement management software including updating street segments and pavement condition data and a year-end summary with new data.
- Perform field inventories of pavement and curb ramp data and assist CITY staff in keeping inventory data up to date.
- Assist CITY staff in program development including prioritization strategies, budgeting, treatment selections, program organization, other-CITY comparisons.
- Assist City staff with project scoping and project estimation including field assessment and review of projects.
- Assist CITY staff with yearly project selection for Pavement Preservation Program, ADA Program, TSIP, and Channelization Program.
- Provide year-end summary of Pavement Preservation Program.
- Provide public outreach assistance for transportation projects, including but not limited to, preparation and distribution of outreach materials, call management, and website updates.

Construction Assistance

- Respond to field questions regarding construction plans as needed.
- Provide construction management administration and construction inspection services on Pavement Preservation Program, ADA Program, TSIP, and Channelization Program projects as needed.

Other Related Work Items

- Provide Access database, Excel, Word, PowerPoint, and other software support.
- Provide drafting and GIS mapping support.
- Provide graphic and artistic displays for publications and presentations.
- Attend and assist at meetings as needed.
- Coordinate work products with other service providers as needed.

C. Task Order Administration

A. Period of Performance and Contract Value

This CONSULTANT/CONTRACTOR Agreement shall remain in effect until **December 31, 2025**. Any work authorized by task order before December 31, 2025, shall continue until the completion date designated in the task order.

At the City of Redmond's option, the contract may be extended for an additional two-year term based on the CITY'S need for continued services and CONSULTANT/CONTRACTOR'S performance.

The maximum value of this contract will not exceed six hundred thousand dollars (\$600,000); however, there is no guarantee that the CITY will expend the entire value of this contract. Specifically, the CITY does not guarantee that the CONSULTANT/CONTRACTOR will receive a specified volume of work, a specific total contract amount, or a specific task order value. At the City's discretion the value of the contract could potentially be increased through supplemental agreements in an amount not to exceed six hundred thousand (\$600,000). The work will be conducted through task orders for specific pieces of work.

B. CONSULTANT/CONTRACTOR Resources and Time

Specific work under this contract will be performed on a task order basis consisting of individually negotiated task orders. Each task order will provide a specific scope, budget, and schedule of the services required.

The CONSULTANT/CONTRACTOR will be expected to respond on short notice to requests for technical services to resolve urgent task orders. CONSULTANT/ CONTRACTOR task orders will be coordinated with on-going work being performed by the CITY.

C. Task Order Process

1. For each individual task order, the project manager will issue a written or verbal "Task Order Request" to the CONSULTANT/CONTRACTOR. The task request will describe the nature and extent of the project, its scope, and preliminary schedule.
2. Within five (5) calendar days of the time frame specified in the "task order request," the CONSULTANT/CONTRACTOR will prepare a proposal that includes an applicable scope of work, schedule, and fees as well as identify key staff assignments and potential subconsultants.
3. The CONSULTANT/CONTRACTOR and project manager will determine the detailed scope of work, project schedule, consultant fee, and other project management details.
4. The project manager will provide a final approval of the task order with a signed task order sheet.
5. The CONSULTANT/CONTRACTOR will be paid on the basis of approved monthly invoices. Task orders will be invoiced in a manner to allow costs to be identified by work performed under separate task orders.

The project manager will issue a written task release when work on a specific task order is complete and final payment for that task is authorized.

Exhibit B ***DBE Participation Plan***

In the absence of a mandatory DBE goal, a voluntary SBE goal amount of ten percent of the Consultant Agreement is established. The Consultant shall develop a SBE Participation Plan prior to commencing work. Although the goal is voluntary, the outreach efforts to provide SBE maximum practicable opportunities are not.

Parametrix will utilize opportunities to include DBEs or SBEs based on task order assignment requirements. Although 10% is the recommended voluntary goal, for pavement preservation it is likely that the DBE participation will be significantly higher.

Preparation and Delivery of Electronic Engineering and Other Data

In this Exhibit the agency, as applicable, is to provide a description of the format and standards the consultant is to use in preparing electronic files for transmission to the agency. The format and standards to be provided may include, but are not limited to, the following:

I. Surveying, Roadway Design & Plans Preparation Section

A. Survey Data

Standard: City of Redmond Datum Control, State Plan Coordinate System

Format: Base map in AutoCAD/Civil 3D

Transmission: FTP, CD, Email, SharePoint

B. Roadway Design Files

Standard: City of Redmond, AASHTO, NACTO, WSDOT, Design Manual

Format: Base map in AutoCAD/Civil 3D

Transmission: FTP, CD, Email, SharePoint

C. Computer Aided Drafting Files

Standard: Consultant Drafting Standards, City of Redmond Title Block Format

Format: Base map in AutoCAD/Civil 3D

Transmission: FTP, CD, Email, SharePoint

D. Specify the Agency's Right to Review Product with the Consultant

Agency will retain the right to review all deliverables referenced in the Scope of Work in Exhibit A.

E. Specify the Electronic Deliverables to Be Provided to the Agency

Deliverables as outlined in the Scope of Work in Exhibit A.

F. Specify What Agency Furnished Services and Information Is to Be Provided

Agency furnished services and information outlined in the Scope of Work in Exhibit A.

II. Any Other Electronic Files to Be Provided

Excel spreadsheets

Word documents

PDFs

WSDOT eForms

III. Methods to Electronically Exchange Data

Email, FTP, SharePoint

A. Agency Software Suite

N/A

B. Electronic Messaging System

N/A

C. File Transfers Format

PDF, Zip files, Word, Excel, AutoCAD

Exhibit D
Prime Consultant Cost Computations

See attachments for Parametrix on the following pages:

Exhibit D-1 Parametrix Fee Determination

Exhibit D-2 Hourly Rate of Pay, Parametrix Rate Schedule

Exhibit D-3 2022 WSDOT Acceptance FYE 2021 Parametrix ICR

Negotiated Fee

Project Name:	Transportation Engineering On-Call
Project Number:	
Consultant:	Parametrix
Estimated Design Fee:	\$600,000
Prepared By:	Cindy Clark

Fee Computation

Factor	Rate	Weight	Value
Degree of Risk	0.26	X 25	6.50
Relative Difficulty of Work	0.26	X 20	5.20
Estimated Design Fee	\$600,000	0.33 X 15	4.95
Period of Performance <i>enter in months</i>	36	0.35 X 15	5.25
Assistance by the Agency	0.35	X 15	5.25
Subconsulting <i>enter %</i>	20%	0.26 X 10	2.60
Negotiated Fee (% of DSC)		100	29.75 %

Note: See Instructions for factor descriptions and rate guidelines. Minimum is .17 and maximum is .35.

Multiplier Computation

Component	Multiplier
Labor (DSC)	1.00
Overhead (OH)	1.73
Fee	0.30
Total Multiplier (DSC+OH+Fee)	3.02

Exhibit D-2
Hourly Rate of Pay
Parametrix Rate Schedule

Position Classification	Not to Exceed			Max Rate Per Hour
	Direct Salary Rate	ICR 172.55%	Profit 29.75%	
Admin Assistant	\$24.27	41.88	7.22	73.37
Business Mgr	\$60.30	104.05	17.94	182.29
CADD Operator II	\$29.03	50.09	8.64	87.76
CADD Operator III	\$32.26	55.66	9.60	97.52
Chief Operating Officer	\$129.87	224.09	38.64	392.60
Construction Manager I	\$58.97	101.75	17.54	178.27
Construction Manager II	\$57.69	99.54	17.16	174.40
Cultural Resources Specialist I	\$23.92	41.27	7.12	72.31
Cultural Resources Specialist III	\$32.45	55.99	9.65	98.10
Cultural Resources Specialist IV	\$39.00	67.29	11.60	117.90
Designer I	\$31.96	55.15	9.51	96.62
Designer II	\$38.30	66.09	11.39	115.78
Designer III	\$45.90	79.20	13.66	138.76
Designer IV	\$57.55	99.30	17.12	173.97
Dir. of Risk Management	\$121.29	209.29	36.08	366.66
Electrical Designer II	\$46.58	80.37	13.86	140.81
Electrical Designer III	\$46.91	80.94	13.96	141.81
Electrical Designer IV	\$57.69	99.54	17.16	174.40
Electrical Engineer III	\$49.21	84.91	14.64	148.76
Engineer I	\$38.50	66.43	11.45	116.39
Engineer II	\$42.90	74.02	12.76	129.69
Engineer III	\$52.00	89.73	15.47	157.20
Engineer IV	\$63.16	108.98	18.79	190.93
Environmental Tech I	\$22.88	39.48	6.81	69.17
Environmental Tech III	\$33.53	57.86	9.98	101.36
EP&C Division Manager	\$69.89	120.60	20.79	211.28
Finance/Accounting Supervisor	\$48.99	84.53	14.57	148.10
GIS Analyst	\$31.00	53.49	9.22	93.71
GIS Technician	\$30.65	52.89	9.12	92.65
Graphic Designer	\$26.00	44.86	7.74	78.60
Hydrogeologist III	\$46.21	79.74	13.75	139.69
Hydrogeologist IV	\$48.67	83.98	14.48	147.13
Inspector	\$42.27	72.94	12.58	127.78
IT Manager	\$80.48	138.87	23.94	243.29
Jr Engineer	\$26.00	44.86	7.74	78.60
Jr Planner	\$25.00	43.14	7.44	75.58
Marketing Assistant	\$30.03	51.82	8.93	90.78
Marketing Coordinator	\$31.44	54.25	9.35	95.04
Office Administrator	\$32.50	56.08	9.67	98.25
Operations Manager	\$109.01	188.10	32.43	329.54
Owner's Representative	\$104.76	180.76	31.17	316.69
Planner I	\$36.00	62.12	10.71	108.83
Planner II	\$40.00	69.02	11.90	120.92
Planner III	\$50.79	87.64	15.11	153.54
Planner IV	\$60.04	103.60	17.86	181.50
Principal Consultant	\$260.00	448.63	77.35	785.98
Programmer II	\$29.02	50.07	8.63	87.73
Project Accountant	\$37.65	64.97	11.20	113.82
Project Controls Specialist	\$43.87	75.70	13.05	132.62
Project Coordinator	\$35.36	61.01	10.52	106.89
Project Delivery Officer	\$83.20	143.56	24.75	251.51

Position Classification	Not to Exceed			Max Rate Per Hour
	Direct Salary Rate	ICR 172.55%	Profit 29.75%	
Publications Specialist II	\$32.41	55.92	9.64	97.98
Publications Supervisor	\$47.98	82.79	14.27	145.04
Regional Division Manager	\$108.61	187.41	32.31	328.33
Resident Engineer	\$65.54	113.09	19.50	198.13
Scientist II	\$41.14	70.99	12.24	124.37
Scientist III	\$49.38	85.21	14.69	149.28
Scientist IV	\$54.91	94.75	16.34	165.99
Software Engineer	\$52.00	89.73	15.47	157.20
Sr Admin Assistant	\$29.20	50.38	8.69	88.27
Sr Communications Specialist	\$40.87	70.52	12.16	123.55
Sr Construction Mgr	\$93.60	161.51	27.85	282.95
Sr Consultant	\$166.40	287.12	49.50	503.03
Sr Contracts Administrator	\$52.88	91.24	15.73	159.86
Sr Cultural Resources Specialist	\$46.80	80.75	13.92	141.48
Sr Designer	\$67.21	115.97	19.99	203.18
Sr Electrical Designer	\$69.39	119.73	20.64	209.77
Sr Electrical Engineer	\$85.04	146.74	25.30	257.08
Sr Engineer	\$87.56	151.08	26.05	264.69
Sr GIS Analyst	\$43.04	74.27	12.80	130.11
Sr Graphic Designer	\$62.40	107.67	18.56	188.64
Sr Hydrogeologist	\$72.99	125.94	21.71	220.65
Sr Marketing Coordinator	\$54.25	93.61	16.14	164.00
Sr Planner	\$93.60	161.51	27.85	282.95
Sr Project Accountant	\$44.36	76.54	13.20	134.10
Sr Project Controls Specialist	\$54.18	93.49	16.12	163.79
Sr Project Coordinator	\$35.73	61.65	10.63	108.01
Sr Scientist	\$70.52	121.68	20.98	213.18
Sr Surveyor	\$56.16	96.90	16.71	169.77
Sr Systems Administrator	\$61.20	105.60	18.21	185.01
Sr Technical Aide	\$30.03	51.82	8.93	90.78
Sr Technical Editor	\$57.20	98.70	17.02	172.92
Sr Vice President	\$150.90	260.38	44.89	456.17
Surveying Supervisor	\$75.00	129.41	22.31	226.73
Surveyor I	\$33.28	57.42	9.90	100.61
Surveyor II	\$39.52	68.19	11.76	119.47
Surveyor III	\$45.50	78.51	13.54	137.55
Technical Aide	\$17.00	29.33	5.06	51.39
Technical Editor	\$34.80	60.05	10.35	105.20
Technical Lead	\$46.97	81.05	13.97	141.99
Vice President	\$112.81	194.65	33.56	341.02
Water Solutions Div Mgr	\$87.50	150.98	26.03	264.51

The indirect cost rate (ICR), profit, and max rate per hour listed above are the maximum rates payable under this AGREEMENT. Rates invoiced shall be based on the direct salary of the individual employee plus ICR plus profit and shall not exceed the Max Rate Per Hour for each classification listed in this Exhibit D-2 without prior written consent of the City.



Development Division
Contract Services Office
PO Box 47408
Olympia, WA 98504-7408
7345 Linderson Way SW
Tumwater, WA 98501-6504

TTY: 1-800-833-6388
www.wsdot.wa.gov

May 12, 2022

Parametrix, Inc.
1019 39th Avenue SE, Suite 100
Puyallup, WA 98374-2215

Subject: Acceptance FYE 2021 ICR – Cognizant Review

Dear Janice Walden:

We have accepted your firms FYE 2021 Indirect Cost Rate (ICR) of 172.55% of Combined/Corporate (rate includes 0.11% Facilities Capital Cost of Money) based on the “Cognizant Review” from the WSDOT Audit Office. This rate will be applicable for WSDOT Agreements and Local Agency Contracts in Washington only. This rate may be subject to additional review if considered necessary by WSDOT. Your ICR must be updated on an annual basis.

Costs billed to agreements/contracts will still be subject to audit of actual costs, based on the terms and conditions of the respective agreement/contract.

Any other entity contracting with your firm is responsible for determining the acceptability of the ICR.

If you have any questions, feel free to contact our office at (360) 705-7019 or via email consultantrates@wsdot.wa.gov.

Regards;

A handwritten signature in blue ink that reads 'Erik K. Jonson'.

ERIK K. JONSON
Contract Services Manager

EKJ:ah

Certification of Final Indirect Costs

Firm Name: Parametrix, Inc.

Indirect Cost Rate Proposal: 172.55

Date of Proposal Preparation (mm/dd/yyyy): 4/11/22

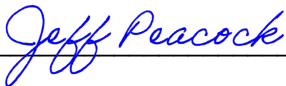
Fiscal Period Covered (mm/dd/yyyy to mm/dd/yyyy): 1/2/2021 - 12/31/2021

I, the undersigned, certify that I have reviewed the proposal to establish final indirect cost rates for the fiscal period as specified above and to the best of my knowledge and belief:

1.) All costs included in this proposal to establish final indirect cost rates are allowable in accordance with the cost principles of the Federal Acquisition Regulations (FAR) of title 48, Code of Federal Regulations (CFR), part 31.

2.) This proposal does not include any costs which are expressly unallowable under the cost principles of the FAR of 48 CFR 31.

All known material transactions or events that have occurred affecting the firm's ownership, organization and indirect cost rates have been disclosed.

Signature: 

Name of Certifying Official* (Print): Jeff Peacock

Title: President, CEO

Date of Certification (mm/dd/yyyy): 04/19/2022

*The "Certifying Official" must be an individual executive or financial officer of the firm at a level no lower than a Vice President or Chief Financial Officer, or equivalent, who has the authority to represent the financial information utilized to establish the indirect cost rate for use under Agency contracts.

Ref. FHWA Directive 4470.1A available on line at:
<http://www.fhwa.dot.gov/legsregs/directives/orders/44701a.htm>

PARAMETRIX, INC.

Statement of Direct Labor, Fringe Benefits and General Overhead
For the Fiscal Year Ended December 31, 2021

Description	General Ledger Balance for the Fiscal Year Ended December 31, 2021	Unallowable Expenses	Ref.	Proposed Amount	Percent
Direct Labor	\$ 34,409,131	\$ -		\$ 34,409,131	100.00%
Fringe Benefits:					
Vacation, sick leave, holidays	7,353,992			7,353,992	21.37%
Payroll taxes	5,223,649	(33,028)	E	5,190,621	15.09%
Medical insurance	4,707,654			4,707,654	13.68%
ESOP retirement plan	11,495,150			11,495,150	33.41%
Total Fringe Benefits	28,780,445	(33,028)		28,747,417	83.55%
General Overhead:					
Administrative salaries	11,246,602	(71,054)	A	11,175,548	32.48%
Training salaries	758,401			758,401	2.20%
Bonuses/misc. salaries	9,287,479	(1,767,064)	B	7,520,415	21.86%
Direct selling salaries	1,377,124			1,377,124	4.00%
Bid and proposal salaries	3,514,619			3,514,619	10.21%
Advertising expense	128,034	(128,034)	C		0.00%
Direct selling expenses	61,160	(20,375)	D	40,785	0.12%
Business taxes	2,047,195			2,047,195	5.95%
Insurance	865,256			865,256	2.51%
Office rent	4,180,663			4,180,663	12.15%
Office expenses, supplies, remodeling and business meals	146,530	(56,038)	F	90,492	0.26%
Staff appreciation/awards	70,137	(58,146)	G	11,991	0.03%
Printing, copier/printer supplies	46,940			46,940	0.14%
Telephone	187,302			187,302	0.54%
Depreciation (including gain/loss on disposal)	1,652,585			1,652,585	4.80%
Amortization	14,583	(14,583)	H		0.00%
Auto expense	204,382	(11,938)	I	192,444	0.56%
Billed in-house autos	(185,993)			(185,993)	-0.54%
Office travel	161,663	(34,987)	J	126,676	0.37%
Subscriptions, library material and dues	238,380	(26,232)	K	212,148	0.62%
Donations	60,802	(60,802)	L		0.00%
Professional licenses	30,559			30,559	0.09%
Postage, couriers, freight	29,116			29,116	0.08%
Training/education	208,380			208,380	0.61%
Field equipment/supplies	120,008			120,008	0.35%
Health and safety equipment/supplies	25,368			25,368	0.07%
Billed in-house equipment	(382,220)			(382,220)	-1.11%
Office equipment	178,810	(33)	M	178,777	0.52%
Computer supplies, circuits	490,719	(779)	M	489,940	1.42%
Software/maintenance	2,455,923			2,455,923	7.14%
Recruiting costs	101,004			101,004	0.29%
Payroll, legal and audit	24,080	187,962	N	212,042	0.62%
Temporary labor	17,438			17,438	0.05%
Consulting services	817,053	(114,000)	O	703,053	2.04%
Bad debts	102,300	(102,300)	P		0.00%
Utilities/building maintenance	72,689			72,689	0.21%
Finance, bank charges	(58,908)	66,578	Q	7,670	0.02%
Paycheck Protection Program credit		(7,494,883)	R	(7,494,883)	-21.78%
Total General Overhead	40,296,163	(9,706,708)		30,589,455	88.90%
Total Indirect Costs	\$ 69,076,608	\$ (9,739,736)		\$ 59,336,872	172.45%
Percentage of Direct Labor (Less FCC)	200.75%			172.45%	
Facilities cost of capital (FCC)		37,736	S	37,736	0.11%
				\$ 59,374,608	
Percentage of Direct Labor (Includes FCC)				172.55%	

See accompanying notes.

PARAMETRIX, INC.

**Statement of Direct Labor, Fringe Benefits and General Overhead (Continued)
For the Fiscal Year Ended December 31, 2021**

References

- A. Labor costs incurred in defense of contract performance issues per 48 CFR 31.205-47(f)(5)(A); Collection costs unallowable per 48 CFR 31.205-3; Organizational Cost pertaining to acquisitions per 31.205-27.
- B. Share value-based bonuses unallowable per 48 CFR 31.205-6(i); Limitation on allowability of senior executive compensation per 31.205-6(p); Personal vehicle use unallowable per 48 CFR 31.205-6(m)(2). Gifts unallowable per 48 CFR 31.205-13(b).
- C. Nonallowable public relations and advertising costs per 31.205-1; Promotional materials unallowable per 48 CFR 31.205-1(f)(5).
- D. Entertainment unallowable per 48 CFR 31.205-14; Alcohol unallowable per 48 CFR 31.205-51; Excess lodging and per diem unallowable per 48 CFR 31.205-46.
- E. Fringe benefits associated with labor deemed unallowable per 48 CFR 31.201-6(a).
- F. Gift unallowable per 48 CFR 31.205-13(b); Entertainment unallowable per 48 CFR 31.205-14; Alcohol unallowable per 48 CFR 31.205-51.
- G. Entertainment unallowable per 48 CFR 31.205-14; Gifts unallowable per 48 CFR 31.205-13(b); Alcohol unallowable per 48 CFR 31.205-51.
- H. Amortization unallowable per 48 CFR 31.205.49.
- I. Personal vehicle use unallowable per 48 CFR 31.205-6(m)(2).
- J. Entertainment unallowable per 48 CFR 31.205-14; Alcohol unallowable per 48 CFR 31.205-51; Excess lodging and per diem unallowable per 48 CFR 31.205-46.
- K. Dues to social clubs unallowable per 48 CFR 31.205-1(f)(7), 31.205-14; Lobbying unallowable per 48 CFR 31.205-22(c).
- L. Donations unallowable per 48 CFR 31.205-8.
- M. Prior period cost unallowable per 31.203 (g).
- N. Legal costs incurred in defense of contract performance issues per 48 CFR 31.205-47(f)(5)(A); Organizational Cost pertaining to acquisition per 31.205-27. Recovery of prior year disallowed legal costs.
- O. Nonallowable public relations and advertising costs per 48 CFR 31.205-1.
- P. Bad debt unallowable per 48 CFR 31.205-3.
- Q. Interest, late fees unallowable per 48 CFR 31.205-20. Forgiveness of previously disallowed PPP interest expense.
- R. Credit for Paycheck Protection Program (PPP) loan forgiveness per 48 CFR 31.201-5.
- S. Cost of money adjustments per 48 CFR 31.205-10(a).

Exhibit E

Sub-consultant Cost Computations

If no sub-consultant participation listed at this time. The CONSULTANT shall not sub-contract for the performance of any work under this AGREEMENT without prior written permission of the AGENCY. Refer to section VI “Sub-Contracting” of this AGREEMENT.

HWA GeoSciences is the approved sub-consultant. See attachments for HWA on the following pages:

Exhibit E-1 2022 ANTE Hourly Rates by Category

Exhibit E-2 2022 Acceptance FYE 2021 HWA GeoSciences ICR

Exhibit E-1

HWA GeoSciences INC.

2022 ANTE HOURLY RATES BY CATEGORY

City of Redmond - Transportation On-Call

TITLE	NTE HOURLY RATE	Overhead 2.0831	Fixed Fee 29.75%	Billing Rate
Administrative Support	\$31.00	\$64.58	\$9.22	\$104.81
CAD	\$45.00	\$93.74	\$13.39	\$152.14
Contracts Administrator	\$41.00	\$85.41	\$12.20	\$138.61
Geologist I	\$28.00	\$58.33	\$8.33	\$94.67
Geologist II	\$34.00	\$70.83	\$10.12	\$114.95
Geologist III	\$38.00	\$79.16	\$11.31	\$128.47
Geologist IV	\$45.00	\$93.74	\$13.39	\$152.14
Geologist V	\$50.00	\$104.16	\$14.88	\$169.04
Geologist VI	\$55.00	\$114.57	\$16.36	\$185.94
Geologist VII	\$63.50	\$132.28	\$18.89	\$214.68
Geologist VIII	\$76.00	\$158.32	\$22.61	\$256.94
Geotechnical Engineer I	\$38.00	\$79.16	\$11.31	\$128.47
Geotechnical Engineer II	\$42.00	\$87.49	\$12.50	\$142.00
Geotechnical Engineer III	\$47.75	\$99.47	\$14.21	\$161.43
Geotechnical Engineer IV	\$50.25	\$104.68	\$14.95	\$169.89
Geotechnical Engineer V	\$55.00	\$114.57	\$16.36	\$185.94
Geotechnical Engineer VI	\$71.00	\$147.90	\$21.12	\$240.03
Geotechnical Engineer VII	\$78.00	\$162.48	\$23.21	\$263.70
Geotechnical Engineer VIII	\$81.00	\$168.73	\$24.10	\$273.84
Hydrogeologist VI	\$55.00	\$114.57	\$16.36	\$185.94
Lab/Field Technician I	\$22.00	\$45.83	\$6.55	\$74.38
Lab/Field Technician II	\$27.00	\$56.24	\$8.03	\$91.29
Lab/Field Technician III	\$32.00	\$66.66	\$9.52	\$108.19
Lab/Field Technician IV	\$38.50	\$80.20	\$11.45	\$130.16
Lab/Field Technician V	\$46.50	96.86415	\$13.83	\$157.21
Principal IX	\$97.50	*	\$203.10	\$29.01



Development Division
Contract Services Office
PO Box 47408
Olympia, WA 98504-7408
7345 Linderson Way SW
Tumwater, WA 98501-6504

TTY: 1-800-833-6388
www.wsdot.wa.gov

July 22, 2022

HWA GeoSciences, Inc.
21312 30th Drive SE, Suite 110
Bothell, WA 98021

Subject: Acceptance FYE 2021 ICR – CPA Report

Dear Vasiliy Babko:

We have accepted your firms FYE 2021 Indirect Cost Rate (ICR) of 208.31% of direct labor (rate includes 0.22% Facilities Capital Cost of Money) based on the “Independent CPA Report,” prepared by Thomas W Maxwell CPA. This rate will be applicable for WSDOT Agreements and Local Agency Contracts in Washington only. This rate may be subject to additional review if considered necessary by WSDOT. Your ICR must be updated on an annual basis.

Costs billed to agreements/contracts will still be subject to audit of actual costs, based on the terms and conditions of the respective agreement/contract.

This was not a cognizant review. Any other entity contracting with the firm is responsible for determining the acceptability of the ICR.

If you have any questions, feel free to contact our office at **(360) 705-7019** or via email consultantrates@wsdot.wa.gov.

Regards;

A handwritten signature in blue ink that reads 'Erik K. Jonson'.

ERIK K. JONSON
Contract Services Manager

EKJ:ah

HWA GEOSCIENCES, INC.
STATEMENT OF DIRECT LABOR, FRINGE BENEFITS, AND GENERAL OVERHEAD
FOR THE YEAR ENDED DECEMBER 31, 2021

<u>Description</u>	<u>GL Account Balance</u>	<u>Unallowable Costs</u>	<u>FAR Ref</u>	<u>Total Proposed</u>	<u>% of Direct Labor</u>
Direct Labor	<u>\$ 1,797,812</u>	<u>\$ -</u>		<u>\$ 1,797,812</u>	
Fringe Benefits:					
Bonuses	\$ 154,950	\$ -		\$ 154,950	
PTO	370,876	-		370,876	
401 k	194,033	-		194,033	
Employee group insurance	268,211	-		268,211	
Workers' comp	9,699	-		9,699	
Payroll taxes	266,500	(2,894)	(1)	263,606	
Other employee benefits	9,873	-		9,873	
Total Fringe Benefits	<u>\$ 1,274,142</u>	<u>\$ (2,894)</u>		<u>\$ 1,271,248</u>	<u>70.71%</u>
General Overhead:					
Indirect labor	\$ 1,127,480	\$ (37,821)	(2)(3)	\$ 1,089,659	
Bid and proposals	90,168	-		90,168	
Automobile expense	21,344	-		21,344	
Advertising and marketing	16,865	(16,865)	(3)	-	
Bank service charges	2,500	-		2,500	
Contributions	3,159	(3,159)	(4)	-	
Computer and software expenses	137,965	-		137,965	
Depreciation and amortization	93,781	-		93,781	
Dues and subscriptions	5,712	-		5,712	
Insurance	242,935	-		242,935	
Interest	366	(366)	(5)(6)	-	
Maintenance and repairs	10,945	-		10,945	
Meals and entertainment	1,025	-		1,025	
Office supplies and postage	23,476	(150)	(7)	23,326	
Printing	28,076	-		28,076	
Professional fees	72,970	-		72,970	
Seminars and professional education	22,464	(650)	(3)	21,814	
Supplies	63,443	-		63,443	
Rent and utilities	401,126	-		401,126	
Taxes and licenses	98,584	28,333	(6)(8)(9)	126,917	
Telecommunications	61,970	-		61,970	
Travel	3,089	-		3,089	
Recovery	(28,909)	-		(28,909)	
Total General Overhead	<u>\$ 2,500,534</u>	<u>\$ (30,678)</u>		<u>\$ 2,469,856</u>	<u>137.38%</u>
Total Fringe Benefits and General Overhead	<u>\$ 3,774,676</u>	<u>\$ (33,572)</u>		<u>\$ 3,741,104</u>	<u>208.09%</u>
Facilities Capital Cost of Money (FCCM)				<u>\$ 3,899</u>	<u>0.22%</u>

See notes to the indirect cost statement.

Exhibit F - Title VI Assurances Appendix A & E

APPENDIX A

During the performance of this contract, the contractor, for itself, its assignees, and successors in interest (hereinafter referred to as the "contractor") agrees as follows:

1. **Compliance with Regulations:** The contractor (hereinafter includes consultants) will comply with the Acts and the Regulations relative to Non-discrimination in Federally-assisted programs of the U.S. Department of Transportation, (*Federal Highway Administration*), as they may be amended from time to time, which are herein incorporated by reference and made a part of this contract.
2. **Non-discrimination:** The contractor, with regard to the work performed by it during the contract, will not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The contractor will not participate directly or indirectly in the discrimination prohibited by the Acts and the Regulations, including employment practices when the contract covers any activity, project, or program set forth in Appendix B of 49 CFR Part 21. *[Include Washington State Department of Transportation specific program requirements.]*
3. **Solicitations for Subcontracts, Including Procurements of Materials and Equipment:** In all solicitations, either by competitive bidding, or negotiation made by the contractor for work to be performed under a subcontract, including procurements of materials, or leases of equipment, each potential subcontractor or supplier will be notified by the contractor of the contractor's obligations under this contract and the Acts and the Regulations relative to Non-discrimination on the grounds of race, color, or national origin. *[Include Washington State Department of Transportation specific program requirements.]*
4. **Information and Reports:** The contractor will provide all information and reports required by the Acts, the Regulations, and directives issued pursuant thereto and will permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the Recipient or the (*Federal Highway Administration*) to be pertinent to ascertain compliance with such Acts, Regulations, and instructions. Where any information required of a contractor is in the exclusive possession of another who fails or refuses to furnish the information, the contractor will so certify to the Recipient or the (*Federal Highway Administration*), as appropriate, and will set forth what efforts it has made to obtain the information.
5. **Sanctions for Noncompliance:** In the event of a contractor's noncompliance with the Non- discrimination provisions of this contract, the Recipient will impose such contract sanctions as it or the (*Federal Highway Administration*) may determine to be appropriate, including, but not limited to:
 - a. withholding payments to the contractor under the contract until the contractor complies; and/or
 - b. cancelling, terminating, or suspending a contract, in whole or in part.
6. **Incorporation of Provisions:** The contractor will include the provisions of paragraphs one through six in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Acts, the Regulations and directives issued pursuant thereto. The contractor will take action with respect to any subcontract or procurement as the Recipient or the (*Federal Highway Administration*) may direct as a means of enforcing such provisions including sanctions for noncompliance. Provided, that if the contractor becomes involved in, or is threatened with litigation by a subcontractor, or supplier because of such direction, the contractor may request the Recipient to enter into any litigation to protect the interests of the Recipient. In addition, the contractor may request the United States to enter into the litigation to protect the interests of the United States.

Exhibit F - Title VI Assurances Appendix A & E

APPENDIX E

During the performance of this contract, the contractor, for itself, its assignees, and successors in interest (hereinafter referred to as the "contractor") agrees to comply with the following non-discrimination statutes and authorities; including but not limited to:

Pertinent Non-Discrimination Authorities:

- Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d et seq., 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin); and 49 CFR Part 21.
- The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, (42 U.S.C. § 4601), (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects);
- Federal-Aid Highway Act of 1973, (23 U.S.C. § 324 et seq.), (prohibits discrimination on the basis of sex);
- Section 504 of the Rehabilitation Act of 1973, (29 U.S.C. § 794 et seq.), as amended, (prohibits discrimination on the basis of disability); and 49 CFR Part 27;
- The Age Discrimination Act of 1975, as amended, (42 U.S.C. § 6101 et seq.), (prohibits discrimination on the basis of age);
- Airport and Airway Improvement Act of 1982, (49 USC § 471, Section 47123), as amended, (prohibits discrimination based on race, creed, color, national origin, or sex);
- The Civil Rights Restoration Act of 1987, (PL 100-209), (Broadened the scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, The Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms "programs or activities" to include all of the programs or activities of the Federal-aid recipients, sub-recipients and contractors, whether such programs or activities are Federally funded or not);
- Titles II and III of the Americans with Disabilities Act, which prohibit discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities (42 U.S.C. §§ 12131-12189) as implemented by Department of Transportation regulations at 49 C.F.R. parts 37 and 38;
- The Federal Aviation Administration's Non-discrimination statute (49 U.S.C. § 47123) (prohibits discrimination on the basis of race, color, national origin, and sex);
- Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations, which ensures discrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations;
- Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, and resulting agency guidance, national origin discrimination includes discrimination because of limited English proficiency (LEP). To ensure compliance with Title VI, you must take reasonable steps to ensure that LEP persons have meaningful access to your programs (70 Fed. Reg. at 74087 to 74100);
- Title IX of the Education Amendments of 1972, as amended, which prohibits you from discriminating because of sex in education programs or activities (20 U.S.C. 1681 et seq).

Exhibit G

Certification Document

- Exhibit G-1(a) Certification of Consultant
- Exhibit G-1(b) Certification of _____
- Exhibit G-2 Certification Regarding Debarment, Suspension and Other Responsibility Matters - Primary Covered Transactions
- Exhibit G-3 Certification Regarding the Restrictions of the Use of Federal Funds for Lobbying
- Exhibit G-4 Certificate of Current Cost or Pricing Data

Exhibit G-1(a) Certification of Consultant

I hereby certify that I am the and duly authorized representative of the firm of

whose address is

and that neither the above firm nor I have

- a) Employed or retained for a commission, percentage, brokerage, contingent fee, or other consideration, any firm or person (other than a bona fide employee working solely for me or the above CONSULTANT) to solicit or secure this AGREEMENT;
- b) Agreed, as an express or implied condition for obtaining this contract, to employ or retain the services of any firm or person in connection with carrying out this AGREEMENT; or
- c) Paid, or agreed to pay, to any firm, organization or person (other than a bona fide employee working solely for me or the above CONSULTANT) any fee, contribution, donation, or consideration of any kind for, or in connection with, procuring or carrying out this AGREEMENT; except as hereby expressly stated (if any);

I acknowledge that this certificate is to be furnished to the _____

and the Federal Highway Administration, U.S. Department of Transportation in connection with this AGREEMENT involving participation of Federal-aid highway funds, and is subject to applicable State and Federal laws, both criminal and civil.

Consultant (Firm Name)

Signature (Authorized Official of Consultant)

Date

Exhibit G-1(b) Certification of _____

I hereby certify that I am the:

Other

of the _____, and _____

or its representative has not been required, directly or indirectly as an express or implied condition in connection with obtaining or carrying out this AGREEMENT to:

- a) Employ or retain, or agree to employ to retain, any firm or person; o
- b) Pay, or agree to pay, to any firm, person, or organization, any fee, contribution, donation, or consideration of any kind; except as hereby expressly stated (if any):

I acknowledge that this certificate is to be furnished to the _____

and the Federal Highway Administration, U.S. Department of Transportation, in connection with this AGREEMENT involving participation of Federal-aid highway funds, and is subject to applicable State and Federal laws, both criminal and civil.

Signature

Date

Exhibit G-2 Certification Regarding Debarment Suspension and Other Responsibility Matters - Primary Covered Transactions

- I. The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
 - A. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
 - B. Have not within a three (3) year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State anti-trust statues or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - C. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; an
 - D. Have not within a three (3) year period preceding this application / proposal had one or more public transactions (Federal, State and local) terminated for cause or default.
- II. Where the prospective primary participant is unable to certify to any of the statements in this certification such prospective participant shall attach an explanation to this proposal.

Consultant (Firm Name)

Signature (Authorized Official of Consultant)

Date

Exhibit G-3 Certification Regarding the Restrictions of the Use of Federal Funds for Lobbying

The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or any employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative AGREEMENT, and the extension, continuation, renewal, amendment, or modification of Federal contract, grant, loan or cooperative AGREEMENT.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan or cooperative AGREEMENT, the undersigned shall complete and submit Standard Form - LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the require certification shall be subject to a civil penalty of not less than \$10,000.00, and not more than \$100,000.00 for each such failure.

The prospective participant also agrees by submitting his or her bid or proposal that he or she shall require that the language of this certification be included in all lower tier sub-contracts, which exceed \$100,000 and that all such sub-recipients shall certify and disclose accordingly.

Consultant (Firm Name)

Signature (Authorized Official of Consultant)

Date

Exhibit G-4 Certification of Current Cost or Pricing Data

This is to certify that, to the best of my knowledge and belief, the cost or pricing data (as defined in section of the Federal Acquisition Regulation (FAR) and required under FAR subsection 15.403-4) submitted, either actually or by specific identification in writing, to the Contracting Officer or to the Contracting Officer's representative in support of _____* are accurate, complete, and current as of _____**.

This certification includes the cost or pricing data supporting any advance AGREEMENT's and forward pricing rate AGREEMENT's between the offer or and the Government that are part of the proposal.

Firm: _____

Signature

Title

Date of Execution _____***.

*Identify the proposal, quotation, request for pricing adjustment, or other submission involved, giving the appropriate identifying number (e.g. project title.)

**Insert the day, month, and year, when price negotiations were concluded and price AGREEMENT was reached.

***Insert the day, month, and year, of signing, which should be as close as practicable to the date when the price negotiations were concluded and the contract price was agreed to.

To Be Used Only If Insurance Requirements Are Increased

The professional liability limit of the CONSULTANT to the AGENCY identified in Section XII, Legal Relations and Insurance of this Agreement is amended to \$ _____.

The CONSULTANT shall provide Professional Liability insurance with minimum per occurrence limits in the amount of \$ _____.

Such insurance coverage shall be evidenced by one of the following methods:

- Certificate of Insurance
- Self-insurance through an irrevocable Letter of Credit from a qualified financial institution

Self-insurance through documentation of a separate fund established exclusively for the payment of professional liability claims, including claim amounts already reserved against the fund, safeguards established for payment from the fund, a copy of the latest annual financial statements, and disclosure of the investment portfolio for those funds.

Should the minimum Professional Liability insurance limit required by the AGENCY as specified above exceed \$1 million per occurrence or the value of the contract, whichever is greater, then justification shall be submitted to the Federal Highway Administration (FHWA) for approval to increase the minimum insurance limit.

If FHWA approval is obtained, the AGENCY may, at its own cost, reimburse the CONSULTANT for the additional professional liability insurance required.

Notes: Cost of added insurance requirements: \$ _____.

- Include all costs, fee increase, premiums.
- This cost shall not be billed against an FHWA funded project.
- For final contracts, include this exhibit

Exhibit I

Alleged Consultant Design Error Procedures

The purpose of this exhibit is to establish a procedure to determine if a consultant has alleged design error is of a nature that exceeds the accepted standard of care. In addition, it will establish a uniform method for the resolution and/or cost recovery procedures in those instances where the agency believes it has suffered some material damage due to the alleged error by the consultant.

Step 1 Potential Consultant Design Error(s) is Identified by Agency's Project Manager

At the first indication of potential consultant design error(s), the first step in the process is for the Agency's project manager to notify the Director of Public Works or Agency Engineer regarding the potential design error(s). For federally funded projects, the Region Local Programs Engineer should be informed and involved in these procedures. (Note: The Director of Public Works or Agency Engineer may appoint an agency staff person other than the project manager, who has not been as directly involved in the project, to be responsible for the remaining steps in these procedures.)

Step 2 Project Manager Documents the Alleged Consultant Design Error(s)

After discussion of the alleged design error(s) and the magnitude of the alleged error(s), and with the Director of Public Works or Agency Engineer's concurrence, the project manager obtains more detailed documentation than is normally required on the project. Examples include all decisions and descriptions of work, photographs, records of labor, materials, and equipment.

Step 3 Contact the Consultant Regarding the Alleged Design Error(s)

If it is determined that there is a need to proceed further, the next step in the process is for the project manager to contact the consultant regarding the alleged design error(s) and the magnitude of the alleged error(s). The project manager and other appropriate agency staff should represent the agency and the consultant should be represented by their project manager and any personnel (including sub-consultants) deemed appropriate for the alleged design error(s) issue.

Step 4 Attempt to Resolve Alleged Design Error with Consultant

After the meeting(s) with the consultant have been completed regarding the consultant's alleged design error(s), there are three possible scenarios:

- It is determined via mutual agreement that there is not a consultant design error(s). If this is the case, then the process will not proceed beyond this point.
- It is determined via mutual agreement that a consultant design error(s) occurred. If this is the case, then the Director of Public Works or Agency Engineer, or their representatives, negotiate a settlement with the consultant. The settlement would be paid to the agency or the amount would be reduced from the consultant's agreement with the agency for the services on the project in which the design error took place. The agency is to provide LP, through the Region Local Programs Engineer, a summary of the settlement for review and to make adjustments, if any, as to how the settlement affects federal reimbursements. No further action is required.
- There is not a mutual agreement regarding the alleged consultant design error(s). The consultant may request that the alleged design error(s) issue be forwarded to the Director of Public Works or Agency Engineer for review. If the Director of Public Works or Agency Engineer, after review with their legal counsel, is not able to reach mutual agreement with the consultant, proceed to Step 5.

Step 5 Forward Documents to Local Programs

For federally funded projects, all available information, including costs, should be forwarded through the Region Local Programs Engineer to LP for their review and consultation with the FHWA. LP will meet with representatives of the agency and the consultant to review the alleged design error(s), and attempt to find a resolution to the issue. If necessary, LP will request assistance from the Attorney General's Office for legal interpretation. LP will also identify how the alleged error(s) affects eligibility of project costs for federal reimbursement.

- If mutual agreement is reached, the agency and consultant adjust the scope of work and costs to reflect the agreed upon resolution. LP, in consultation with FHWA, will identify the amount of federal participation in the agreed upon resolution of the issue.
- If mutual agreement is not reached, the agency and consultant may seek settlement by arbitration or by litigation.

Exhibit J

Consultant Claim Procedures

The purpose of this exhibit is to describe a procedure regarding claim(s) on a consultant agreement. The following procedures should only be utilized on consultant claims greater than \$1,000. If the consultant's claim(s) total a \$1,000 or less, it would not be cost effective to proceed through the outlined steps. It is suggested that the Director of Public Works or Agency Engineer negotiate a fair and reasonable price for the consultant's claim(s) that total \$1,000 or less.

This exhibit will outline the procedures to be followed by the consultant and the agency to consider a potential claim by the consultant.

Step 1 Consultant Files a Claim with the Agency Project Manager

If the consultant determines that they were requested to perform additional services that were outside of the agreement's scope of work, they may be entitled to a claim. The first step that must be completed is the request for consideration of the claim to the Agency's project manager.

The consultant's claim must outline the following:

- Summation of hours by classification for each firm that is included in the claim
- Any correspondence that directed the consultant to perform the additional work;
- Timeframe of the additional work that was outside of the project scope;
- Summary of direct labor dollars, overhead costs, profit and reimbursable costs associated with the additional work; and
- Explanation as to why the consultant believes the additional work was outside of the agreement scope of work.

Step 2 Review by Agency Personnel Regarding the Consultant's Claim for Additional Compensation

After the consultant has completed step 1, the next step in the process is to forward the request to the Agency's project manager. The project manager will review the consultant's claim and will meet with the Director of Public Works or Agency Engineer to determine if the Agency agrees with the claim. If the FHWA is participating in the project's funding, forward a copy of the consultant's claim and the Agency's recommendation for federal participation in the claim to the WSDOT Local Programs through the Region Local Programs Engineer. If the claim is not eligible for federal participation, payment will need to be from agency funds.

If the Agency project manager, Director of Public Works or Agency Engineer, WSDOT Local Programs (if applicable), and FHWA (if applicable) agree with the consultant's claim, send a request memo, including backup documentation to the consultant to either supplement the agreement, or create a new agreement for the claim. After the request has been approved, the Agency shall write the supplement and/or new agreement and pay the consultant the amount of the claim. Inform the consultant that the final payment for the agreement is subject to audit. No further action is needed regarding the claim procedures.

If the Agency does not agree with the consultant's claim, proceed to step 3 of the procedures.

Step 3 Preparation of Support Documentation Regarding Consultant's Claim(s)

If the Agency does not agree with the consultant's claim, the project manager shall prepare a summary for the Director of Public Works or Agency Engineer that included the following:

- Copy of information supplied by the consultant regarding the claim;
- Agency's summation of hours by classification for each firm that should be included in the claim
- Any correspondence that directed the consultant to perform the additional work;
- Agency's summary of direct labor dollars, overhead costs, profit and reimbursable costs associate with the additional work;
- Explanation regarding those areas in which the Agency does/does not agree with the consultant's claim(s);
- Explanation to describe what has been instituted to preclude future consultant claim(s); and
- Recommendations to resolve the claim.

Step 4 Director of Public Works or Agency Engineer Reviews Consultant Claim and Agency Documentation

The Director of Public Works or Agency Engineer shall review and administratively approve or disapprove the claim, or portions thereof, which may include getting Agency Council or Commission approval (as appropriate to agency dispute resolution procedures). If the project involves federal participation, obtain concurrence from WSDOT Local Programs and FHWA regarding final settlement of the claim. If the claim is not eligible for federal participation, payment will need to be from agency funds.

Step 5 Informing Consultant of Decision Regarding the Claim

The Director of Public Works or Agency Engineer shall notify (in writing) the consultant of their final decision regarding the consultant's claim(s). Include the final dollar amount of the accepted claim(s) and rationale utilized for the decision.

Step 6 Preparation of Supplement or New Agreement for the Consultant's Claim(s)

The agency shall write the supplement and/or new agreement and pay the consultant the amount of the claim. Inform the consultant that the final payment for the agreement is subject to audit



Memorandum

Date: 10/18/2022
Meeting of: City Council

File No. AM No. 22-153
Type: Consent Item

TO: Members of the City Council
FROM: Mayor Angela Birney
DEPARTMENT DIRECTOR CONTACT(S):

Public Works	Aaron Bert	425-556-2786
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DEPARTMENT STAFF:

Public Works	Micah Bonkowski	Program Administrator
Public Works	Aaron Moldver	Utility Supervisor

TITLE:

Approval of a Memorandum of Understanding with King County Solid Waste Division for Reimbursement of Rate Restructure Work

OVERVIEW STATEMENT:

King County Solid Waste Division is changing the rate structure for the disposal of municipal solid waste. This restructure is intended to collect the same revenue as the status quo, but in a more stable way without shifting costs between cities. This requires cities and waste haulers to update the disposal component terms in their collection contracts. The memorandum of understanding provides reimbursement to the City of Redmond for this work.

Additional Background Information/Description of Proposal Attached

REQUESTED ACTION:

Receive Information Provide Direction Approve

REQUEST RATIONALE:

- **Relevant Plans/Policies:**
King County Comprehensive Solid Waste Management Plan, King County Solid Waste Interlocal Agreement, Environmental Sustainability Action Plan
- **Required:**
N/A
- **Council Request:**
N/A
- **Other Key Facts:**
Currently, most of KCSWD revenue is derived from its per-ton fee for waste disposed at County facilities. As the County implements a aggressive waste reduction program, revenues will be reduced significantly. As a result, the

County has restructured the rate to include a fixed annual charge and a reduced basic ton fee. To ensure an efficient and cost-effective transition across the 39 cities in King County, the County is supporting the development of standard language for each hauler which can be used by all cities who contract with that hauler servicing respective cities.

OUTCOMES:

This MOU with King County Solid Waste Division will reimburse the City to negotiate a Solid Waste contract amendment with Waste Management. This will support Redmond's waste reduction goals in the ESAP.

COMMUNITY/STAKEHOLDER OUTREACH AND INVOLVEMENT:

- **Timeline (previous or planned):**
N/A
- **Outreach Methods and Results:**
N/A
- **Feedback Summary:**
N/A

BUDGET IMPACT:

Total Cost:

If the MOU is approved, the cost to the City will be \$0. If the MOU is not approved, the cost to the City will be \$25,000.

Approved in current biennial budget: Yes No N/A

Budget Offer Number:

0000006 Solid Waste Management

Budget Priority:

Healthy and Sustainable

Other budget impacts or additional costs: Yes No N/A

If yes, explain:

N/A

Funding source(s):

Solid Waste Fund

Budget/Funding Constraints:

N/A

Additional budget details attached

COUNCIL REVIEW:

Previous Contact(s)

Date	Meeting	Requested Action
10/4/2022	Committee of the Whole Planning and Public Works	Refer

Proposed Upcoming Contact(s)

Date	Meeting	Requested Action
N/A	None proposed at this time	N/A

Time Constraints:

N/A

ANTICIPATED RESULT IF NOT APPROVED:

If not approved, the City will not be reimbursed for the consultant work but will still have to complete the work out of the Solid Waste budget.

ATTACHMENTS:

Attachment A: Memorandum of Understanding

Attachment B: Consultant Scope of Work

**Memorandum of Agreement
Between
King County Department of Natural Resources, Solid Waste Division, and
the City of Redmond, Washington**

This Memorandum of Agreement (“MOA”) entered into by King County and the City of Redmond whose address is 15670 NE 85th St, Redmond, WA 98052.

PREAMBLE

WHEREAS, the King County Council has revised certain elements of the Solid Waste Division rate structure; and

WHEREAS, the restructure will have an impact on the way disposal charges are calculated and assessed to retail solid waste collection customers; and

WHEREAS, those changes will require updates to the disposal component adjustment clauses of most partner cities’ contracts; and

WHEREAS, some partner cities may find it challenging to update their contracts with their haulers due to limited staffing and/or funding.

TERMS AND CONDITIONS

Section 1. The City agrees to work with a consultant and hauler to negotiate a revenue-neutral contract amendment that will update the language and formulas that define how the disposal component of retail bills are adjusted when King County changes its rates for disposal.

Section 2. King County agrees to pay the City up to \$25,000 to help alleviate the cost of this work within 90 days of receipt of an invoice showing said work is completed.

Section 3. The City agrees to share the consultant’s analysis, reports, and the resulting contract amendment language with the County for review and possible implementation by other cities who contract with the same hauler.

Section 4. The work must be completed by the end of December, 2022.

King County Primary Contact
Brian Halverson
King County Solid Waste Division
Dept. of Natural Resources and Parks
201 South Jackson Street, Ste 5701
Seattle, WA 98104-3855

Email: brhalverson@kingcoutey.gov

City Primary Contact
Micah Bonkowski

City of _Redmond____
Public Works Department
15670 NE 85th St
Redmond, WA 98052
Email:
mbonkowski@redmond.gov

SIGNED:

**Pat D. McLaughlin, Division Director
King County Solid Waste Division**

**Angela Birney, Mayor
City of Redmond**

Date

Date

EXHIBIT A

SCOPE OF WORK AND BUDGET

Background: King County Solid Waste Division (KCSWD or County) is changing the rate structure for the disposal of municipal solid waste which accepts the City's waste. Currently, most of KCSWD revenue is derived from its per-ton fee for waste disposed of at County facilities. As the County implements its aggressive waste reduction programs, revenues will be reduced significantly. As a result, the County has restructured the rate to include a fixed annual charge and a reduced basic ton fee. This restructure is intended to collect the same revenue as the status quo, but in a more stable way without shifting costs between cities. However, this would require cities and waste haulers to update the disposal component terms in their collection and billing contracts. To ensure an efficient and cost-effective transition across the 39 cities in King County, the County is supporting the development of standard language for each hauler which can be used by all cities who contract with that hauler.

Tasks: The consultant will work with the City and the City's collection contractor to develop the necessary amendment language for their current solid waste collection contract as a result of the County's rate restructure.

1. Work with the City and hauler to determine the best way to assess the fixed fee component and to model the specific rate impacts of each approach (e.g. percentage fee vs. volume fee vs. per customer assessment).
2. Work through how to handle reserve funds to address any annual over- or under-charge to the customer base.
3. Negotiate the resulting contract amendment language and work through the legal review process with the city attorney and the hauler's attorney.
4. Prepare a staff report for taking the solid waste collection contract amendment to City council.

Deliverables: The consultant will provide rate analysis, negotiation support, disposal component contract amendment language, and assistance with developing the staff report to Council.

Timeline: Work shall be completed by December, 2022.

Budget: \$25,000.

Excluded Tasks: This scope is focused solely on the disposal component amendment language as a result of the County's rate restructure and will not include work on any other element of the solid waste collection contract.

Billing: Fixed fee of \$25,000. All travel and incidental costs are included in the flat rate. Services will be billed upon completion of the project.



Memorandum

Date: 10/18/2022
Meeting of: City Council

File No. AM No. 22-154
Type: Consent Item

TO: Members of the City Council
FROM: Mayor Angela Birney
DEPARTMENT DIRECTOR CONTACT(S):

Public Works	Aaron Bert	425-556-2786
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DEPARTMENT STAFF:

Public Works	Steve Flude	City Engineer
Public Works	Tom Hardy	Stream and Habitat Planner
Public Works	Steve Hitch	Engineering Supervisor

TITLE:

Approval of the Washington Conservation Corps (WCC) 2022-23 Agreement for \$203,360 between the City of Redmond and the Washington State Department of Ecology

OVERVIEW STATEMENT:

The Washington Conservation Corps (WCC) maintains stream and habitat restoration sites throughout the City of Redmond. Maintenance is typically required by permit approvals for the first 5-10 years after project completion and ongoing maintenance is necessary for long-term project success. The WCC crew is subsidized by AmeriCorps for 2022-23.

Additional Background Information/Description of Proposal Attached

REQUESTED ACTION:

Receive Information Provide Direction Approve

REQUEST RATIONALE:

- **Relevant Plans/Policies:**
Utilities Strategic Plan
- **Required:**
Contract over \$50,000
- **Council Request:**
N/A
- **Other Key Facts:**
WCC Agreement begins October 1, 2022

OUTCOMES:

Maintenance is a crucial activity to the success of restoration and mitigation associated with capital improvement projects. Approximately 100-acres of maintenance sites are distributed around the City (Attachment A).

The value of site maintenance goes far beyond permit compliance. Maintenance of restoration sites involves control of invasive weeds, litter patrol, replacement planting, and other activities important to overall project success. This regular site maintenance enhances already completed projects, building on the initial investment, as well as improving the aesthetics of sites.

WCC members are typically college-age students learning restoration techniques and gaining job skills. A crew consists of six staff that work a 40-hour work week, for 41 weeks, primarily managing noxious weeds and installing replacement plantings at City capital improvement projects. In addition, the crew helps with volunteer events, native tree planting, habitat assessment, small stream maintenance projects, site monitoring, and de-fishing stream projects. The contract with the Washington State Department of Ecology is included in Attachment B.

COMMUNITY/STAKEHOLDER OUTREACH AND INVOLVEMENT:

- **Timeline (previous or planned):**
N/A
- **Outreach Methods and Results:**
N/A
- **Feedback Summary:**
N/A

BUDGET IMPACT:

Total Cost:
\$203,360

Approved in current biennial budget: Yes No N/A

Budget Offer Number:
00214

Budget Priority:
Healthy & Sustainable

Other budget impacts or additional costs: Yes No N/A

If yes, explain:
N/A

Funding source(s):
Stormwater Operations \$152,520
Stormwater CIP \$50,840

Budget/Funding Constraints:

N/A

Additional budget details attached

COUNCIL REVIEW:

Previous Contact(s)

Date	Meeting	Requested Action
10/4/2022	Committee of the Whole - Planning and Public Works	Approve

Proposed Upcoming Contact(s)

Date	Meeting	Requested Action
N/A	None proposed at this time	N/A

Time Constraints:

Agreement is for work between October 1, 2022 - September 30, 2023

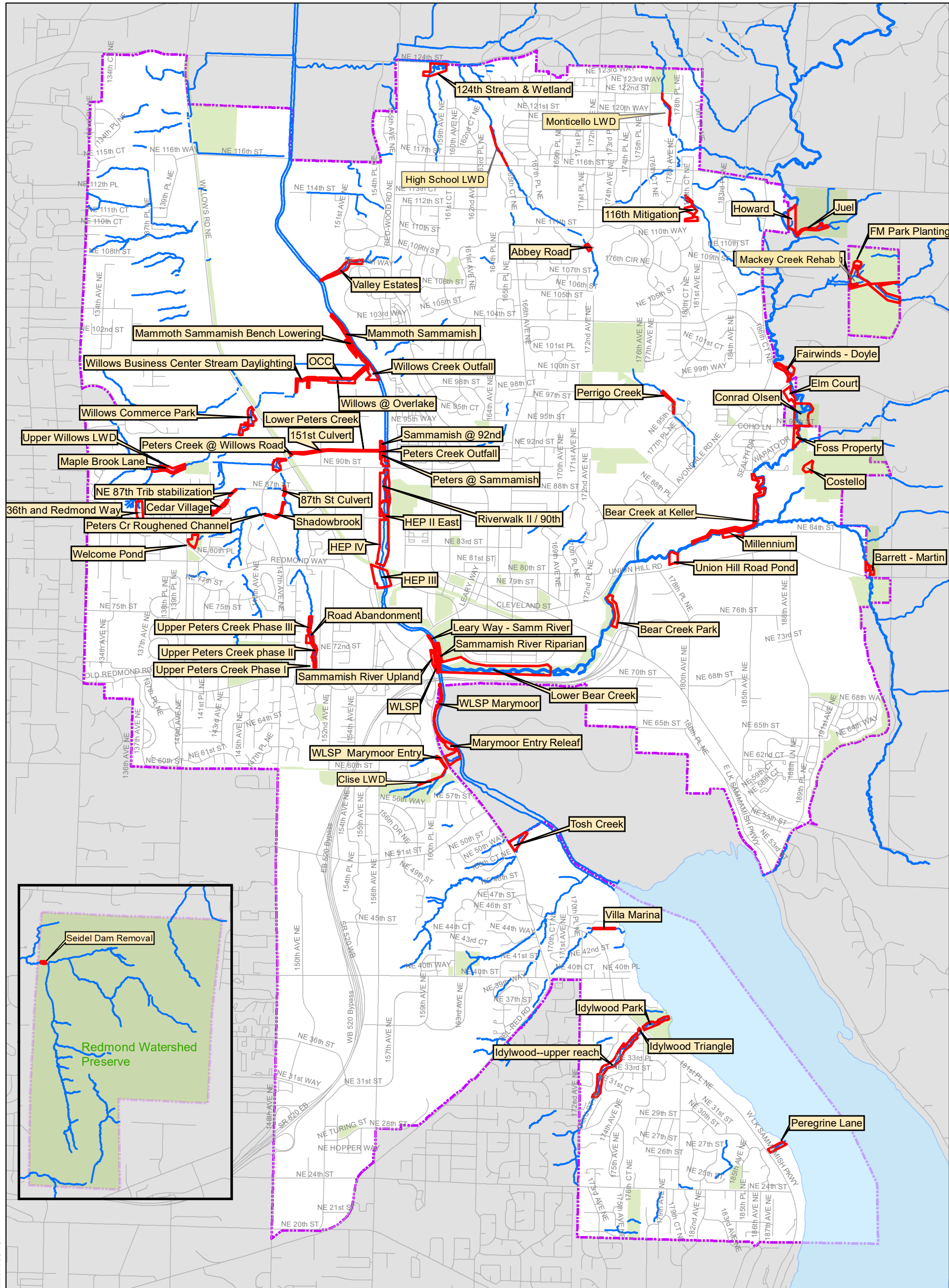
ANTICIPATED RESULT IF NOT APPROVED:

The City would not meet its maintenance obligations for previously permitted CIP projects. In addition, this lack of maintenance would compound, and future maintenance would be more expensive.

ATTACHMENTS:

Attachment A: WCC 2022-23 Restoration and Maintenance Map

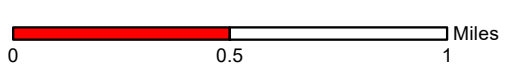
Attachment B: WCC 2022-23 Agreement



Redmond Restoration Projects

City of Redmond, Washington

2022-23



Legend

-  Stream
-  City Limits
-  Restoration Project
-  Street

Disclaimer: This map is created and maintained by GIS Services Group, Finance and Information Services, City of Redmond, Washington, for reference purposes only. The City makes no guarantee as to the accuracy of the features shown on this map.



AGREEMENT NO.

AGREEMENT BETWEEN

The State of Washington, Department of ECOLOGY
AND

City of Redmond (SPONSOR)

THIS AGREEMENT is made and entered into by and between the Department of Ecology, hereinafter referred to as "ECOLOGY", and City of Redmond hereinafter referred to as the "SPONSOR."

IT IS THE PURPOSE OF THIS AGREEMENT to provide Washington Conservation Corps (WCC) members to complete environmental or disaster services projects, pursuant to Chapter 43.220 of the Revised Code of Washington.

THEREFORE, IT IS MUTUALLY AGREED THAT:

STATEMENT OF WORK

Both parties agree to do all things necessary for or incidental to the performance of the work set forth in Appendix "A" attached hereto and incorporated herein.

PERIOD OF PERFORMANCE

Subject to its other provisions, the period of performance of this Agreement shall commence on 10/1/2022 and be completed on 9/30/2023 unless terminated sooner as provided herein. The WCC Crew and/or WCC Individual Placement corpsmember specified in this agreement will be available to SPONSOR on the dates set forth on the calendar in Appendix "B" attached hereto and incorporated herein.

COMPENSATION

The parties have determined that the cost of accomplishing the work herein will not exceed \$ 50000. Payment for satisfactory performance of the work shall not exceed this amount unless the parties mutually agree to a higher amount. Compensation for service(s) shall be based on the following established rates:

Provided by ECOLOGY	Reimbursed to ECOLOGY by Sponsor
WCC Services	\$203,360
Total SPONSOR Cost	\$203,360
	<i>Above cost not to be exceeded</i>

The costs reimbursed to ECOLOGY by SPONSOR are a cost-share rate. Estimated value of a WCC crew is \$271,146 annually per WCC Crew consisting of five WCC/AmeriCorps Members and one WCC Supervisor and/or \$36,053 annually per WCC Individual Placement. Indirect costs are included in SPONSOR share at a standard rate of 5% of direct costs.

BILLING PROCEDURE

ECOLOGY shall submit invoices monthly to the SPONSOR's designated contact person listed under "Agreement Management" section. Payment to ECOLOGY for approved and completed work will be made by warrant or account transfer by SPONSOR within 30 days of receipt of the invoice. Upon expiration of the Agreement, any claim for payment not already made shall be submitted within 30 days after the expiration date or the end of the fiscal year, whichever is earlier.

AGREEMENT ALTERATIONS AND AMENDMENTS

This Agreement may be amended by mutual agreement of the parties. Such amendments shall not be binding unless they are in writing and signed by personnel authorized to bind each of the parties.

ASSIGNMENT

The work to be provided under this Agreement, and any claim arising thereunder, is not assignable or delegable by either party in whole or in part, without the express prior written consent of the other party, which consent shall not be unreasonably withheld.

ASSURANCES

Parties to this Agreement agree that all activity pursuant to this contract will be in accordance with all the applicable current federal, state and local laws, rules, and regulations.

CONFORMANCE

If any provision of this Agreement violates any statute or rule of law of the state of Washington, it is considered modified to conform to that statute or rule of law.

DISPUTES

If a dispute arises under this Agreement, it shall be determined by a Dispute Board in the following manner: Each party to this Agreement shall appoint one member to the Dispute Board. The members so appointed shall jointly appoint an additional member to the Dispute Board. The Dispute Board shall review the facts, agreement terms and applicable statutes and rules and make a determination of the dispute. The determination of the Dispute Board shall be final and binding on the parties hereto. As an alternative to this process, if SPONSOR is a state agency, either of the parties may request intervention by the Governor, as provided by RCW 43.17.330, in which event the Governor's process will control.

FUNDING AVAILABILITY

The obligation of the SPONSOR to provide reimbursements is contingent upon appropriation of funds by the SPONSOR's governing body for the specific purpose of funding the project, which is the subject of this Agreement. Upon the failure of such appropriation, the SPONSOR may terminate this Agreement.

ECOLOGY's ability to provide cost-share is contingent on availability of funding. In the event funding from state, federal, or other sources is withdrawn, reduced, or limited in any way after the effective date and prior to completion or expiration date of this Agreement, ECOLOGY, at its sole discretion, may elect to terminate the agreement, in whole or part, for convenience or to renegotiate the agreement subject to new funding limitations and conditions. ECOLOGY may also elect to suspend performance of the agreement until ECOLOGY determines the funding insufficiency is resolved. ECOLOGY may exercise any of these options with no notification restrictions.

GOVERNING LAW AND VENUE

This Agreement is entered into pursuant to and under the authority granted by the laws of the state of Washington and any applicable federal laws. The provisions of this Agreement shall be construed to conform to those laws. This Agreement shall be construed and interpreted in accordance with the laws of the state of Washington, and the venue of any action brought hereunder shall be in the Superior Court for Thurston County.

This Agreement is entered into pursuant to and under the authority granted by the laws of the state of Washington and any applicable federal laws. The provisions of this Agreement shall be construed to conform to those laws.

INDEPENDENT CAPACITY

The employees or agents of each party who are engaged in the performance of this Agreement shall continue to be employees or agents of that party and shall not be considered for any purpose to be employees or agents of the other party.

INDEMNIFICATION

To the fullest extent permitted by law, each party shall defend, indemnify, and hold harmless the other party, including officials, agents, and employees from and against all claims of third parties, and all associated losses arising out of or resulting from the performance of the contract. "Claim," as used in this contract, means any financial loss, claim, suit, action, damage, or expense, including but not limited to attorney's fees, attributable for bodily injury, sickness, disease, or death, or injury to or destruction of tangible property including loss of use resulting therefrom. Parties waive their immunities under Title 51 RCW to the extent it is required to indemnify, defend and hold harmless the other party and their agencies, officials, agents or employees.

ORDER OF PRECEDENCE

In the event of an inconsistency in the terms of this Agreement, or between its terms and any applicable statute or rule, the inconsistency shall be resolved by giving precedence in the following order:

1. Applicable federal and state of Washington statutes, regulations, and rules.
2. Mutually agreed written amendments to this Agreement
3. This Agreement
4. Statement of Work and Budget.
5. Any other provisions of this Agreement, including materials incorporated by reference.

RECORDS MAINTENANCE

The parties to this Agreement shall each maintain books, records, documents and other evidence that sufficiently and properly reflect all direct and indirect costs expended by either party in the performance of the service(s) described herein. These records shall be subject to inspection, review or audit by personnel of both parties, other personnel duly authorized by either party, the Office of the State Auditor, and federal officials so authorized by law. All books, records, documents, and other material relevant to this Agreement will be retained for six years after expiration of this Agreement and the Office of the State Auditor, federal auditors, and any persons duly authorized by the parties shall have full access and the right to examine any of these materials during this period.

Records and other documents, in any medium, furnished by one party to this Agreement to the other party, will remain the property of the furnishing party, unless otherwise agreed. The receiving party will not disclose or make available this material to any third parties without first giving notice to the furnishing party and giving it a reasonable opportunity to respond. Each party will utilize reasonable security procedures and protections to assure that records and documents provided by the other party are not erroneously disclosed to third parties subject to state public disclosure laws.

RESPONSIBILITIES OF THE PARTIES

Each party of this Agreement hereby assumes responsibility for claims and/or damages to persons and/or property resulting from any act or omissions on the part of itself, its employees, its officers, and its agents. Neither party will be considered the agent of the other party to this Agreement.

RIGHTS IN DATA

Unless otherwise provided, data, which originates from this Agreement shall be "works for hire" as defined by the U.S. Copyright Act of 1976 and shall be jointly owned by ECOLOGY and SPONSOR. Data shall include, but not be limited to, reports, documents, pamphlets, advertisements, books magazines, surveys, studies, computer programs, films, tapes, and/or sound reproductions. Ownership includes the right to copyright, patent, register, and the ability to transfer these rights.

SEVERABILITY

If any provision of this Agreement or any provision of any document incorporated by reference shall be held invalid, such invalidity shall not affect the other provisions of this Agreement which can be given effect without the invalid provision, if such remainder conforms to the requirements of applicable law and the fundamental purpose of this agreement, and to this end the provisions of this Agreement are declared to be severable.

TERMINATION FOR CAUSE

If for any cause, either party does not fulfill in a timely and proper manner its obligations under this Agreement, or if either party violates any of these terms and conditions, the aggrieved party will give the other party written notice of such failure or violation. The responsible party will be given the opportunity to correct the violation or failure within 15 working days. If failure or violation is not corrected, this Agreement may be terminated immediately by written notice of the aggrieved party to the other.

TERMINATION FOR CONVENIENCE

Either party may terminate this Agreement upon 30 days prior written notification to the other party. If this Agreement is so terminated, the parties shall be liable only for performance rendered or costs incurred in accordance with the terms of this Agreement prior to the effective date of termination.

WAIVER

A failure by either party to exercise its rights under this Agreement shall not preclude that party from subsequent exercise of such rights and shall not constitute a waiver of any other rights under this Agreement unless stated to be such in a writing signed by an authorized representative of the party and attached to the original Agreement.

ALL WRITINGS CONTAINED HEREIN

This Agreement contains all the terms and conditions agreed upon by the parties. No other understandings, oral or otherwise, regarding the subject matter of this Agreement shall be deemed to exist or to bind any of the parties hereto.

AGREEMENT MANAGEMENT

The program manager for each of the parties shall be responsible for and shall be the contact person for all communications and billings regarding the performance of this Agreement.

<p>The Contract Manager for ECOLOGY is: Travis Weller PO Box 47600 Olympia, WA 98504 (360) 742-8760 travis.weller@ecy.wa.gov</p>	<p>The Contract Manager for SPONSOR is: Tom Hardy City of Redmond PO BOX 97010 Redmond WA 98073 TWHARDY@redmond.gov</p>
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IN WITNESS WHEREOF, the parties have executed this Agreement.

State of Washington
Department of ECOLOGY

SPONSOR

Signature Date

Signature Date

Printed Name, Title

Printed Name, Title

STATEMENT OF WORK
Appendix A

Work summary:

Under direction of SPONSOR, crew(s) will perform restoration activities. Specific tasks could include invasive control, native species installation, plant nursery care, scientific monitoring, and fence installation or repair.

Special terms and conditions:

1. WCC resources (members, supervisors, tools and trucks) will not be utilized to clean public restrooms, clear active or abandoned homeless encampments and/or to clean up hazardous materials including hypodermic needles. If a significant amount of hazardous or unidentifiable material is discovered on a project site, activity will cease until SPONSOR mitigates potential hazards or finds an alternate project site.
2. WCC vehicle is not to be used for heavy hauling; the primary use is for transportation of crew, tools, and safety equipment. In the event that WCC vehicles are requested to tow SPONSOR-provided equipment (including rentals), it will only be on a limited basis and SPONSOR is solely responsible for accidental damages, unless damages are caused by WCC negligence.
3. WCC is not responsible for normal wear and tear when project requires the use of SPONSOR-provided tools, equipment, or safety gear.
4. The assignment of members shall not result in the displacement of currently employed workers, including partial displacement such as reduction in hours of non-overtime work, wages, or other employment benefits. Agencies that participate in the program may not terminate, lay-off, or reduce working hours of any employee for the purpose of using a member with available funds. In circumstances where substantial efficiencies or a public purpose may result, participating agencies may use members to carry out essential agency work or contractual functions without displacing current employees.
5. All state holidays and shutdown weeks are non-working days for members. Shutdown weeks are to be used by WCC staff/supervisors for planning purposes. The WCC standard 40-hour schedule is Monday through Thursday from 7:00am to 5:30pm. An alternate schedule may be arranged with prior approval from the WCC.
6. WCC's cost-share rate is calculated using the full costs of supporting WCC crews and IPs, including time spent training, required community service events, shutdowns, etc. Indirect costs are included in SPONSOR share at a standard rate of 5% of direct costs.
7. If inclement weather makes a project site inaccessible, then the sponsor should reassign the WCC crew or IP to alternative projects in an accessible location.

In inclement weather, WCC crews follow the weather-related guidance (e.g. shut-down, delayed start, early end, etc.) from the regional Ecology office closest to the crew lock-up or IP service location. If the member's assigned location is more than one hour from an Ecology regional office, then WCC follows weather-related guidance of federal, state and local governments. Only WCC can instruct a crew or IP to shut-down due to weather. Sponsors are not charged for WCC-initiated, weather related shut-downs or delays.

If a shut-down is requested by the SPONSOR for any reason, then the sponsor is responsible for crew costs.

ECOLOGY shall:

1. Provide WCC members for the number of weeks specified in this agreement. Full-term crews and Individual Placements are available to SPONSOR for a maximum of 164 days (approximately 41 weeks) during the Federal AmeriCorps program service year (October-September).
2. Enroll members to begin service no sooner than October 3, 2022 and no later than October 17, 2022 to attain a full AmeriCorps scholarship. Member vacancies may be filled with a 1200 hour, three-quarter-term AmeriCorps Education Award beginning January 17, 2023. Any further member enrollment for the remainder of the program year is at the discretion of ECOLOGY and based on availability.
3. In the event of a disaster response deployment, ECOLOGY will make every effort to fulfill SPONSOR needs, including sending additional members, whenever possible. Unless disaster response activities are requested by the sponsor, sponsors are not charged for WCC's emergency and disaster responses.
4. Provide training and development specified in Appendix B: eight days of formal WCC training, aa two to four day Orientation Training, one day dedicated to MLK Community Service, one day of training in noxious weed control, and one day for a debrief meeting near the conclusion of the term. Beyond dates included in Appendix B, Ecology will schedule up to six additional days of Supervisor training during the term. WCC members and supervisors are logging hours on the dates identified for WCC-sanctioned events, but are unavailable to SPONSOR. ECOLOGY will provide a four day Assistant Supervisor training to the designated Assistant Supervisor.
5. Each full-term crew or IP may spend up to two weeks (eight days) with an alternative sponsor during the crew year. These dates will be determined in coordination with their full-term Sponsor.
6. For crews, ECOLOGY agrees to provide a crew of 5 members, a crew supervisor, vehicle, and basic hand tools. Rates are not based on actual attendance, however, invoices will be reduced for member or supervisor vacancies lasting 20 days or more.

SPONSOR shall:

1. Guide completion of appropriate projects for number of weeks specified in this agreement by providing logistical, technical and safety-related support necessary for project completion. Provide site orientation for WCC members, site-specific training, and materials beyond basic hand tools to complete tasks. Obtain and ensure adherence to applicable permits as set by local, state, tribal or federal laws and regulations.
2. Help promote the AmeriCorps and WCC brands, logo, slogans and phrases. WCC will provide camera-ready logo. AmeriCorps is a registered service mark of the Corporation for National and Community Service.
3. For a SPONSOR hosting Individual Placement positions, SPONSOR agrees to provide computer access, email, transportation to and from WCC events (or private mileage reimbursement), and day-to-day direction of activities.
4. For a SPONSOR hosting full-term WCC Crew(s), SPONSOR shall provide a secure site to store tools and park crew vehicles that allows access to potable water and restrooms as well as desk and internet access for the crew supervisor. In the event of theft, vandalism, or loss, the SPONSOR shall provide reimbursement (75 percent sponsor share) of expenditures and deductibles.
5. For a SPONSOR that assigns WCC crew(s) or WCC Individual Placement members to serve with other organizations, SPONSOR shall inform the other organizations of WCC policies, procedures and contract terms.

AmeriCorps Prohibited Activities:

While charging time to the AmeriCorps program, accumulating service or training hours, or otherwise performing activities supported by the AmeriCorps program, staff and members may not engage in the following activities (see 45 CFR § 2520.65):

- A. Attempting to influence legislation;
- B. Organizing or engaging in protests, petitions, boycotts, or strikes;
- C. Assisting, promoting, or deterring union organizing;
- D. Impairing existing contracts for services or collective bargaining agreements;
- E. Engaging in partisan political activities, or other activities designed to influence the outcome of an election to any public office;
- F. Participating in, or endorsing, events or activities that are likely to include advocacy for or against political parties, political platforms, political candidates, proposed legislation, or elected officials;
- G. Engaging in religious instruction, conducting worship services, providing instruction as part of a program that includes mandatory religious instruction or worship, constructing or operating facilities devoted to religious instruction or worship, maintaining facilities primarily or inherently devoted to religious instruction or worship, or engaging in any form of religious proselytization;
- H. Providing a direct benefit to—
 - I. A business organized for profit;
 - II. A labor union;
 - III. A partisan political organization;
 - IV. A nonprofit organization that fails to comply with the restrictions contained in section 501(c)(3) of the Internal Revenue Code of 1986 related to engaging in political activities or substantial amount of lobbying except that nothing in these provisions shall be construed to prevent participants from engaging in advocacy activities undertaken at their own initiative; and
 - V. An organization engaged in the religious activities described in paragraph 3.g. above, unless AmeriCorps assistance is not used to support those religious activities;
- I. Conducting a voter registration drive or using AmeriCorps funds to conduct a voter registration drive;
- J. Providing abortion services or referrals for receipt of such services; and
- K. Census Activities. AmeriCorps members and volunteers associated with AmeriCorps grants may not engage in census activities during service hours. Being a census taker during service hours is categorically prohibited. Census-related activities (e.g., promotion of the Census, education about the importance of the Census) do not align with AmeriCorps State and National objectives.
- L. Election and Polling Activities. AmeriCorps members may not provide services for election or polling locations or in support of such activities
- M. Such other activities as AmeriCorps may prohibit.

AmeriCorps members may not engage in the above activities directly or indirectly by recruiting, training, or managing others for the primary purpose of engaging in one of the activities listed above. Individuals may exercise their rights as private citizens and may participate in the activities listed above on their initiative, on non-AmeriCorps time, and using non-AmeriCorps funds. Individuals should not wear the AmeriCorps logo while doing so.

2022-2023 WCC Events Calendar

October							November							December							January						
M	T	W	T	F	S	S	M	T	W	T	F	S	S	M	T	W	T	F	S	S	M	T	W	T	F	S	S
					1	2	1	2	3	4	5	6	1	2	3	4	5	6	1	2	3	4	5	6	7		
3	4	5	6	7	8	9	7	8	9	10	11	12	13	5	6	7	8	9	10	11	2	3	4	5	6	7	8
10	11	12	13	14	15	16	14	15	16	17	18	19	20	12	13	14	15	16	17	18	9	10	11	12	13	14	15
17	18	19	20	21	22	23	21	22	23	24	25	26	27	19	20	21	22	23	24	25	16	17	18	19	20	21	22
24	25	26	27	28	29	30	28	29	30					26	27	28	29	30	31	23	24	25	26	27	28	29	
31																					30	31					

February							March							April							May						
M	T	W	T	F	S	S	M	T	W	T	F	S	S	M	T	W	T	F	S	S	M	T	W	T	F	S	S
		1	2	3	4	5	1	2	3	4	5	6	7	1	2	3	4	5	6	7	1	2	3	4	5	6	7
6	7	8	9	10	11	12	6	7	8	9	10	11	12	3	4	5	6	7	8	9	8	9	10	11	12	13	14
13	14	15	16	17	18	19	13	14	15	16	17	18	19	10	11	12	13	14	15	16	15	16	17	18	19	20	21
20	21	22	23	24	25	26	20	21	22	23	24	25	26	17	18	19	20	21	22	23	22	23	24	25	26	27	28
27	28						27	28	29	30	31			24	25	26	27	28	29	30	29	30	31				

June							July							August							September						
M	T	W	T	F	S	S	M	T	W	T	F	S	S	M	T	W	T	F	S	S	M	T	W	T	F	S	S
			1	2	3	4	3	4	5	6	7	8	9	1	2	3	4	5	6	1	2	3	4	5	6	7	
5	6	7	8	9	10	11	3	4	5	6	7	8	9	7	8	9	10	11	12	13	4	5	6	7	8	9	10
12	13	14	15	16	17	18	10	11	12	13	14	15	16	14	15	16	17	18	19	20	11	12	13	14	15	16	17
19	20	21	22	23	24	25	17	18	19	20	21	22	23	21	22	23	24	25	26	27	18	19	20	21	22	23	24
26	27	28	29	30			24	25	26	27	28	29	30	28	29	30	31				25	26	27	28	29	30	31

- Holiday (Observed) - Day Off
- Orientation
- Assistant Supervisor Training
- MILK- Sup Holiday, Member Service Day
- Individual Placement Meeting (Virtual)
- Elective Training: 3/6-3/9 & 6/5-6/8
- 3/4-Term Member Orientation
- Supervisor Refresher Trainings
- TBD Spike (2 wks on special assignment)

- AmeriCorps Swearing-in (0.5 day - Virtual)
- PDPs Due (July: OT members only)
- eTime: Hours entered
- eTime: Prior pay period approval due
- Payday (10th & 25th, varies on weekends)
- Production (last Thursday of the month)
- Supervisors: Crew interviews
- Noxious Weed Control Workshops
- 3/23 Olympic; 3/30 Central/E. WA;
- 4/6 s. Puget Sound; 4/13 N. King County/Snohomish;
- 4/20 s. King County; 4/27 NW

- Start (FT/Oct. 3QT=10/3, Jan. 3QT=1/17, QT=6/12)
- Exit Paperwork Due 8/17
- End Date (FT/Jan. 3QT/QT=9/7, Oct. 3QT=6/8)
- End-of-year Presentations

- 8/17 Olympic; 8/24 Central/E. WA & S. Puget Sound;
- 8/31 s. King County & N. King County/Snohomish; 9/5 NW

Members' Potential Hours (For general guidance only - hours not guaranteed)

October	170	November	150	December	160	January	170
February	150	March	180	April	160	May	180
June	160	July	160	August	190	September	30
Fullterm: Oct. 3-Sept. 7	1860	Oct. 3QT: Oct. 3-June 8	1370	Jan. 3QT: Jan. 17-Sept. 7	1300	OT: June 12-Sept. 7	490
FT: 1700-hr min, \$6,495 Ed Award		3QT: 1200-hr min, \$4,546.5 Ed Award		OT: 450-hr min, \$1,718.25 Ed Award			

WCC standard schedule (40 hours/week) is Monday - Sunday



Memorandum

Date: 10/18/2022
Meeting of: City Council

File No. AM No. 22-155
Type: Consent Item

TO: Members of the City Council
FROM: Mayor Angela Birney
DEPARTMENT DIRECTOR CONTACT(S):

Planning and Community Development	Carol V. Helland	425-556-2107
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DEPARTMENT STAFF:

Planning and Community Development	Seraphie Allen	Deputy Director
Planning and Community Development	Philly Marsh	Planning Manager, Economic Development
Planning and Community Development	Jackie Lalor	Program Administrator, Tourism

TITLE:
Approval of the 2023 Tourism Funding Recommendations

OVERVIEW STATEMENT:
Council is being asked to approve the unanimous recommendation of the Lodging Tax Advisory Committee (LTAC) for allocation of the 2023 Tourism matching grants in the amount of \$154,000 and City Community Events and Cultural Arts Programs allocations in the amount of \$146,000. These grants are paid for from the 1% lodging tax on Redmond hotels and motels through the Tourism Fund.

Additional Background Information/Description of Proposal Attached

REQUESTED ACTION:

Receive Information Provide Direction Approve

REQUEST RATIONALE:

- **Relevant Plans/Policies:**
Comprehensive Plan, Long-Term Recovery Plan, RMC Title 4
- **Required:**
N/A
- **Council Request:**
N/A
- **Other Key Facts:**
 - The Revised Code of Washington (RCW) 67.28.180 provides authority for cities to adopt a lodging tax.

- The City of Redmond has adopted a 1% lodging tax rate and may invest these revenues into events or programs that attract visitors to Redmond.
- A lodging tax of 1% is collected from overnight stays at hotels located within Redmond and allocates these revenues to the Lodging Tax Fund (“Tourism Fund”).
- As defined by RCW 67.28, the purpose of the Lodging Tax Fund is to increase tourism in Redmond by attracting visitors from more than 50 miles away, especially overnight visitors who stay in Redmond hotels.
- In 2005, City Council approved the distribution of lodging tax funds to be as follows: 50% marketing, 39% city events and arts, 9% grants, and 2% administration.
- Community tourism grants are typically used to fund event marketing, operations of special events, festivals, and/or tournaments.

Criteria: Applications are reviewed against the tourism program fund criteria, which prioritizes:

- Tourism Promotion: attract external visitors and overnight stays
- Benefit to the community
- Innovation
- Overnight stays
- Community support
- Partnerships
- The scale of the project
- Other funding sources and
- New or ongoing funding

Applicant Scoring:

Per Council’s feedback from January 2020 and additional feedback from November 2021, the grant application criteria were broken out and application components that support the criteria were assigned points. Each application received a weighted ranking. However, due to limited funding this year, recommendations were primarily based on past dollars given. In the future, when more funding is available, the intent is to award additional dollars to the higher-scoring applicants. Staff will continue to incorporate Council, LTAC, and community feedback to improve the funding process.

Lodging Tax Fund Performance:

Due to the impacts of the pandemic on the hotel and motel industry, the tourism fund experienced a 66% decrease in 2020. Although there are strong signs of improvement, the fund is not yet projected to fully recover until 2025. Fortunately, an end-fund balance intended for unexpected events is currently around \$800,000 and is being used to help supplement some of this year’s grants.

Staff and Finance worked together to budget \$150,000 for 2023 community grants which is well above the 9% allocated in the fund for these grants. Staff and the LTAC felt the additional fund allocation was necessary to invest in the events that attract tourists back to Redmond. Staff plan to meet with Council in Q1 of 2023 to further discuss the lodging tax fund and to review how the 2022 lodging tax fund performed in the ongoing recovery of the pandemic.

Conflicts of Interest in Recommending Applicants:

Conflicts of interest with applicants are common on this committee due to the state requirement that members must either be an entity for which the tax is charged or an entity that might receive grant dollars. Conflicts of interest are noted in the full scoring spreadsheet. Members were not asked to recuse themselves from the recommendation vote or discussion per the MRSC guidance. However, staff did request that if a personal financial interest was involved, a recusal would be requested. No personal financial interests were present or noted.

OUTCOMES:

Applications Received: The City received 18 external grant applications from non-profit and for-profit organizations and two funding applications from City Community Events and Cultural Arts programming. LTAC recommends funding 17 of 18 community applications that demonstrated alignment with the grant criteria and tourism goals in addition to the City Community Events and Cultural Arts programming.

One event application, the International Stew Festival by OneRedmond Foundation, was not recommended for approval as the application outlined an event concept and not a developed or detailed event plan. The applying organization turned in three other applications for events that were recommended for funding. The organization is aware that one of its applications was not recommended for funding.

The LTAC 2023 tourism grant recommendations include:

Event/Applicant	Estimated Attendance	Estimated Paid Nights	LTAC Recommendations	Recommendation Dollars Per Lodging Night
Crossfire Challenge	22,500	8,400	\$ 30,000	\$ 3.57
PNW ChalkFest	95,000	236	\$ 19,000	\$ 80.51
Crossfire Select Cup	30,000+	4,500	\$ 16,500	\$ 3.67
Ananda Mela	20,000	200	\$ 13,000	\$ 65.00
Cinco de Mayo	10,000	50	\$ 10,000	\$ 200.00
ColorFest (Northwest Share)	8,000	100	\$ 8,000	\$ 80.00
SecondStory Rep	500	30	\$ 8,000	\$ 266.67
USA Gymnastics	750	100	\$ 8,000	\$ 80.00
Vegan Festival (Northwest Share)	8,000	60	\$ 8,000	\$ 133.33
Winter Festival (OneRedmond Foundation)	2,000	40	\$ 7,500	\$ 187.50
Redmond Arts Festival (VALA)	15,000	200	\$ 7,000	\$ 35.00
Vision Grand Prix- Track Cycling (Marymoor Velodrome Association)	3,000	150	\$ 7,000	\$ 46.67
NAMI Youth Mental Health Conference	250	15	\$ 4,000	\$ 266.67
JBMV Junior Take Over (Marymoor Velodrome Association)	1,000	60	\$ 3,000	\$ 50.00
Redmond Wine Walk (OneRedmond Foundation)	300	30	\$ 2,000	\$ 66.67
NAMI Fundraising GALA	150	25	\$ 1,500	\$ 60.00
Simon (OneRedmond Foundation)	300	20	\$ 1,500	\$ 75.00
International Stew Festival (OneRedmond Foundation)	2,000	50	\$ -	\$ -
TOTAL DOLLARS	\$154,000			

39% of lodging funds are allocated for community events and arts programming

CITY Community Events	38,000	250	\$ 100,000	\$ 400.00
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CITY Cultural Arts Programming	15,000	100	\$ 46,000	\$ 460.00
TOTAL DOLLARS	\$146,000			

COMMUNITY/STAKEHOLDER OUTREACH AND INVOLVEMENT:

- **Timeline (previous or planned):**
Application window was August 1-16
- **Outreach Methods and Results:**
 - o Application notification via e-mail to past participants
 - o Application window notification via eNews and press release
 - o Application messaging through local partners
- **Feedback Summary:**
N/A

BUDGET IMPACT:

Total Cost:

A total of \$154,000 for matching grants and \$146,000 for City Community Events and Cultural Arts Programming is recommended by the Lodging Tax Advisory Committee by unanimous vote for City Council’s approval.

Approved in current biennial budget: Yes No N/A

Budget Offer Number:

000250 - Community and Economic Development

Budget Priority:

Vibrant and Connected

Other budget impacts or additional costs: Yes No N/A

If yes, explain:

N/A

Funding source(s):

Lodging Tax

Budget/Funding Constraints:

N/A

Additional budget details attached

COUNCIL REVIEW:

Previous Contact(s)

Date	Meeting	Requested Action
10/4/2022	Committee of the Whole - Planning and Public Works	Review Information

Proposed Upcoming Contact(s)

Date	Meeting	Requested Action
	None proposed at this time	N/A

Time Constraints:

To allow adequate time for applicants to plan appropriately with their available funding, we would like to complete the grant approval process no later than December 1, 2022

ANTICIPATED RESULT IF NOT APPROVED:

If the LTAC recommendation is not approved, proposed events would not receive matching grant funds from the City and may be unable to proceed as planned.

ATTACHMENTS:

- A. Grant Application Recommendations Simplified Matrix Spreadsheet
- B. Grant Application Recommendations Detailed Matrix Spreadsheet
- C. Tourism Fund and LTAC FAQ
- D. Slides
- E. 2023 List of Grant Applicants
- F. [LTAC Meeting Minutes, August 29 <https://www.redmond.gov/AgendaCenter/ViewFile/Minutes/_08292022-969>](https://www.redmond.gov/AgendaCenter/ViewFile/Minutes/_08292022-969)

Event/Applicant	Estimated Attendance	Estimated Paid Nights	LTAC Recommendations	Recommendation Dollars Per Lodging Night
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Simon (OneRedmond Foundation)	300	20	\$ 1,500	\$ 75.00
International Stew Festival (OneRedmond Foundation)	2,000	50	\$ -	\$ -
TOTAL DOLLARS			\$	154,000

Community Events and Cultural Arts Programming (39% of fund allocation):

CITY Community Events	38,000	250	\$100,000	\$ 400.00
CITY Cultural Arts Programming	15,000	100	\$46,000	\$ 460.00
TOTAL DOLLARS			\$	146,000

Event/Applicant	Estimated Attendance	Estimated Paid Nights	LTAC Recommendations
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Simon (OneRedmond Foundation)	300	20	\$ 1,500
International Stew Festival (OneRedmond Foundation)	2,000	50	\$ -
TOTAL DOLLARS			\$

Community Events and Cultural Arts Programming (39% of fund allocation):

CITY Community Events	38,000	250	\$100,000
CITY Cultural Arts Programming	15,000	100	\$46,000
TOTAL DOLLARS			\$

Recommendation Dollars Per Lodging Night	
\$	3.57
\$	80.51
\$	3.67
\$	65.00
\$	200.00
\$	80.00
\$	266.67
\$	80.00
\$	133.33
\$	187.50
\$	35.00
\$	46.67
\$	266.67
\$	50.00
\$	66.67
\$	60.00
\$	75.00
\$	-
154,000	

\$	400.00
\$	460.00
146,000	

Year	# of applicants*	LTAC Recommendation*	Notes
2015	7	\$ 42,500	
2016	10	\$ 70,000	
2017	16	\$ 126,000	
2018	12	\$ 114,500	
2019	17	\$ 146,000	
2020	16	\$ 150,000	Only \$48,837 was used due to the pandemic and most events were cancelled. The City reimbursed fees incurred regardless of event status to help the applicants.
2021	5	\$ 45,000	
2022	23	\$ 252,500	2 application rounds due to covid impacts
2023	18	\$ 154,000	

**These are estimates based on past documents and Council memos staff located*

Year	Community Events/Arts Allocations	
2015	\$ 140,000	
2016	\$ 140,000	
2017	\$ 140,000	
2018	\$ 160,000	
2019	\$ 194,000	
2020	\$ 205,000	Only \$9,120 was used due to the pandemic.
2021	\$ 75,000	
2022	\$ 150,000	
2023	\$ 146,000	

LTAC and Tourism Overview

How did the Lodging Tax Advisory Committee begin?

An advisory committee was assembled in accordance with the State regulations to weigh-in on the creating of a hotel/ motel tax.

When was Committee and Tax implemented?

- 1998 LTAC was formed
- 1999 the Lodging tax was implemented
- Funding for this program is made possible through revenues Redmond collects from a one percent (1%) lodging tax on the rental of hotel/ lodging rooms in Redmond.

Where does the funding come from?

The Revised Code of Washington (RCW) 67.28.180 provides authority for cities to adopt a lodging tax. A 1% tax is charged on each overnight stay at Redmond hotels and motels.

What are the goals and limitations of the revenue?

These funds must be used to attract visitors external to the city. Due to the funding being generated by our local hotels, grants and uses of the tax revenue often prioritizes efforts that will support hotels night stays, but attraction of external visitors to our small businesses and enrichment of the community is also heavily weighted.

What is LTAC's role per current RMC 4.37 and [RCW 68.28](#)?

- LTAC can advise on the creation of a Lodging Tax;
- The increase or reduction of a Lodging Tax; or
- The Repeal of a lodging tax
- Review fund applicants and make funding recommendations to the legislative body

How are the funds used at the City of Redmond?

- At the city, the LTAC and City Council predetermined how the tax dollars are spent via an adopted allocation split.
 - 50% to marketing
 - 39% to City Events
 - 2% to administration
 - 9% to event grants

What were the past recommended funding amounts?

Year	# Of Applicants*	LTAC Recommendation*	Notes
2015	7	\$ 42,500	
2016	10	\$ 70,000	
2017	16	\$ 126,000	
2018	12	\$ 114,500	
2019	17	\$ 146,000	
2020	16	\$ 150,000*	*Only \$48,837 was used due to the pandemic and most events were cancelled. The City reimbursed fees incurred regardless of event status to help the applicants.
2021	5	\$ 45,000	
2022	23	\$ 252,500	2 application rounds held due to covid impacts
2023	18	\$ 154,000	

Year	Community Events/Arts Allocations	Notes
2015	\$ 140,000	
2016	\$ 140,000	
2017	\$ 140,000	
2018	\$ 160,000	
2019	\$ 194,000	
2020	\$ 205,000*	*Only \$9,120 was used due to the pandemic.
2021	\$ 75,000	
2022	\$ 150,000	
2023	\$ 146,000	

**These are estimates based on past documents and Council memos staff located*

What is the process or requirements for City Arts and Events Dollars?

They do not have to go through the grant process. The money was designated outright and is not a part of the annual event grant process or 9% allocation.

All dollars allocated to City Events and Cultural Arts programming shall follow the same guideline and limitation on how the dollars may be spent. All funds used must align with expenditures requirements in RCW 68.28 and City specific instructions.

At the end of each calendar year the city will need to provide an outline to the state of how the dollars were used, and performance summary data like that of the grants. The city is required annually to submit summary outcomes for all uses of tax dollars that went to any events.

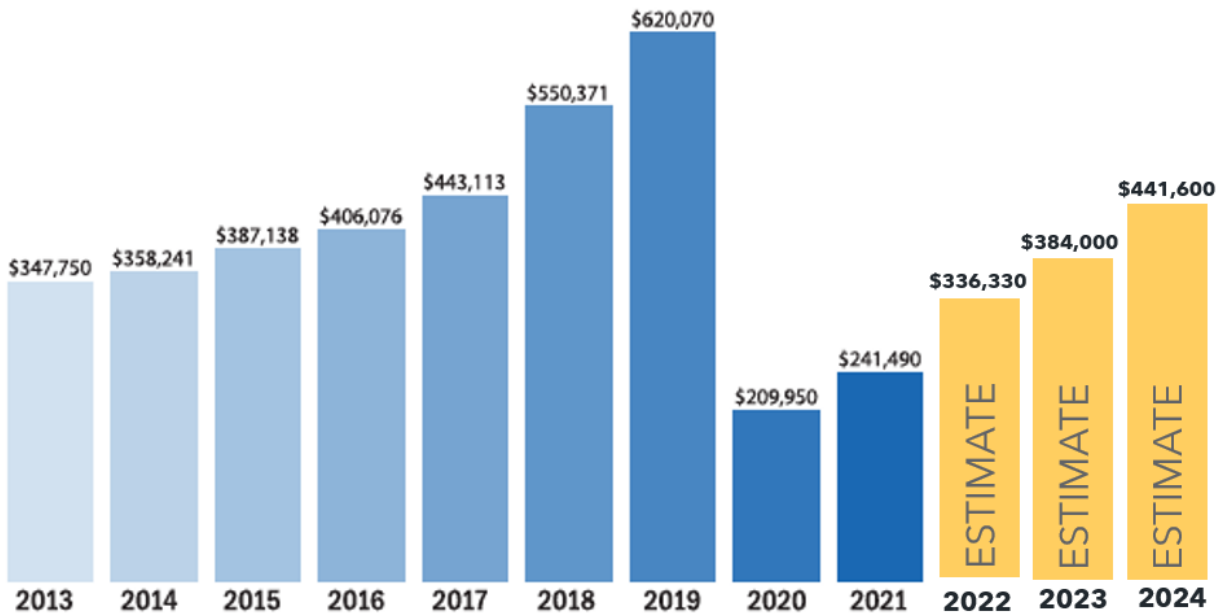
If there are any unused funds at the end of the year those dollars shall be transferred back to the end fund balance. Finance may determine preference on annual transfer of allocated funding upfront and retransfer of an unspent funds or smaller transfers throughout the year.

How is the lodging tax fund performing?

The lodging tax fund currently has approximately 800K in the end fund balance (as of July 2022). However, the impacts of covid on the hotel industry have drastically declined incoming funds over the past couple of years. City staff and Finance worked together to make some educated estimates on what the fund recovery may look like. Below is a snapshot of the fund and future estimates.

In 2023, staff and the LTAC are anticipating discussing the current revenue split and future fund performance with Council.

Historical annual revenue amounts from lodging tax is as follows:



What is Council's role with those applicants not recommended for funding by the LTAC?

LTAC's role and the appointed committee members is to evaluate and determine using their qualified expertise which applicants should be recommended for funding and advancement to Council. Council's role is to make a final decision on those applicants recommended for funding.

Non-funded events are not intended to be a part of Council's final decision, although, they are included in the spreadsheet for Council's knowledge and for transparency.

What if the designated decision authority wants to change the final dollar amounts of any of LTAC's recommendations?

- In order for Council to change any recommendation, the proposed and revised dollar amounts must go back to LTAC for further discussion and deliberation for a period of no less than 45 days before final action.
- Following the reconsideration and deliberation of the revised recommendations, LTAC would then finalize their recommendations to support or alter the legislative authority's proposed changes.
- The most current recommendation would then go back to the designated legislative decision authority for final discussions, decision or be sent back depending on discussion.
- The purpose of this process is to ensure those being taxed and benefiting from the tax who have been appointed to LTAC by the city to guide the expenditures of the lodging tax revenue have a prioritized voice in the decision making.

MSRC is offering annual legal webinars on Lodging Tax and Advisory Committees for anyone interested.

How does the City use the "Marketing" portion of the lodging tax fund:

The city has a current contract with Bullseye Creative for \$178,000 a year to manage and staff the Experience Redmond brand needs. The contract is in its last renewal option and will require an RFP to be completed prior to the conclusion of the contract in 2024. Bullseye provides reports throughout the year on the return on investment the city receives in response to their contracted work.

The remainder of the marketing balance not used on the Bullseye contract has traditionally been used to help fund additional community grants above the 9% allocation. Due to the reduction of revenue as a result of the pandemic, it is likely that the 2022 and 2023 marketing allocation of 50% will not cover the contracted amount and marketing costs with Bullseye in addition to the community grants overage. The end-fund balance (currently around 800K) will likely need to be used to help cover these additional expenses while the lodging tax fund continues to recover from the pandemic.

How does the LTAC handle conflict of interest when reviewing applicants?

Conflicts of interest with applicants are common on this committee due to the requirement that members must either be an entity for which the tax is charged or an entity that might receive grant dollars. Conflicts of interest are noted in the full scoring spreadsheet. Members were not asked to recuse themselves from the recommendation vote or discussion per the MRSC guidance. However, staff did request that if a personal financial interest was involved, that a recusal would be requested. No personal financial interests were present or noted for the 2023 applicant recommendations.

2023 Tourism Matching Grants

October 4, 2022

Jackie Lalor

Economic Development & Tourism Program Administrator



Redmond
WASHINGTON



Purpose

To seek **Council approval** for the 2023 Tourism Matching Grant recommendations and the Community Events and Cultural Arts Programming fund allotment.

Project Overview



- Grant dollars come from the 1% lodging tax fund paid through overnight stays at Redmond hotels and motels.
- The City approves annual grants for events and programs held locally that draw in visitors from outside of the City.
- These events should help promote local tourism through generating **paid overnight stays** and **attracting tourists from more than 50 miles away**.



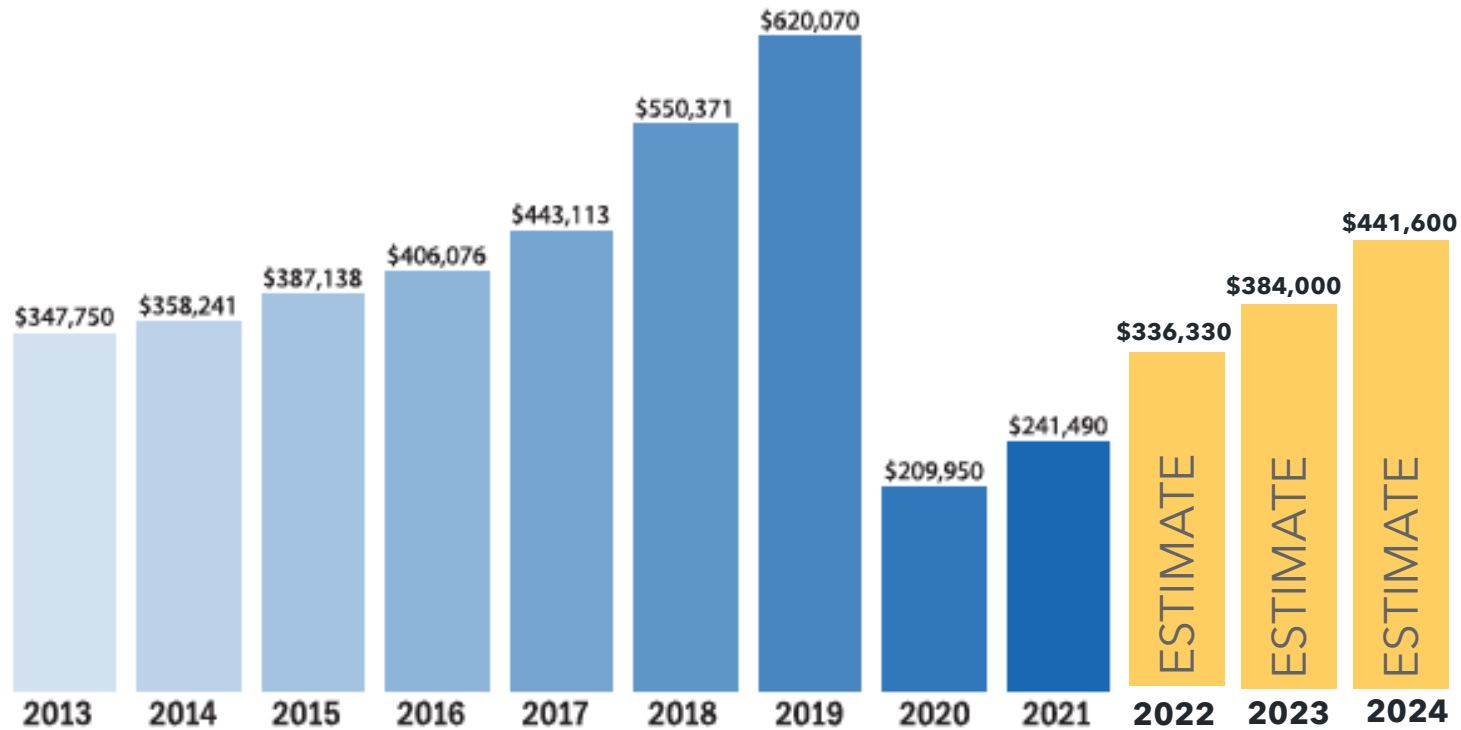
Who is the Lodging Tax Advisory Committee (LTAC) comprised of?

- Three hoteliers
- Three persons associated with organizations that could benefit from the tax
- Council Chair

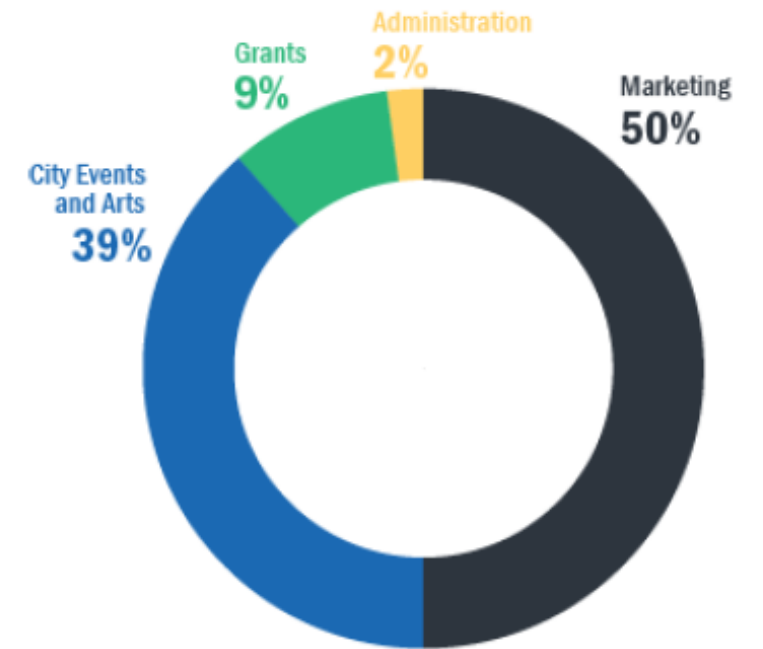


Lodging Tax Fund Overview

Historical annual revenue amounts from lodging tax is as follows:



In 2005, Redmond City Council approved the distribution of funds to be as follows:





LTAC Recommendation

- Approve city events for \$100,000 and city cultural arts programming for \$46,000 (approximately 39% of estimated fund).
- Approve 17 of the 18 applicants for a total of \$154,000.
- One applicant was not approved as the application outlined an event concept and not a developed or detailed event plan. This applicant turned in three other applications for events that were recommended for funding.
- The release of funds is conditional for all applicants in that they must provide adequate proof of match requirements and acceptable grant expenses.

Next Steps

October 18 Consent Agenda





Thank You



Any Questions?



2023 Applicants

Ananda Mela: Joyful festival of India including traditional aspects of Indian culture. Location: Municipal Campus; *Non-profit*

Cinco de Mayo: Bilingual festival celebrating Mexican culture and inclusive of food trucks, cultural music, arts and craft vendors, a beer garden, COVID-19 vaccinations, and more. Location: Downtown Park; *Non-profit*

ColorFest/Festival of Color – Northwest Share: An event to celebrate the traditional Indian arrival of Spring - festival of Holi. Location: Municipal Campus; *Non-profit*

Crossfire Challenge: One of the state's largest reoccurring youth soccer tournaments hosted by Crossfire Premier Soccer Club, an affiliate of Lake Washington Youth Soccer Association. Location: 60-Acres; *Non-profit*

Crossfire Select Cup: Large three-day youth soccer tournament, an affiliate of Lake Washington Youth Soccer Association (separate event from Crossfire Challenge). Location: 60-Acres; *Non-profit*

International Stew Festival – OneRedmond Foundation: (*Not recommended for funding*) International stew & chili cookoff in either February close to Super Bowl Sunday or March 2023, Location: TBD; *Non-profit*

JBMV Junior Take Over – Marymoor Velodrome Association: New event in 2022, junior track cycling clinics and racing event. Location: Marymoor Park; *Non-profit*

NAMI Fundraising GALA: Annual fundraising Gala for mental health with attendees including public officials, providers, non-profits, volunteers, community members, educators, students, responders, faith leaders, and non-profit organizations. Location: Redmond Marriott; *Non-profit*

NAMI Youth Mental Health Conference: A conference for young people, educators, families, and community members to understand youth mental health challenges and gain tangible skills and resources to support youth and providers. Location: Overlake Christina Church; *Non-profit*

PNW ChalkFest: Chalk art, workshops, and artist festival. Location: Redmond Town Center with shuttle service to Derby Days

Redmond Arts Festival – VALA: Multiday event connecting the community to local artists. Location: Redmond Town Center; *Non-profit*

Redmond Wine Walk – OneRedmond Foundation: Second year- Wine Walk weekend in August to coincide with the Washington State Wine Commission's August month-long wine activities. Location: Redmond Town Center; *Non-profit*

SecondStory Repertory: Year-round live theater performances including musicals, comedies, dramas, poetry readings, and more. Location: Redmond Town Center; *Non-profit*

Simon – OneRedmond Foundation: A one-day event with the World's Largest Game of Simon and includes family-friendly games. Location: Downtown Park and Redmond Town Center; *Non-profit*

USA Gymnastics: A gymnastics training event for athletes and an education event for 70 Washington State Gymnastics club parents and coaches. Location: Emerald City Gymnastics Academy 17695 NE 65th St.

Vegan Festival – Northwest Share: Community event that includes talks by eminent speakers on diet and health, food vendors, and multicultural entertainment. Location: Downtown Park; *Non-profit*

Vision Grand Prix – Marymoor Velodrome Association: National track calendar cycling championships comprised of the largest weekend track bike cycling racing event in North America drawing racers from across North America, New Zealand, Australia, Great Britain, and beyond. Location: Marymoor; *Non-profit*

Winter Festival – OneRedmond Foundation: Coordinated event with Redmond Lights to bring vendors, music, multicultural entertainment, and interactive activities to the City that highlights Redmond's multicultural residents. Location: Downtown Park/Redmond Town Center (TBD); *Non-profit*



Interested members of the public can listen to this meeting by phone at: 206-800-4590; Phone Conference ID: 961 615 07#. All public comments shall be emailed to Jackie Lalor (jlalor@redmond.gov) at least 24 hours before the meeting time. [To view the meeting presentation, click here.](#)

DRAFT MEETING MINUTES

Monday, August 29, 2022
10 a.m. - Noon

Prepared by Jackie Lalor, Staff Liaison jlalor@redmond.gov

LTAC Members:

- Steve Fields, Council Chair
- Dan Angellar
- Dave Norwood
- Latha Sambamurti
- Nancy Heard

City of Redmond Staff:

- Jackie Lalor, Seraphie Allen, Philly Marsh

Guests:

- Peter Klauser & Huso Paco, Bullseye Creative

Agenda:

1. [June 30 meeting minutes](#) approval - Action: Approved
2. General Committee Updates
 - a. Introduced new Economic Development Manager, Philly Marsh
 - b. Introduced new Planning Deputy Director, Seraphie Allen
 - c. Update on Melody Lanthorn's August 23 Council interview to fill the vacant hotelier position.
3. Fund Balance Overview
 - a. The lodging tax fund took a 66% decrease in 2020 due to covid and is still recovering.
 - b. Due to the lack of funds coming in over the past couple of years, the end fund balance has needed to be used and is currently at 800K.
 - c. Over the next few years with the estimates on incoming lodging fund tax still being down, we anticipate continuing to need to use the end-fund balance based on the current percentage split of the fund. The LTAC will need to explore some solutions to keep the end-fund balance in good standing for future years as we continue to navigate the pandemic recovery.
4. 2023 tourism grant review and recommendations
 - a. There were 18 applicants for 2023 grants.
 - b. Based on decreasing fund numbers from the pandemic, 150K was budgeted for grants this year.
 - c. 154K was recommended by staff and the LTAC for funding



[Lodging Tax Advisory Committee \(LTAC\)](#)

- d. One applicant was not recommended for funding based on the application being an event concept and not a thoroughly vetted or detailed event.
- e. Plenty of discussion was had related the current scoring and future fund planning.
- f. Action: The LTAC unanimously approved the fund recommendations as presented with no changes.



Memorandum

Date: 10/18/2022
Meeting of: City Council

File No. AM No. 22-156
Type: Consent Item

TO: Members of the City Council
FROM: Mayor Angela Birney
DEPARTMENT DIRECTOR CONTACT(S):

Planning and Community Development	Carol Helland	425-556-2107
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DEPARTMENT STAFF:

Planning and Community Development	Ian Lefcourte, AICP	Senior Planner
Planning and Community Development	Jeff Churchill, AICP	Long Range Planning Manager
Planning and Community Development	Seraphie Allen	Deputy Director

TITLE:

Approval of the 2023 Budget and Work Program for A Regional Coalition for Housing (ARCH)

OVERVIEW STATEMENT:

City staff and the ARCH Executive Board recommend that the City Council approve the 2023 Budget and Work Program for A Regional Coalition for Housing (ARCH), contingent on the Council’s deliberations and adoption of the City’s 2023-24 biennial budget.

ARCH was officially created in 1993 through an interlocal agreement between several eastside cities and King County. ARCH assists member governments in developing housing policies, strategies, programs, and development regulations; coordinates members’ financial support to groups creating affordable housing; and assists people looking for affordable rental and ownership housing. The 2023 ARCH Budget and Work Program is required to be approved by each of the 16 member councils that are party to the Interlocal Agreement.

The proposed 2023 administrative budget request to Redmond is \$199,499, which represents a 28% (\$43,118) increase from the 2022 budget of \$156,381. The increase supports the net addition of two full-time positions to ensure adequate capacity and support for administering local housing programs, including the ARCH homeownership program, ARCH rental program, and local incentive programs.

The 2023 Budget and Work Program details are provided in **Attachment A**.

Additional Background Information/Description of Proposal Attached

REQUESTED ACTION:

Receive Information Provide Direction Approve

REQUEST RATIONALE:

- **Relevant Plans/Policies:**
Comprehensive Plan Housing Element - Provides a framework for housing goals, policies, and actions to address housing needs that advance the City's vision.
Housing Action Plan - Provides implementation strategies to address housing needs that advance the City's vision.
- **Required:**
The ARCH Interlocal Agreement of 1993 as amended in 2010 requires that the annual ARCH work program and budget be approved by each member council.
- **Council Request:**
N/A
- **Other Key Facts:**
This is an annual process with ARCH and associated member jurisdictions.

OUTCOMES:

ARCH supports housing across East King County communities through a cooperative approach and creates efficiencies in housing planning and affordable housing project development. ARCH collaborates with local members, including Redmond, to:

- Administer the Housing Trust Fund;
- Support proposals to acquire dedicated funding for affordable housing;
- Facilitate production of affordable housing;
- Steward affordable housing assets;
- Analyze affordable housing practices;
- Review legal decisions related to affordable housing;
- Develop measurable goals for production and preservation of affordable housing; and
- Manage select affordable housing programs and projects.

Further information is contained within **Attachment A**.

COMMUNITY/STAKEHOLDER OUTREACH AND INVOLVEMENT:

- **Timeline (previous or planned):**
Annual process; must be approved before the end of the year.
- **Outreach Methods and Results:**
Opportunity for input through Council review process.
- **Feedback Summary:**
Any feedback provided will be summarized by staff and provided to Council.

BUDGET IMPACT:

Total Cost:

\$199,499 for the City of Redmond contribution to the 2023 ARCH administrative budget.

Approved in the current biennial budget: Yes No N/A

Budget Offer Number:
N/A

Budget Priority:
Vibrant and Connected

Other budget impacts or additional costs: Yes No N/A

If yes, explain:

The Mayor’s proposed 2023-24 biennial budget will include funding to support the proposed 2023 ARCH Work Program and Budget. Half of the ARCH administrative budget will be funded through the general fund and half through the Capital Improvement Project (CIP).

Funding source(s):
General Fund
CIP

Budget/Funding Constraints:
N/A

Additional budget details attached

COUNCIL REVIEW:

Previous Contact(s)

Date	Meeting	Requested Action
10/4/2022	Committee of the Whole - Planning and Public Works	Provide Direction

Proposed Upcoming Contact(s)

Date	Meeting	Requested Action
	None proposed at this time	N/A

Time Constraints:

ARCH requests the timely approval of the 2023 ARCH Work Program and Budget.

ANTICIPATED RESULT IF NOT APPROVED:

If not approved, ARCH will not have Redmond funds to contribute to its 2023 operations and implementation of its work program.

ATTACHMENTS:

Attachment A: ARCH Memo to Councils - 2023 ARCH Budget and Work Program



A Regional Coalition for Housing

Celebrating 30 years of bringing cities together to house East King County

Together Center Campus
16307 NE 83rd St, Suite 201
Redmond, WA 98052
(425) 861-3677

MEMORANDUM

Date: August 19, 2022

From: Lindsay Masters, ARCH Director

To: ARCH Member Councils

Subject: ARCH 2023 Budget and Work Program

This year marks the thirtieth anniversary of the founding of A Regional Coalition for Housing (ARCH). Conceived as an innovative approach to advancing affordable housing, the collaboration among local jurisdictions through ARCH has had a profound impact on creating access to housing opportunities for thousands of low and moderate-income households in our region. We are proud to celebrate these successes while also looking ahead to the important work in front of us. This memo provides an overview of ARCH's 2023 recommended Budget and Work Program.

2023 Administrative Budget and Work Program

As the need for affordable housing grows greater each year, the ARCH Executive Board has taken a thoughtful, phased approach to the expansion of ARCH's capacity to steward the increasing number of local housing programs adopted by member jurisdictions. The proposed 2023 Budget and Work Program continues to focus new capacity on essential program administration functions, while looking to next year for a deeper evaluation of needs around policy and planning support among members.

Administrative Budget Highlights

- A net two new FTEs will be added to ensure adequate capacity and support among the staff responsible for administering local housing programs, including the ARCH Homeownership Program, ARCH Rental Program and all local Incentive Programs.
- New costs are allocated within base member dues, which are assessed on a per capita basis for member cities. King County dues are increased from 2022 levels based on the overall increase in the budget.
- To address the near-term need for expanded planning support during Comprehensive Plan updates, the Board authorized use of ARCH reserves to hire an on-call consultant throughout the year.

ARCH MEMBERS

BEAUX ARTS VILLAGE ♦ BELLEVUE ♦ BOTHELL ♦ CLYDE HILL ♦ HUNTS POINT ♦
ISSAQUAH ♦ KENMORE ♦ KIRKLAND ♦ MEDINA ♦ MERCER ISLAND ♦ NEWCASTLE ♦ REDMOND ♦
SAMMAMISH ♦ WOODINVILLE ♦ YARROW POINT ♦ KING COUNTY

Work Program Highlights

ARCH's Work Program provides for core services in five key areas: affordable housing investment, housing policy and planning, housing program administration, education and outreach, and general administration. In addition, the Work Program details specific support requested by individual member jurisdictions based on local housing plans and initiatives.

Following is a description of the priorities identified by the Board for ARCH's Work Program in 2023:

- Provide a housing needs analysis for all member cities in support of Comprehensive Plan Updates. ARCH has already begun to compile a comprehensive set of data on local housing supply, population characteristics, cost burden among various demographic groups, income-restricted housing and more. This information will be available for all jurisdictions to support Comprehensive Plan Updates currently underway.
- Support analysis to show how Comprehensive Plans can accommodate the range of housing needs required in the Growth Management Act (GMA) and Countywide Planning Policies (CPPs). As new requirements and guidance emerge for meeting local housing needs, ARCH will support analysis of housing policies and strategies and facilitate coordination among member planning staff.
- Report on measurable goals for production and preservation of affordable housing in the ARCH region. ARCH continues to maintain and expand its data on the production and preservation of affordable housing within member jurisdictions. This will create a reliable data source for ongoing reporting requirements under the GMA and CPPs, as well as reporting on locally adopted housing goals.
- Facilitate and advance proposals for dedicated revenue sources for affordable housing in East King County. ARCH has begun early outreach to member elected officials with the goal of developing consensus on one or more revenue options to create a stable, long-term funding source for developing affordable housing across our region. The ARCH Board will continue to guide further discussions and facilitate coordination of shared priorities and potential legislative advocacy on this topic.
- Continue to expand ARCH's capacity to accomplish its broader mission. The recommended staffing levels starting in 2023 will ensure that our capacity grows alongside the growth in local housing programs, as the ARCH Board looks ahead to evaluating other areas of need in the coming years.
- Develop compliance tools to meet evolving program needs, and continue to provide excellent stewardship of affordable housing assets. As in recent years, new staff capacity is prioritized for program administration and stewardship of assets created

through local housing programs. As these programs grow and change, ARCH will seek to create compliance and monitoring tools that adapt to evolving regulations among member cities, while continuing to maintain a user-friendly interface for developers, property managers, tenants, homebuyers and homeowners.

- Develop and implement policies to reduce cost burden in affordable housing. In response to the dramatic increases in allowable rent under current local housing programs this year, ARCH will work with member staff to conduct a stakeholder process to create policies that aim to provide more reasonable and sustainable rates of rent increases within affordable housing.
- Seek opportunities to advance projects and programs with high potential impact and facilitate projects in the pipeline with available resources. ARCH continues to guide the allocation of local resources for maximum benefit to the region, finding opportunities to leverage local funds and increasingly facilitating larger-scale affordable development opportunities. ARCH will also continue to serve as a strategic advisor to members and community groups seeking to advance significant projects on public land or in other key locations.
- Develop a strategic planning process to guide the ARCH coalition into the future. Building on other recent evaluations of ARCH's organizational capacity that recognized the vastly greater housing needs in the community, the ARCH Board will develop a process aimed at evaluating ARCH's structure, resources and other foundational aspects of the organization, to identify any changes needed to further advance ARCH's mission, values and Work Program.

Conclusion

Our coalition has built an impressive track record and list of accomplishments over the last thirty years. These successes put us in a strong position to tackle new challenges and strengthen our commitment to creating affordable and diverse housing choices in our community. We look forward to continuing our partnership and supporting all our members to contribute to needed solutions in the years to come.

Attachments:

1. 2023 ARCH Administrative Budget
2. 2023 ARCH Work Program

2023 ARCH Administrative Budget

Final Recommended Budget (June 2022)

	2022 Recommended Budget	Final 2023 Budget	% Change
I. TOTAL EXPENSES	\$ 1,490,462	\$ 1,874,248	26%
A. Personnel	\$ 1,307,088	\$ 1,717,777	31%
Salary and Benefits - Existing 9 FTEs	\$ 1,307,088	\$ 1,448,850	11%
Salaries	\$ 982,646	\$ 1,090,881	
Benefits	\$ 324,443	\$ 357,969	
New Staff Salary and Benefits		\$ 268,927	
B. Operating	\$ 86,394	\$ 103,142	19%
Rent & Utilities	\$ 24,780	\$ 38,117	
Telephone	\$ 6,145	\$ 7,518	
Travel/Training	\$ 2,600	\$ 2,600	
Auto Mileage	\$ 3,000	\$ 3,000	
Postage/Printing Costs	\$ 2,500	\$ 2,600	
Office Supplies/Furnishing	\$ 4,353	\$ 5,027	
Internet/Website Fees	\$ 3,090	\$ 3,214	
Periodical/Membership	\$ 11,400	\$ 11,400	
Misc. (events, job posting fees, etc.)	\$ 2,000	\$ 2,080	
Equipment Replacement	\$ 7,000	\$ 7,280	
Database/software licensing	\$ 19,526	\$ 20,307	
C. In-Kind Admin/Services	\$ 26,980	\$ 28,329	5%
Insurance	\$ 15,000	\$ 15,750	
IT Services	\$ 11,980	\$ 12,579	
D. Grants and Consultant Contracts	\$ 70,000	\$ 25,000	-64%
Consultant Contracts	\$ 20,000	\$ 25,000	
Special Projects/Programs - RAHTF Support	\$ 50,000		

	2022 Recommended Budget			Final 2023 Budget			% Change
	City Per Capita \$2.04 KC Per Capita \$1.70	Add'l \$0.35 Per Capita or \$3k minimum		City Per Capita \$2.58 KC Per Capita \$2.16	Add'l \$0.36 Per Capita or \$3k minimum		
II. TOTAL INCOME	\$1,490,462			\$ 1,874,248			
A. Member Contributions	TOTAL \$1,334,162	BASE \$1,204,162	ADD'L \$130,000	\$ 1,687,043	\$ 1,550,543	\$ 136,500	26%
Beaux Arts Village	\$2,060	\$2,060		\$ 2,653	\$ 2,653		29%
Bellevue	\$344,457	\$293,949	\$50,508	\$ 429,021	\$ 376,377	\$ 52,644	25%
Bothell	\$93,127	\$93,127	\$0	\$ 119,461	\$ 119,461	\$ -	28%
Clyde Hill	\$6,777	\$6,777		\$ 8,653	\$ 8,653		28%
Hunts Point	\$2,060	\$2,060		\$ 2,653	\$ 2,653		29%
Issaquah	\$90,561	\$77,282	\$13,279	\$ 113,628	\$ 99,685	\$ 13,943	25%
Kenmore	\$49,257	\$46,257	\$3,000	\$ 62,304	\$ 59,154	\$ 3,150	26%
Kirkland	\$213,344	\$182,061	\$31,283	\$ 267,567	\$ 234,734	\$ 32,833	25%
Medina	\$6,650	\$6,650		\$ 8,455	\$ 8,455		27%
Mercer Island	\$55,264	\$52,264	\$3,000	\$ 69,646	\$ 66,496	\$ 3,150	26%
Newcastle	\$26,918	\$23,918	\$3,000	\$ 34,255	\$ 31,105	\$ 3,150	27%
Redmond	\$156,381	\$133,451	\$22,930	\$ 199,499	\$ 175,019	\$ 24,480	28%
Sammamish	\$134,651	\$131,651	\$3,000	\$ 171,231	\$ 168,081	\$ 3,150	27%
Woodinville	\$25,207	\$25,207	\$0	\$ 33,578	\$ 33,578	\$ -	33%
Yarrow Point	\$2,447	\$2,447		\$ 3,484	\$ 3,484		42%
King County	\$125,000	\$125,000		\$ 160,957	\$ 160,957		29%
Bellevue Detail	\$ 344,457			\$ 429,021			25%
Cash Contributions	\$ 141,353			\$ 215,762			
In-Kind Contributions	\$ 203,103			\$ 213,259			
Personnel	\$ 176,123			\$ 184,930			
Insurance	\$ 15,000			\$ 15,750			
IT Services	\$ 11,980			\$ 12,579			
B. Other Income	\$ 156,300			\$ 187,205			20%
Homeownership Program Fees	\$ 150,000			\$ 185,000			
Existing Administrative Fees	\$ 4,200			\$ -			
Interest Earned	\$ 2,100			\$ 2,205			
III. RESERVES, CONTINGENT INCOME AND EXPENSES							
<i>Note: This section expresses intended use of any excess revenues above levels needed to cover basic operating costs, including any agreement by an ARCH member to fund work under section 13 of the ARCH Interlocal Agreement</i>							
A. Contingent Expenses							
Replenish operating reserves	\$ -			\$ 100,000			
Staffing/Administrative Expenses	\$ 150,000			\$ 150,000			
Other Services/Consulting	\$ 150,000			\$ 300,000			
B. Contingent Revenue							
Excess Administrative Fees	\$ 150,000			\$ 100,000			
Service Fees	\$ 150,000			\$ 50,000			
Grant Funding				\$ 300,000			
Board-Approved Reserves				\$ 100,000			

ARCH WORK PROGRAM: 2023

2023 Priorities

In 2023, ARCH will elevate the following priorities in its Work Program:

- Provide a housing needs analysis for all member cities in support of Comprehensive Plan Updates
- Support analysis to show how Comprehensive Plans can accommodate the range of housing needs required in the Growth Management Act and Countywide Planning Policies
- Report on measurable goals for production and preservation of affordable housing in the ARCH region
- Facilitate and advance proposals for dedicated revenue sources for affordable housing in East King County
- Continue to expand ARCH's capacity to accomplish its broader mission
- Develop compliance tools to meet evolving program needs, and continue to provide excellent stewardship of affordable housing assets
- Develop and implement policies to reduce cost burden in affordable housing
- Seek opportunities to advance projects and programs with high potential impact and facilitate projects in the pipeline with available resources
- Develop a strategic planning process to guide the ARCH coalition into the future

I. AFFORDABLE HOUSING INVESTMENT

A. ARCH Housing Trust Fund

Parity Goals. Develop updated goals for member investments through the ARCH HTF.

Annual Funding Round. Develop funding priorities and evaluation criteria for the annual funding round. Advertise available funds and manage a competitive process on behalf of member cities. Review funding applications and develop recommendations through the Community Advisory Board (CAB), with input from member staff. Develop final recommendations by the ARCH Executive Board and facilitate final funding allocations through member councils.

Public Funding Coordination. Work collaboratively with public funders at the State and local levels to promote shared affordable housing goals and equitable geographic distribution of resources. Review and provide input to other funders for Eastside projects that apply for County (HOF, RAHP, HOME, TOD, etc.) and State (Tax Credit, State Housing Trust Fund) resources. Provide input to the King County Joint Recommendations Committee (JRC) on behalf of participating Eastside jurisdictions. Assist N/E consortium members with evaluating and making a recommendation to the County regarding CDBG allocations to affordable housing.

Private Funding Coordination. Work with private investors and lenders to maximize leverage of public investment into affordable housing. Negotiate maximum public benefits from investment of housing funds into private projects. Engage with Enterprise Community Partners and other investors on the potential extension of the Regional Equitable Development Initiative (REDI) Fund. Complete implementation of a Bridge Financing Pilot in partnership with Microsoft.

Project Pipeline Management. Work with member cities and project sponsors to develop a robust pipeline of projects to be funded over the next five years (see related work on Transit Center sites, below). Actively vet

potential HTF projects, and lead funding policy and prioritization discussions with the ARCH Executive Board to facilitate planning and decision-making.

Contract Development and Administration. Prepare contract documents in consultation with legal counsel and facilitate approval of contracts with the Administering Agency. Review and approve disbursement of funds to awarded projects in accordance with executed contracts.

Centralized Trust Fund Reporting. Work with Administering Agency (Bellevue) to maintain records and produce regular financial reports for the ARCH Trust Fund accounts. Update internal policies and procedures regarding records maintenance efforts coordinated with the Administering Agency.

HB 1406 Sales Tax. Develop systems and procedures to manage contributions, commitments and expenditures of pooled sales tax revenue authorized by HB 1406. Work with the Department of Commerce to ensure timely and complete reporting in compliance with state requirements.

B. Special Projects and Other Local Housing Investments

Local Housing Investments. Provide strategic policy support and administrative capacity to cities making other investments in housing, for example with fee in lieu funds, dedicated sales tax funds, pass through of state grant funds or other sources directed by individual cities. Ensure coordination with regional funding processes to maximize affordable housing outcomes.

Transit-Oriented Development Sites. Assist cities with advancing and coordinating affordable housing projects near transit. Partner with Sound Transit, King County Metro and other public agencies to maximize opportunities on public property. Current opportunities include sites in Bel-Red, Overlake, Downtown Redmond, Issaquah, Kirkland, Bothell, and Kenmore.

Surplus Property/Underdeveloped Property. Assist with evaluation of public surplus or underutilized private property (e.g., faith community properties) for suitability of affordable housing. Provide technical assistance to property owners interested in supporting affordable housing. Develop an inventory of promising public and nonprofit property and begin to engage owners to gauge interest in disposition for housing.

Eastside Shelter Capacity. Support efforts by Eastside shelter providers, Eastside Human Services Forum, the King County Regional Homelessness Authority and member cities to implement an East King County sub-regional strategic approach to shelter and related services for homeless adults and families. Support the construction of a permanent year-round men's shelter, and support efforts by member jurisdictions to fund long-term operations of shelter for men, women, families, youth and young adults.

Preservation of At-Risk Affordable Housing. Work with member cities to facilitate acquisitions or other strategies to preserve existing housing where affordability is at risk of being lost, including at-risk manufactured housing communities. As needed, assist with responding to notices of sale of HUD assisted properties received by member cities, or other information indicating an impending loss of existing affordable housing.

Strategic Predevelopment Investment. With approval of the Executive Board, invest in predevelopment studies to investigate feasibility of special projects.

II. HOUSING POLICY AND PLANNING

A. Local Policy, Planning and Code Development

ARCH provides assistance directly to member cities on a range of local planning efforts. Local planning efforts with individual member cities may be found in *Attachment A*. These efforts may take different forms, such as:

- **Housing Element Updates.** Work with members to update comprehensive plan housing elements.
 - Assist with understanding and complying with new housing-related requirements under the Growth Management Act and Countywide Planning Policies.
 - Prepare an east King County housing needs analysis with focused analyses for each city—including projected affordable housing needs—to fulfill GMA requirements.
 - Coordinate local and ARCH affordable housing goals with King County Affordable Housing Committee and Countywide Planning Policies.
 - Assist with policy writing, outreach, presentations, etc. as needed.
- **Housing Strategy and Action Plans.** Assist members to prepare housing strategies to implement housing elements and create council work plans. Cities with completed or ongoing strategy and action plans include Bellevue, Issaquah, Kenmore, Bothell, Kirkland, Redmond, and Sammamish.
- **Incentive Program Design.** Provide economic analysis and policy and program development support to design housing incentive programs, including land use, property tax, impact fee waivers, parking reductions and other incentives.
- **Land Use Code Amendments.** Assist city staff on land use and other code amendments in order to implement comprehensive plan policies.
- **Other Support.** Other areas in which ARCH could provide support to member cities include preservation of valuable community housing assets, assistance to households displaced by development activity, review of tenant protection regulations, or negotiation of agreements for specific development proposals. ARCH views this as a valuable service to its members and will continue to accommodate such requests to the extent they do not jeopardize active work program items.

B. Inter-Local / Eastside Planning Activities

Interlocal planning activities are coordinated by ARCH for the benefit of multiple members.

ARCH Regional Affordable Housing Goals and Reporting. Work with member staff and the ARCH Executive Board to report on adopted goals for production and preservation of affordable housing across ARCH member communities. Utilize data methodologies consistent with the requirements of GMA and Countywide Planning Policies.

Tenant Protection Policies. Share information and help identify common policy priorities relating to tenant protections. Facilitate consideration of local regulations by ARCH members and help to encourage consistent protections for renters across the region that reduce evictions and economic displacement.

Long-Term Funding/Dedicated Revenue Strategy. Continue work on a long-term funding strategy for the ARCH Trust Fund. Facilitate conversations with member cities on identifying and exploring dedicated sources of revenue for affordable housing at the local and regional level (e.g., REET, property tax levy, commercial linkage fee, etc.). Provide relevant data and develop options for joint or individual revenue approaches across

ARCH member cities and determine any shared state legislative priorities to authorize local options for funding.

Eastside Housing Data Analysis and Planning for GMA Housing Requirements. On an annual basis, provide local housing and demographic data as available. Make information available to members for planning efforts and incorporate into ARCH educational materials. Facilitate and encourage members to collaborate in addressing new GMA/PPP housing requirements so that the affordable and special housing needs across east King County are addressed.

Housing Diversity/Middle Housing. Continue to support a diversity of housing options among member cities:

- “Missing Middle” Housing: Facilitate sharing of best practices for encouraging a greater diversity of housing types in single family/low density neighborhoods, including duplexes, triplexes, etc. Assist members’ efforts to utilize planning grants for middle housing analysis, policy and code development.
- Help jurisdictions develop strategies and codes to address emerging housing types, like micro-housing, small efficiency dwelling units, and others.

C. State Legislative Activities

The ARCH Executive Board will discuss and explore shared legislative priorities for advancing affordable housing in the region, with a goal to enable members to advocate collectively for greater funding and policy tools at the local level to address affordable housing needs. ARCH staff will track relevant state (and, where feasible, federal) legislation. As needed, staff will report to the Executive Board and members, and coordinate with relevant organizations (e.g., AWC, SCA, WLIHA, HDC) to advance shared legislative priorities.

D. Regional/Countywide Planning Activities

ARCH participates in regional planning efforts to advance Eastside priorities and ensure that perspectives of communities in East King County are voiced in regional housing and homelessness planning.

King County GMPC Affordable Housing Committee / Housing Inter-Jurisdictional Team (HIJT). Support efforts to advance the five-year action plan developed by the Regional Affordable Housing Task Force (RAHTF) in 2018. ARCH will help staff the HIJT, which provides support to the Growth Management Planning Council’s Affordable Housing Committee (AHC).

Regional Affordable Housing Task Force Action Plan. In addition to staffing the GMPC committee, pursue other opportunities to advance strategies called for in the RAHTF Action Plan. Facilitate discussions as needed with members and the Executive Board to consider actions recommended in the five-year plan.

King County Regional Homelessness Authority (KCRHA) / Eastside Homeless Advisory Committee (EHAC). Support Eastside collaboration in regional homelessness efforts, as appropriate and as resources allow. Collaborate with KCRHA, EHAC and other relevant organizations and initiatives to advance shared work on homelessness. Promote best practices in development of housing solutions that move people out of homelessness. Coordinate allocation of resources, and work on specific initiatives.

Explore Collaboration with Cities in North and East King County. As requested, engage cities interested in supporting affordable housing in north and east King County that are not currently members of ARCH. Explore collaboration that provides benefits for additional cities and current ARCH member cities. Enter into agreements to provide services to other cities, as directed by the ARCH Executive Board.

III. HOUSING PROGRAM IMPLEMENTATION

A. Administration of Housing Incentive and Inclusionary Programs

ARCH partners with member cities to administer local housing incentive and inclusionary programs, including mandatory inclusionary, voluntary density bonus, multifamily tax exemption (MFTE) and other programs. Specific programs administered by ARCH include:

Jurisdiction	Incentive/Inclusionary Programs
Bellevue	Voluntary density bonuses, MFTE, impact fee waivers.
Bothell	Inclusionary housing, MFTE.
Issaquah	Development agreements, voluntary and inclusionary programs, impact and permit fee waivers.
Kenmore	Development agreements, voluntary and inclusionary programs, MFTE, impact fee waivers.
Kirkland	Inclusionary program, MFTE.
Mercer Island	Voluntary density bonus.
Newcastle	Inclusionary program, impact fee waivers.
Redmond	Inclusionary program, MFTE.
Sammamish	Inclusionary and voluntary density bonuses, impact fee waivers.
Woodinville	MFTE.
King County	Development agreements.

ARCH roles and responsibilities will typically include:

- Communicate with developers/applicants and city staff to establish applicability of codes and policies to proposed developments
- Review and approve proposed affordable housing (unit count, location/distribution, bedroom mix, and quality)
- Review and recommend approval of MFTE applications.
- Review and recommend approval of alternative compliance proposals
 - For fee in lieu projects, provide invoices and receipts for developer payments
- Develop contracts and covenants containing affordable housing requirements
- Ensure implementation of affordable housing requirements during sale/lease-up
- Register MFTE certificates with County Assessor and file annual MFTE reports with state Commerce.
- On-going compliance monitoring (see Stewardship, below).

Coordinate Shared Policy, Program and Procedure Improvements. Work with member city staff and legal counsel to align incentive and inclusionary programs with a unified set of policies, practices and templates for legal agreements. Coordinate changes across member jurisdictions to adapt programs to new knowledge and best practices (for example, implementing fee strategies to create sustainable revenue for monitoring).

B. Stewardship of Affordable Housing Assets

ARCH provides long-term oversight of affordable housing created through city policies and investment to ensure stewardship of these critical public assets for residents, owners and the broader community.

ARCH Rental Program (Incentive and Inclusionary Projects). Monitor and enforce compliance in rental housing projects with incentive and inclusionary housing agreements. Administer a robust compliance monitoring program, including:

- Ensure compliance with rent and income restrictions through timely annual report reviews and supplemental on-site file audits
- Provide training and technical assistance for property managers
- Maintain written standards for eligibility, leasing and other program requirements
- Implement standard remedies for non-compliance
- Respond to tenant issues and questions

ARCH Trust Fund Projects. Oversee contracts and regulatory agreements with owners of projects supported through the direct assistance from members, including:

- Monitor project income and expenses to determine cash flow payments
- Conduct long-term sustainability monitoring of projects and owners
- Proactively problem-solve financial and/or organizational challenges in partnership with project owners and other funders
- Work with legal counsel to review and approve requests for contract amendments, subordination and other agreements
- Pursue formal MOUs with other funders to govern shared monitoring responsibilities that streamline processes for owners and funders.
- Collect annual compliance data and evaluate program beneficiaries

ARCH Homeownership Program. Provide effective administration to ensure strong stewardship of resale restricted homes in the ARCH Homeownership Program. Ensure ongoing compliance with affordability and other requirements, including enforcement of resale restrictions, buyer income requirements, and owner occupancy requirements. Implement adopted policies and procedures for monitoring and work with cities to address non-compliance.

Continue to implement long-term recommendations in the 2019 Program Assessment from Street Level Advisors and make other program improvements that support the program objective of creating and preserving long-term affordability, including:

- Work with member planning and legal staff to make improvements to boilerplate legal documents, in consultation with key stakeholders and outside counsel, as needed
- Develop strategies to preserve homes at risk of foreclosure
- Preserve expiring units and pursue strategies to re-capture lost affordability
- Pursue offering brokerage services or developing partnerships with realtors to provide cost-savings to homebuyers and sellers, diversify program revenue, and expand ARCH's marketing reach
- Plan for additional staff capacity as the number of ARCH homes continues to grow.
- Implement program fees to ensure program financial sustainability

Work with the Washington State Housing Finance Commission to evaluate the ARCH Eastside Down Payment Assistance Program and make updates to provide effective financial assistance to income-eligible first time homebuyers in East King County.

Database/Systems Development. Continue to utilize the new ARCH Homeownership Program database to collect critical program data and evaluation, compliance monitoring, communication with program participants, and other key functions. Continue to improve and streamline data systems for ARCH Rental Program and Trust Fund Program. Develop a new Trust Fund project and loan database to assist with timely loan monitoring and reporting. Update information systems to ensure accurate, efficient recording of transactions within ARCH Trust Fund accounts.

IV. EDUCATION AND OUTREACH

A. Housing 101/Education Efforts

Housing 101. Develop educational tools and conduct or support events to inform councils, planning commissions, member staff and the broader community of current housing conditions, and of successful housing programs. Build connections with community groups, faith communities, developers, nonprofits and others interested in housing issues. Plan and conduct a Housing 101 event.

Private Sector Engagement. Support efforts by ARCH member cities to engage employers and private sector entities in discussions around the need for more affordable housing and identifying options for public-private partnerships.

B. Information and Assistance for the Public

Office Hours. As government organizations and businesses navigate the ongoing COVID-19 pandemic, provide published office hours, consistent with public health guidelines, for appointments or walk-in customer service. Open office hours will be advertised on the ARCH website and ARCH Facebook page and shared with partner organizations.

ARCH Website. Continually update and build on information in the ARCH website. Maintain information on the most urgently needed resources in the community, including rental assistance, no-cost legal services, mortgage assistance, and senior resources available in East King County.

Assist Community Members Seeking Affordable Housing. Maintain up-to-date information on affordable housing in East King County (rental and ownership) and distribute to people looking for affordable housing. Continue to maintain a list of households interested in affordable ownership and rental housing and advertise newly available housing opportunities. Work with other community organizations and public agencies to develop appropriate referrals for different types of inquiries received by ARCH (e.g., rapid re-housing, eviction prevention, landlord tenant issues, building code violations, fair housing complaints, etc.).

C. Equitable Access to Affordable Housing in East King County

Collect and analyze data on existing programs to determine potential gaps in access by different populations, such as communities of color, immigrant and refugee communities, homeless individuals and families, and workers in EKC commuting from other communities. Evaluate strategies and outreach goals to increase access to affordable housing in EKC by underserved communities. Develop outreach and marketing efforts to maximize awareness of affordable housing opportunities in East King County and build partnerships with diverse community organizations.

V. ADMINISTRATION

A. Administrative Procedures

Maintain administrative procedures that efficiently and transparently provide services to both members of ARCH and community organizations utilizing programs administered through ARCH. Activities include:

- Prepare the Annual Budget and Work Program and ensure equitable allocation of administrative costs among ARCH members.
- Prepare quarterly budget and work program progress reports, Trust Fund reports, and monitor expenses to stay within budget.
- Manage the ARCH Community Advisory Board, including recruiting and maintaining membership that includes broad geographic representation and a wide range of housing and community perspectives.
- Staff the Executive Board.
- Work with Administering Agency to streamline financial systems.
- Review and update bylaws and ensure timely renewal of the ARCH Interlocal Agreement.

B. Organizational Assessment and Planning

The ARCH Executive Board will continue to evaluate ARCH's organizational capacity to accomplish its Work Program and broader mission. The Board will review ARCH's organizational structure, staffing resources, capital resources and other foundational aspects of the organization to determine any gaps and assess options for expanding organizational capacity. The assessment will inform recommendations for the following year's work program and budget. In 2023, ARCH will conduct a strategic planning process that will identify any significant structural or other organizational changes needed to advance ARCH's mission, values and work program going forward.

*Attachment A
Local Planning Efforts by City*

ARCH staff will assist members' staff, planning commissions, and elected councils with local policy, planning and special projects and initiatives, as described below. Member city staff may make adjustments to the proposed actions identified below as individual city work plans are updated.

Bellevue

Support 3-4 actions to implement Bellevue's Affordable Housing Strategy, such as:

- Facilitate development on affordable housing on suitable land owned by public agencies, faith-based groups, and non-profits housing entities.
- Analysis of affordable housing recommendations in the Wilburton neighborhood plan, Comprehensive Plan Periodic Update, and density incentives in the Land Use Code, including C-1 and Phase 2.
- Participate in developer selection processes and develop funding strategy for affordable housing on suitable public lands in proximity to transit hubs including 130th TOD parcels.

Provide ongoing support to implement investment of funds authorized by HB 1590, or other city funds as directed.

Implement newly authorized affordable housing incentives; develop boilerplate agreements and procedures for ongoing monitoring.

Provide advice on city's effort to update Housing Needs Assessment, including coordination on scope/methodology, and potentially provide supplemental data.

Assist the city with implementation of affordable housing agreements at the TOD project adjacent to Sound Transit's Operations and Maintenance Facility East (OMFE).

Assist the city with process to identify Affordable Housing "Next Right Work" through participation in facilitated work sessions.

Bothell

Support actions to implement the city's Housing Strategy Plan.

Support affordable housing opportunities, especially in the Downtown/Canyon Park areas, such as any proposals for affordable housing on the P-South property or other city-owned property.

Help to identify potential Bothell Trust Fund projects.

Evaluate affordable housing incentives and requirements such as parking reductions or other development incentives, code amendments that add capacity and rezones, and implement those adopted.

Assist with compliance with new requirements under HB 1220.

Support updates to policies and codes for affordable housing options, including ADUs, micro-housing, small efficiency dwelling units, and "missing middle" housing.

Help pursue funding and implement further outreach, equity and implementation measures to encourage more middle housing and address potential displacement.

Issaquah

Assist with preparing the annual Affordable Housing Report Card/Analysis.

Assist with implementation of Strategies 6, 7 and 8 of the Housing Strategy Work Plan expanding inclusionary zoning, increasing missing middle as permitted uses, and removing barriers to the construction of condominiums.

Present Housing 101 to the Planning Policy Commission in late 2022/early 2023.

Help to evaluate potential projects/opportunities that arise under current or amended Development Agreements.

Coordinate marketing efforts to maximize awareness of affordable housing opportunities in Issaquah.

Support implementation and funding of the city's TOD project.

Kenmore

Assist with implementing a high priority item identified in the Housing Strategy Plan, as requested.

Continue support of the Preservation of Affordable Housing/Mobile Home Park project started in 2018.

Assist with the Comprehensive Plan Housing Element update, including help with new affordable housing targets.

Provide technical support, data and best practices to assist with potential code changes, such as for "missing middle" housing.

Advance opportunities to site affordable housing in Kenmore, such as near ST3 transit investments, or on other public, nonprofit and faith-based community property. Help evaluate and identify potential properties, partners and financing strategies.

Evaluate potential expansion of TOD overlay and refinement of affordable housing requirements in the overlay zone.

Kirkland

Continue to support efforts to create affordable housing within a transit-oriented development at the Kingsgate Park and Ride.

Support development of housing policies in connection with the I-405/NE 85th Street Station Area Plan, such as evaluation of a commercial linkage fee, and inclusionary housing requirements, and incentivizing family-sized housing units.

Assist with scoping and stakeholder discussions of a potential affordable housing levy.

Assist with implementing programs to encourage construction of more ADUs.

Evaluate housing-related issues in 2024 Comprehensive Plan Update.

Help review the effectiveness and value of the current MFTE program.

Assist with development of the City's Housing Dashboard and ongoing implementation and monitoring of the adopted Affordable Housing Targets.

Assist the City in its potential expansion of the inclusionary zoning program through new incentives for areas like downtown that don't have a requirement and expanded incentives for more affordable housing in other areas of the City.

Assist the City with its reevaluation of parking standards as they relate to affordable housing.

Mercer Island

Assist the City with understanding and synthesizing the Housing Needs Analysis findings with housing-related requirements under the Countywide Planning Policies and the Growth Management Act.

Provide input and assistance in the development of updated housing goals and policies for the City's Comprehensive Plan periodic update.

Newcastle

Assist with potential investment of fee-in-lieu payments, first exploring opportunities to site affordable housing within Newcastle.

Assist with updating the City's Housing Strategy Plan.

Redmond

Provide advice and technical support to evaluate and refine existing inclusionary and incentive programs, and impact fee waiver provisions.

Assist with scoping and stakeholder discussions regarding potential opportunities to increase revenue options to support affordable housing, and help with advocacy for expanded funding options.

Help evaluate programmatic approaches to support greater affordable homeownership opportunities.

Support partnerships with transit agencies to advance affordable housing within transit-oriented developments, including at Overlake and Southeast Redmond.

Support City efforts to identify suitable projects for preservation as a mechanism to advance affordable housing objectives.

Sammamish

Assist with data and scoping for a housing needs analysis, and review draft housing policies and goals for the City's Comprehensive Plan Update.

Work with City staff and the City's consultant to provide guidance in the development of the City's Housing Action Plan.

Assist with compliance with new requirements under HB 1220.

Help explore development of educational or promotional materials to encourage developers and property owners to consider more diverse housing types, such as duplexes.

As opportunities arise, support development of affordable housing options.

Woodinville

Provide advice on scope and data collection in support of the City's efforts to adopt a Housing Strategy Plan.

King County

Provide monitoring and stewardship services for affordable housing in the Northridge/Blakely Ridge and Redmond Ridge Phase II affordable housing development agreements.

Partner with King County to preserve affordable homes with expiring covenants in unincorporated areas.

Help advance the King County Regional Affordable Housing Task Force Action Plan.



Memorandum

Date: 10/18/2022
Meeting of: City Council

File No. AM No. 22-157
Type: Consent Item

TO: Members of the City Council
FROM: Mayor Angela Birney
DEPARTMENT DIRECTOR CONTACT(S):

Human Resources	Cathryn Laird	425-556-2125
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DEPARTMENT STAFF:

Human Resources	David Puente	HR Policy and Labor Analyst
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TITLE:

Approval of the 2022-2025 Collective Bargaining Agreement between City of Redmond and Teamsters Local No. 117 representing Police Lieutenants

- a. Ordinance No. 3095: An Ordinance of the City of Redmond, Washington Amending the 2021 T Pay Plan for Employees Represented by the Teamsters Local No. 117 Representing Police Lieutenants

OVERVIEW STATEMENT:

This memo seeks approval of the 2022-2025 Teamsters - Police Lieutenants Union Collective Bargaining Agreement (CBA), the associated pay plan, and a one-time signing bonus of \$6,000.00 for each member. This agreement has been negotiated between the City and Union using tentative agreements over the last year and has been approved by a vote of Union members. This item was brought to Council during Executive Session on October 4, 2022.

Additional Background Information/Description of Proposal Attached

REQUESTED ACTION:

- Receive Information Provide Direction Approve

REQUEST RATIONALE:

- **Relevant Plans/Policies:**
N/A
- **Required:**
RCW 35A.11.020
- **Council Request:**
N/A
- **Other Key Facts:**

The previous CBA expired on 12/31/2021.

OUTCOMES:

This CBA sets forth the working relationship between the City and the Teamsters-Police Lieutenants, specifically it covers salaries, benefits, working conditions, and other information/expectations.

COMMUNITY/STAKEHOLDER OUTREACH AND INVOLVEMENT:

- **Timeline (previous or planned):**
N/A
- **Outreach Methods and Results:**
N/A
- **Feedback Summary:**
N/A

BUDGET IMPACT:

Total Cost:

The cost to implement the proposed increases to the 2022-2025 collective bargaining agreement is approximately \$129,460, or 24.6%, over the three-year period.

The cost to implement the one-time signing bonus is \$18,000.

Approved in current biennial budget: Yes No N/A

Budget Offer Number:

- 224 Police Patrol and Response
- 225 Criminal Investigations
- 226 Police Dispatch and Support
- 233 Community Outreach and Involvement

Budget Priority:

Safe and Resilient

Other budget impacts or additional costs: Yes No N/A

If yes, explain:

N/A

Funding source(s):

- 100 General Fund
- 035 Public Safety Levy Fund

Budget/Funding Constraints:

N/A

Additional budget details attached

COUNCIL REVIEW:

Previous Contact(s)

Date	Meeting	Requested Action
10/4/2022	Special Meeting	Receive Information

Proposed Upcoming Contact(s)

Date	Meeting	Requested Action
N/A	None proposed at this time	N/A

Time Constraints:

Employees under this contract are currently being paid at 2021 rates. Approval of contract will allow employees to be paid retroactively back to January 1, 2022.

ANTICIPATED RESULT IF NOT APPROVED:

Additional negotiations would be required, and the Union and the City would likely go to mediation/arbitration. The longer the delay, the more complex the retroactive adjustments to employees' pay due to various pay actions that would occur and need to factor into the retro pay. (For example: overtime, paid leave, etc.) This will lead to a longer wait time for pay increases and could lead to a greater chance of payroll errors, both of which always has a negative impact on morale for all employees involved.

ATTACHMENTS:

Attachment A: Redline of 2022-2025 Teamsters-Police Lieutenants Collective Bargaining Agreement

Attachment B: Summary of Major Changes

Attachment C: Ordinance Amending the 2022 and 2023 Pay and Pay Plans for Teamsters-Police Lieutenants Employees

Attachment D: MOU Teamsters-Police Lieutenants Signing Bonus

Exhibit 1: 2022 Police Lieutenants Pay Plan "T" (effective Jan 1, 2022)

Exhibit 2: 2023 Police Lieutenants Pay Plan "T" (effective Jan 1, 2023)

A G R E E M E N T

Between

THE CITY OF REDMOND

And

**Teamsters Local Union No. 117
Representing Police Lieutenants**

**Affiliated With The
International Brotherhood of Teamsters**



Term of Agreement
January 1, 202219 – December 31, 202521

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AGREEMENT

PREAMBLE

Pursuant to the Revised Code of Washington (R.C.W. 41.56), this mutual Collective Bargaining Agreement (hereinafter referred to as the Agreement) has been entered into by the International Brotherhood of Teamsters, Local No. 117 (hereinafter referred to as the "Union"), and the City of Redmond, Washington (hereinafter referred to as the "City" or "Employer"), which may hereinafter be referred to as "Parties." The purpose of this Agreement is the promotion of harmonious relations between the City and the Union; the establishment of equitable and peaceful procedures for the resolution of differences; and the establishment of rates of pay, hours of work, benefits, and other terms and conditions of employment.

ARTICLE 1 - NON-DISCRIMINATION

1.1 The Employer shall not unlawfully discriminate against any employee with respect to compensation, terms, conditions or privileges of employment, on the basis of race, color, creed, religion, age, gender, marital status, sexual orientation, honorably discharged veteran or military status, Union membership, or the presence of any sensory, mental or physical disability.

1.2 An employee or Union claiming discrimination under this Article shall not be entitled to a remedy under the grievance procedure in the event the employee or the Union seek other administrative or legal remedies for the alleged discrimination, provided however, that filing a claim solely for the purpose of complying with applicable time limitations (such as a statute of limitations) shall not be considered as seeking another remedy unless and until the employee or Union fails to obtain dismissal of such claim within a reasonable time after there has been an award in the grievance arbitration. If the employee or Union fails to obtain such a dismissal or seeks other administrative or legal remedies for the alleged discrimination after the grievance arbitration award, the grievance arbitration award shall be vacated, of no force and effect, and the parties shall be entitled to be placed in the status quo ante, in effect prior to the issuance of the arbitration award.

ARTICLE 2 - UNION RECOGNITION AND PAYROLL DEDUCTIONS

2.1 Recognition – The Employer shall recognize the Union as the sole collective bargaining agent for all police officers of the City of Redmond Police Department holding the rank of Lieutenant.

2.2 Notification – All employees working in the bargaining unit shall have the right to become a member of the Union. The City will inform new, transferred, promoted, or demoted employees prior to appointment into positions included in the bargaining unit of the Union's exclusive representation status.

2.3 Union Orientation – Within seven (7) calendars days of a new, transferred, promoted, or demoted employee being appointed to a position within the bargaining unit, the Union will be allowed thirty (30) minutes of presentation time for the purpose of orienting the employee to Union membership.

2.4 Union Dues and Fees - The Employer, upon voluntary written authorization of the employee, shall deduct from the first pay received each month by such employee, the union

dues, initiation fees and assessments for the current month and promptly remit same to the appropriate officer of the Union. If dues are not deducted in one month for any reason, they shall be deducted the following pay period. The amount of such dues, fees and assessments are those currently in effect or as may hereinafter be established. The City will deduct the dues, fees, and assessments on the first pay day in the month. When an employee quits, is discharged or is laid off, any of the foregoing amounts due will be deducted from the last pay payable. The Employer will honor the terms and conditions of each employee's signed payroll deduction authorization card.

2.5 Dues Cancellation – Employees may cancel their payroll deduction by written notice to the Union in accordance with the terms and conditions of their signed payroll deduction authorization card. The Union will provide the Employer notice of all employees who are eligible for cancellation. The cancellation will become effective on the second pay period after receipt of confirmation from the Union that the terms of the employee's signed payroll deduction authorization card regarding cancellation have been met.

2.6 Teamsters Legal Defense Fund – The Employer agrees to deduct from the paycheck of each member covered by this Agreement who has so authorized it by signed notice submitted to the Employer, the necessary fee, assessment, and regular monthly fee to provide the Teamsters Legal Defense Fund. The Employer shall transmit such fees made payable to "Teamsters Legal Defense Fund" sent to American Legal Services, Inc.

2.7 Indemnification/Hold Harmless – The Union and employees covered by this agreement agree to indemnify, defend and hold harmless the Employer from any and all claims and liabilities, including legal fees and expenses incurred by the Employer in complying with this Article and any issues related to the deduction of dues and fees, unless such error was caused by the Employer's failure to maintain accurate records after receiving notification of a cancellation of deductions. The Union shall refund to the Employer any amounts erroneously paid by the Employer to the Union as union dues, initiation fees and/or assessments, upon presentation of proper evidence.

ARTICLE 3 – UNION RIGHTS

3.1 Negotiations Release Time: The Employer shall allow members of the Union's negotiation committee to attend negotiation sessions during on-duty time. Such members shall be designated by the Union at least one (1) week in advance.

3.2 Grievance Release Time: The Employer shall allow a minimum of one (1) member of the Union, in addition to the grievant(s), to attend grievance-related meetings during on-duty time.

3.3 Union Communication: The Union shall be allowed reasonable use of City's email and phone/voice mail systems to communicate with members.

3.4 Labor Management Committee: There shall be a Labor Management Committee comprised of members/representatives of the Union and Management Representatives. Non-Committee members may attend Committee meetings. The Committee shall meet at least quarterly to discuss issues of continuing importance to the Union and/or Employer. More frequent meetings may be held at the request of either party, provided five (5) days' notice of the meeting is given, together with notice of the intended topics for discussion. Nothing herein shall constitute a waiver of either party's right to demand collective bargaining

of intended or actual changes in mandatory subjects of bargaining. Union Representatives to the committee shall be allowed to perform committee functions while on duty, subject to approval of their supervisor. The Union's Labor Management meetings shall take place separately from any other Union's Labor Management meetings.

ARTICLE 4 – HOURS OF WORK, OVERTIME EXEMPT, CALLBACK, AND STANDBY

4.1 Work week: The normally scheduled workweek for employees shall be the equivalent of forty (40) hours per week consisting of five (5) consecutive work shifts of eight (8) consecutive hours of work followed by two (2) consecutive days off ([5/8 schedule](#)). [Upon approval by the Police Chief, individual employee\(s\) may request to be assigned to work four \(4\) consecutive work shifts of ten \(10\) consecutive hours of work followed by three \(3\) consecutive days off \(4/10 schedule\). Change back to a 5/8 schedule requires a 14-day written notice to the individual employee.](#)

4.2 Core Work Hours: The core work hours are standard daytime business hours occurring Monday through Friday. It is recognized that employees are allowed flexibility to adjust their core work hours on a case-by-case basis, provided the employee receives approval before adjusting their schedule. The supervisor will not unreasonably restrict core work hours flexibility.

4.3 Swing Shift Assignment: Employees will bid for swing shift assignments in accordance with Annual Shift Changes in section 4.07. Employee's preference for swing shift be taken into consideration, when the supervisor makes the assignment.

4.4 Regular Days Off: Employees shall not be regularly scheduled to work their regular days off. Due to unforeseen situations, events, or emergencies, Employees may work on their day off as required.

4.5 Special Event Coverage: Employees may adjust their normal work schedule for planned special events occurring on their days off. When adjusting to or from a normal weekly work schedule to a weekend weekly work schedule, the adjustment shall occur within the same pay period.

4.6 Modification of Normal Work Schedules: The parties agree to consider modification to the normal work schedule for employees when there is a demonstrated need. Absent an emergency, the Employer shall provide notice of at least fifteen (15) calendar days prior to schedule modification. Schedule modifications outside of these parameters can be made by mutual agreement.

4.7 Annual Shift Change: The yearly schedule for employees shall be determined and published by the Employer each year by October 1st for the following calendar year.

4.8 Shift Exchanges: Employees are permitted to exchange shifts with supervisor approval.

4.9 Overtime Exemption: Employees are considered overtime exempt managerial employees, and as such, shall be paid salary (calculated from an hourly rate based on the standard forty (40) hour workweek). Employees are not entitled to overtime compensation under either the Fair Labor Standards Act or Washington Minimum Wage Act.

4.10 On-Call: Employees assigned the "on-call" rotation will be expected to respond and report within forty-five (45) minutes, barring unforeseen circumstances that cause a delay.

The “on-call” assigned employee shall attend to duties that arise during their assignment that are outside of their normal working hours. The rotation schedule for each on-call assignment shall be no greater than seven (7) continuous days per on-call assignment. Each on-call rotation is not to occur more than once every five (5) weeks, provided staff are available to cover all assignments. If there is not enough staff to cover, employees can volunteer to cover. If no volunteers exist, supervisor will assign employees to provide the coverage, which may occur more frequently than once every five (5) weeks.

Every effort will be made to assign employees the on-call rotation for only two (2) holidays per year. Employees may have to work an additional on-call rotation due to staffing shortages.

The Employer shall establish and publish the on-call schedule/calendar to employees by November 15th of each year

4.11 Light Duty: The Job Classification for employees defines these positions as eligible for “light duty” assignments. Light duty requests shall be immediately granted in accordance with policies set forth by the Department and City.

4.12 Compensatory Time: When an Employee moves from a non-exempt status to the exempt Lieutenant status, any compensatory time will be cashed out at the Employee’s non-exempt hourly rate of pay, and will no longer be eligible to earn compensatory time.

4.13 Holiday Bank: When an Employee moves from a different Union and has a holiday bank, the holiday bank will be cashed out at the Employee’s rate of pay prior to movement into the Lieutenant status position.

ARTICLE 5 – SENIORITY

5.1 Seniority Definitions:

5.1.1 “Department Seniority” means the length of an employee's most recent Continuous Employment in the Department measured from the employee's first compensated day of employment in the Department as a commissioned officer.

5.1.2 “Seniority in Classification” means the length of an employee's most recent Continuous Employment in a classification in the Department (which shall include service in any higher classification as provided in this Article) measured from the first date of employment in that classification or a higher classification in the Department.

5.1.3 “Continuous Employment” means a continuous period of employment in the Department that is unbroken by resignation, discharge or retirement. Leaves of absence, or military leaves shall not break Continuous Employment. Layoffs and reductions in classification pursuant to Article 6 shall not break Continuous Employment until the expiration of the period during which the employee has a right to be offered reemployment or promotion pursuant to Section 6.5 of this Agreement. Upon a break in Continuous Employment an employee shall lose all seniority.

5.1.4 “Order” means the order of Department Seniority or Seniority in Classification arranged from the longest seniority to the shortest. If more than one employee is hired or promoted on the same date, the Order of seniority shall be determined by using the following criteria:

- A. The Order of Department Seniority for employees hired on the same date shall be determined by the order (from the highest to lowest) of each employee's unrounded score on the exam for the position held by each employee, respectively. In the event of equal scores, the Order shall be determined by a random means, which once determined, shall thereafter be established for all purposes.
- B. The Order of Seniority in Classification for employees promoted on the same date shall be determined by the order (from the highest to the lowest) of each employee's unrounded score on the applicable promotional exam. In the event of equal unrounded scores, the Order of Seniority in Classification shall be determined by the Order of each employee's Department Seniority.

5.1.5 "Department" means the City of Redmond Police Department.

5.2 Seniority List: The Employer shall maintain and post, at least annually, a current seniority list reflecting the Order of Department Seniority and Seniority in Classification. These lists, appropriately updated to reflect any new hires, promotions, terminations or other changes, shall be used whenever action based upon seniority is called for by this Agreement, and in such other cases as may be agreed by the Employer and the Union.

5.3 Seniority While on Leave: During the period an employee is on a leave of absence, layoff status, or military leave longer than thirty (30) consecutive days, seniority shall not accrue except as required by any applicable statutory or regulatory provisions, including RCW 38.40.060 and RCW 73.16.031 - .061 and any amendments thereto. Upon returning to work after such layoff or leave, an employee shall be granted the level of seniority accrued as of the last day prior to such leave or layoff.

ARTICLE 6 – PERSONNEL REDUCTION

6.1 Personnel Reduction: In the event of a personnel reduction, for whatever reason, the Employer and Union agree to follow the process and procedure contained in this Article. Employees shall be laid-off in reverse order of seniority in classification. The steps for a personnel reduction shall be as follows:

Step 1

Designation Notice: The Employer will designate the number of employees in each classification to be laid-off by notice to the Union and by posting in the Department. The Designation Notice shall specify an effective date for the personnel reduction, which shall not be earlier than ninety (90) days from the date of the Designation Notice.

Step 2

Volunteers: For a period of thirty (30) days after the Designation Notice employees in the classifications affected by the personnel reduction shall have the opportunity to voluntarily accept layoff, or bump to a reduction to a lower classification as provided herein, as of the Effective Date, without regard to their seniority rights. Volunteers shall be accepted on a first-come, first-serve basis. The number of volunteers shall be limited by the number of employees in each classification subject to the personnel reduction as specified in the Designation Notice.

Step 3

Personnel Reduction Notice: Within forty (40) days after the Designation Notice, the Employer shall deliver to the Union and post the Personnel Reduction Notice, which shall list:

- a. The layoffs and reductions in classification which will result upon implementation of the personnel reduction and the voluntary layoffs and reductions in classification;
- b. The order of all employees affected by the layoffs and reductions in classification; and
- c. The order of all employees not affected by the layoffs and reductions in classification.

Step 4

Personnel Reduction Change: At any time after the Designation Notice is issued, the Employer may reduce the number of employees to be laid-off by providing notice to the Union, provided however, the reduction shall not affect the time periods specified in this Article which shall continue to be measured from the Designation Notice. The Employer shall have the right to delay the Effective Date of the personnel reduction for up to sixty (60) days after the date specified in the Designation Notice.

6.2 **Personnel Reduction Challenge:** Any employee who believes that the Personnel Reduction Notice improperly reflects the intent of this Agreement shall provide a written challenge to the Employer and Union within ten (10) days after the notice is posted. The written challenge shall describe the basis of the employee's position, and the employee's interpretation of the proper application of this Agreement, including the identity of employees who would be affected by the different interpretation. The Employer and the Union will review the issues with all employees who would be affected.

If the Employer and the Union cannot resolve the issues raised within thirty (30) days after the Personnel Reduction Notice is posted, both parties agree to submit the issue to binding arbitration on an expedited basis before a single arbitrator which the parties agree to select provided that the arbitrator must be available for a hearing and decision within sixty (60) days after the Personnel Reduction Notice is posted. The arbitrator so selected shall hold a hearing and render a decision based on the interpretation and application of the provisions of this Agreement within thirty (30) days after the arbitrator's selection. All employees whose layoff or reduction in classification status might be affected by the results of the arbitration, including the possibility of being subject to layoff or reduction in classification although the employee was not included in the list of layoffs and reductions in classification in the Personnel Reduction Notice, shall have the right to appear and present their position to the arbitrator.

For all issues related to the application and interpretation of this Section the arbitration process in this Section shall supersede the grievance arbitration process as provided in Article 12. The agreement by the Union, and/or ruling by the arbitrator pursuant to this Section shall be binding on all employees, provided that any employee who was not designated for layoff by the Personnel Reduction Notice, but who becomes subject to layoff as a result of an agreement by the Union or the arbitrator's ruling, shall not be laid-off until Employer has provided the employee with at least thirty (30) days written notice of layoff.

6.3 Bumping Rights: Employees bumped back to a lower classification shall be eligible for vacancies in the previously held higher classification, or any lower classification, by Order of Seniority in Classification in that higher classification. Employees who are laid-off pursuant to Step 1 or 2 above shall be eligible to fill vacancies in that previously held classification, or any lower classification, by Order of Seniority in Classification in that classification, during the Re-Employment Eligibility Period as defined below. All employees laid-off, or volunteering to be laid-off pursuant to Step 2 above, shall be eligible to fill Police Officer vacancies, by Order of Department Seniority, during the Re-Employment Eligibility Period. In all cases, the eligible employee with the highest Seniority in Classification shall be entitled to the opening, provided that such eligible employee must be a "Qualified Employee", which for the purposes of this Section shall be defined as an individual who:

- a. meets the then current employment standards, and
- b. if the Re-Employment Offer is more than twenty-four (24) months after the Effective Date.

6.4 Probation Status: Any employee re-employed or promoted pursuant to this Section who was on probation as of the Effective Date shall complete the probation period upon re-employment or promotion, without any credit for the period between the Effective Date and the first date of re-employment or promotion pursuant to this Section.

6.5 Re-Employment Eligibility Period: This is the five (5) year period which commences on the Effective Date. Employees offered re-employment pursuant to this Section more than twenty-four (24) months after the Effective Date shall be required to satisfactorily complete appropriate retraining. Employees who fail to satisfactorily complete the retraining shall be subject to termination. The employee and Union shall have the right to grieve whether the retraining was satisfactorily completed, but shall not have the right to grieve whether the retraining or employment standards are appropriate.

When the Employer desires to fill a position for which an individual is entitled to re-employment if the individual is a Qualified Employee, or promotion, pursuant to this Section, the Employer shall send an offer of re-employment letter (subject to a subsequent determination that the employee is a Qualified Employee) or promotion, as the case may be, (the "Re-Employment Offer") via certified mail, return receipt requested, to the eligible employee at the employee's last known address.

If the employee fails to respond within fifteen (15) days after mailing of the offer, or rejects the offer, the employee shall have no further right to re-employment or promotion pursuant to this Section.

For the purposes of this Article, a former employee's last known address shall be the address appearing on the Employer's records and may be changed by the former employee only by providing the Employer with notice of a new address by certified mail, return receipt requested.

ARTICLE 7 – HOLIDAYS

7.1 Holidays Observed: Employees working a standard work week Monday through Friday shall observe the holidays listed below on the date specified, or if the holiday falls on an employee's regularly scheduled day off, the holiday will be observed on the work day which immediately precedes or follows the holiday, as established by the Employer. Employees working a shift schedule other than the standard work week shall observe the

holidays listed below on the date specified. The following days shall be considered holidays for all employees covered by this Agreement:

Holiday	Date to be Observed
New Year's Day	January 1
Martin Luther King Jr. Day	3rd Monday in January
President's Day	3rd Monday in February
Memorial Day	Last Monday in May
Juneteenth	June 19
Independence Day	July 4
Labor Day	1st Monday in September
Veterans' Day	November 11
Thanksgiving Day	4th Thursday in November
Day After Thanksgiving	4th Friday in November
Christmas Eve	December 24
Christmas Day	December 25
One floating holiday	

7.2 Floating Holiday: Taken on a date mutually agreed by the employee and the Employer. Employees are not eligible for the Floating Holiday until the completion of six (6) months continuous service. Floating Holiday hours cannot be carried over and may not be paid out at the end of the calendar year or at time of separation.

7.3 Holiday on a Regular Day Off: Although Lieutenants are exempt status employees, in recognition of the inconvenience of being called to work from off-duty status on a City holiday, employee shall receive floating holiday hours on an hour-by-hour basis, up to a maximum of eight (8) hours per year. At the Police Chief's discretion, the Police Chief may authorize the employee to bank additional hours above the eight (8) hour maximum for working a holiday.

Hours shall be added to their floating holiday bank. Floating holiday hours added for Christmas Eve and/or Christmas Day holidays may be carried over to the following year.

ARTICLE 8 – LEAVES

8.1 Vacation Leave

8.1.1 Scheduling of Vacation Leave: Employees may request and use vacation leave of up to the number of hours accrued at the time of the desired vacation date, subject to the approval of the Chief at the Chief's sole discretion which will not be unreasonably withheld. Seniority shall be considered in accordance with departmental procedures when scheduling vacations. Requests for vacation and/or professional leave shall be approved or denied within five (5) working days. Scheduled vacation leave that was approved prior to an employee's appointment into the bargaining unit will be honored.

Vacation scheduling for each calendar year (January 1st through December 31st) shall be administered in accordance with seniority and shall be completed no later than November 1st of the preceding year. Thereafter vacation requests shall be administered on a "first come, first served" basis.

8.1.2 Maximum Vacation Leave: Vacation leave accumulation shall be limited to three hundred sixty (360) hours of accrual at any time. Any portion above three hundred sixty (360) hours of unused vacation leave shall be forfeited. Unless the reason for not taking such vacation leave is at management's direction, as under emergency conditions.

8.1.3 Vacation Accruals: Each full-time employee shall earn vacation leave time each month according to length of service, with the total vacation accrual to be as noted in the following schedule:

Length of Continuous Service	Annual Vacation Hours Accrued
1st-2nd year	96
3rd year	104
4th year	112
5th year	128
7th year	136
9th year	144
11th year	152
13th year	160
15th year	168
17th year	176
20th year	184

Vacation accrual rates change on the first pay period following the employee's next year of service. For example, if an employee's hire date is June 20, 2016, the employee

starts their 3rd year on June 20, 2018, with the accrual increase starting on the first pay period of July 2018. Employees shall receive additional vacation accruals equal to City Policy, if City Policy grants more than the CBA.

8.1.4 Payment for Vacation Leave at Separation: Upon separation of employment, regular permanent employees shall receive a lump sum payment equal to one hundred percent (100%) of unused vacation leave.

When an employee voluntarily resigns their employment, the last day worked is considered the last day on the City's payroll, which normally may not be extended by vacations.

8.1.5 Scheduled to Work During Vacation: Employees who are called-in to work while on their scheduled vacation shall be placed on regular pay status and compensated for a full day's pay, regardless of the time spent working. In addition, they shall have the vacation day restored which was lost due to being called into work.

8.1.6 Use for Emergency: In the event of a bona fide family emergency at the Chief's discretion, the Chief or their designee may permit an employee to take time off with vacation leave applied as compensation.

8.2 Sick Leave: Please refer to 9.30 Sick Leave in the Personnel Manual. Scheduled sick leave that was approved prior to an employee's appointment into the bargaining unit will be honored.

8.3 Sick Leave Bonus Deposited into HRA VEBA Account: Employees are eligible for a sick leave bonus as set forth in Article 9.30 Sick Leave in the Personnel Manual. Effective January 1, 2020 one hundred percent (100%) of any sick leave bonus an employee is entitled to under the Personnel Manual shall be deposited into that employee's Health Reimbursement Arrangement (HRA) Voluntary Employees' Beneficiary Association (VEBA) account.

The City makes no representations regarding the tax consequences to any employee/Union member of their HRA VEBA contributions. The Union and its membership waive any and all claims against the City arising out of adverse tax consequences due to an employee's HRA VEBA contributions.

8.4 Professional Leave: In recognition of hours worked by exempt employees beyond the standard workweek, exempt employees are granted forty-eight (48) hours of professional leave each calendar year. Professional leave is prorated for exempt part-time employees and for exempt employees who start mid-year.

- a. Professional leave is intended to be used for occasional paid days off without reducing an employee's accrued vacation.
- b. Use of professional leave is approved by an individual's supervisor.
- c. Professional leave may not be used to substitute for sick leave unless all sick leave has been used.
- d. Any professional leave not used during a calendar year expires on December 31st each year.
- e. Unused professional leave is not compensated on separation.

8.5 Bereavement Leave: Employees shall receive up to forty (40) hours of leave, in the event of death or serious illness with impending death in the immediate family. Immediate family is defined as parent, step-parent, sister, brother, spouse, registered domestic partner, grandparent, grandchild, minor/dependent/foster child, child, and step-child or corresponding relative of their spouse, significant other, or domestic partner. Any time beyond this amount required because of travel or extenuating circumstances or for time requested for a person other than specified in this paragraph shall be at the discretion of the Employer; however, any additional such time allowed off shall be deducted from accumulated vacation or professional leave.

8.6 Unpaid Leave: Unpaid leaves of absence may be granted to an employee for a period of not to exceed one (1) year by the Department Head and subject to the approval of the Mayor when it has been determined to be in the interest and to the welfare and convenience of the Employer and adequate provision can be made for replacement of the employee during the employee's absence. To obtain a leave of absence, an employee must make a request submitting the reasons for requesting the leave of absence, the length of time requested and the expected return date. No leave of absence without pay shall be granted until all accrued and unused vacation has been utilized by the employee. Unpaid leaves of absence time shall not affect civil service and seniority status of the employee.

8.7 Shared Leave: The Shared Leave Program enables regular full-time employees to donate annual vacation leave to fellow regular full-time employees who are faced with taking leave without pay or termination due to extraordinary or severe physical or mental illnesses. The program also allows employees to accept donated annual vacation leave to care for relatives or household members suffering from an extraordinary or severe illness if the duration of the illness will cause the employee to take leave without pay or to terminate employment. Implementation of the program for any individual employee is subject to agreement by the Employer, and the availability of shared leave from other employees. The Employer's decisions in implementing and administering the shared leave program shall be reasonable. Provisions not addressed in 8.07 shall be administered pursuant to the Personnel Manual 9.140.

8.7.1 Definitions: The following definitions shall apply to this provision.

- a. "Employee's relative": Shall mean the employee's spouse, Domestic Partner, child, step child, child of Domestic Partner, grandchild, grandparent, step parent, or parent.
- b. "Household members": Shall mean persons who reside in the same home who have reciprocal duties to, and provide financial support for, one another. This term shall include foster children and legal wards, even if they do not live in the household. The term does not include persons sharing the same general house, when the living style is primarily that of a dormitory or commune.
- c. "Severe or extraordinary": Shall mean serious, extreme, or life threatening conditions.

8.7.2 Donation Restrictions: The following restrictions shall apply to all shared leave transactions:

- a. Employees may donate vacation leave available in their leave bank, provided the donation does not cause the employee's annual vacation

leave balance to fall below forty (40) hours.

- b. The Employer shall determine whether an eligible employee shall receive shared leave and, if so, the amount of donated leave the employee may receive; provided, no employee shall receive more than two thousand eighty-eight (2,088) hours of shared leave during total City employment.

8.7.3 Eligibility: Employees may be eligible to receive shared leave under the following conditions:

- a. When the Employer determines the employee meets the criteria described in this policy.
- b. The employee is not eligible for time-loss compensation under RCW Chapter 51.32. If the time-loss claim is approved at a later time, all leave received shall be returned to the donors, and the employee shall return any overpayment to the department.
- c. The employee has complied with department policies regarding the use of sick leave.
- d. If the donated leave is from a different City agency, it shall be transferable only by agreement of both agency heads.
- e. The Employer may require the employee to submit, prior to approval or disapproval, a medical certificate from a licensed physician or health care practitioner verifying the severe or extraordinary nature and expected duration of the condition.

8.7.4 Recipient Responsibilities

- a. Donated leave shall be used only by the recipient for the purposes specified in this policy.
- b. All other forms of available paid leave shall be used prior to applying to the Shared Leave Program, provided that the employee may reserve up to forty (40) hours of sick leave and forty (40) hours of vacation leave.

8.7.5 Return of Shared Leave: Shared leave not used by the recipient shall be returned to the donor(s). Returned leave shall be:

- a. Divided among the donors on a pro-rated basis, computed on the original donated value;
- b. Returned at its original donor value; and
- c. Reinstated to each contributor's annual vacation leave balance.

8.7.6 Calculation of Shared Leave: The receiving employee shall be paid at their regular rate of pay: therefore, depending on the value of the shared leave, one (1) hour of leave may cover more or less than one (1) hour of recipient's salary. The dollar value of the leave shall be converted from the donor to the recipient. The leave received shall be coded as shared leave and be maintained separately from all other leave balances.

8.7.7 Voluntary Participation: Participation in the Shared Leave Program is voluntary. No employee shall be coerced, threatened, intimidated, or financially induced into donating annual leave for purposes of this program.

8.8 Testimony Leave: Employees subpoenaed and required to testify in criminal cases on behalf of their former law enforcement employer shall receive up to a maximum total of forty (40) hours of paid leave, on a cumulative basis for all such cases, as necessary to testify. Paid leave in excess of forty (40) hours for any one employee to testify in criminal matters for the employee's former employer, or to testify for a former employer in a civil matter, may be granted in the discretion of the Chief or the Chief's designee. All fees or compensation received by the Employee in relation to such testimony shall be accounted for by the employee and paid to the City.

8.9 Jury Duty: See Personnel Manual (9.100)

ARTICLE 9 – PERFORMANCE AND DISCIPLINE

9.1 Performance of Duty: All employees covered by this Agreement shall present themselves on time for their duty schedules in proper working uniform and ready to perform their assigned duties.

9.2 Probationary Period: Probationary Period shall be governed by Rule 8 of the Redmond Civil Service Rules and Regulations.

9.3 Civil Service; Discipline and Discharge: Any conflict between the provisions of this Agreement and the City of Redmond Civil Service Rules and Regulations shall be resolved as follows:

To the extent the labor agreement does not address a matter (i.e., discipline, seniority, layoffs, etc.) and Civil Service does, then Civil Service shall prevail; and

To the extent the labor agreement addresses a matter (i.e., discipline, seniority, layoffs, etc.) and Civil Service also does so, the labor agreement shall prevail. The Employer and Union otherwise retain their statutory rights to bargain changes in Civil Service Rules and Regulations (i.e., changes initiated after the effective date of this Agreement) for employees in the bargaining unit. Upon receiving notice of such proposed change(s) from the Civil Service Commission, either party may submit a written request to the Mayor (within sixty (60) calendar days after receipt of such notice) or the result of such bargaining shall be made a part of this Agreement.

All demotion, suspension or discharge actions of a non-probationary nature (i.e., after the probationary period) shall be taken only for just cause, and shall be subject to review solely through the grievance procedure contained in this Agreement, provided that, if the Union elects to not submit a demand for arbitration pursuant to the grievance procedure, thereby waiving the right to arbitration, the employee shall have the right to review of the action by the Civil Service Commission, as provided in the Civil Service Rules and Regulations, which shall then apply the substantive and procedural rights as provided in the Civil Service Rules and Regulations. The parties further agree that all decisions relating to the accommodation of a disability are excluded from civil service review provided that such decisions shall be subject to the grievance procedure of this Agreement to the extent such decision is governed by this Agreement.

9.4 Discipline: No regular employee shall be disciplined except for just cause. The City will employ the concept of progressive discipline. The City's policy is that discipline is corrective rather than punitive in nature.

9.5 Personnel Records Retention: Records of disciplinary action shall be retained in an employee's personnel file for the minimum amount of time required by law. After the minimum lawful time has elapsed, the employer shall remove and destroy applicable records upon request of the employee. Provided the employee has not had any other similar disciplinary action

9.6 Personnel Files: The City Human Resources Department will retain the permanent personnel file. The Police Department shall maintain only one official personnel file for each employee. Employees shall have access to all files with reasonable frequency. Any document that goes into the personnel file will be known by the employee.

The City shall notify employees when a Public Disclosure Request is made regarding a specific employee's information. A request for general department or general employee information are excluded.

Employees shall have the right to provide a written response to any written evaluation or disciplinary actions to be included in the personal file, which, together with the action, will be retained with the action in the personnel file.

This provision shall not circumvent the law.

ARTICLE 10 – UNIFORMS AND CLEANING ALLOWANCE

10.1 Uniform: The employer shall continue to provide each employee with all authorized and required uniforms and equipment. Any changes to the authorized and required uniforms and equipment shall be by mutual agreement through the labor management process.

10.2 Loss and Destruction: Employees shall be held accountable for all protective clothing or protective devices assigned to the employee by the Employer. Loss or destruction of items of clothing or protective devices shall be replaced by the Employer where said loss was incurred as a direct result of the performance of the employee while on the job or as the result of an occurrence not due to the employee's intentional act or negligence. Accountable items of clothing or protective devices assigned to an employee which are lost or mutilated as a direct result of the employee's negligence shall be replaced by the employee.

10.3 Property of Employer: All uniforms and equipment issued by the Employer to each employee shall remain the property of the Employer. ~~If the Employer requires an employee to carry a Cell Phone while off-duty, the Employer shall provide the employee with the option of driving a City vehicle to and from the employee's residence.~~ The Employer shall be free to adopt regulations governing the use of ~~the vehicle while the employee is off-duty~~ all city issued uniforms, vehicles, and equipment.

10.4 Cleaning: Uniforms and non-Uniform workwear shall be cleaned at one hundred percent (100%) of the necessary cost, by a cleaning service provided by the Employer.

ARTICLE 11 – BENEFITS

11.1 Health Insurance: Employer shall provide medical, dental and vision insurance through the City of Redmond Self Insurance Plan or Health Maintenance Organization (HMO). Employees shall pay twenty percent (20%) of the cost of self-insurance premiums for dependent coverage. Premium contributions for part-time employees shall be pro-rated based on the City's contribution to full-time employee and dependent premiums.

11.2 Employee Benefits Advisory Committee (EBAC): The Bargaining Unit will participate on the Employee Benefits Advisory Committee (EBAC) in accordance with the Personnel Manual. Recommended changes may become applicable to Bargaining Unit represented employees only upon ratification by the Bargaining Unit. The City will provide the Union with prior notice if there is any proposed changes to the premium share costs that affects the members of this agreement.

11.3 Life Insurance: The Employer shall continue to pay one hundred percent (100%) of the premiums necessary to provide all employees with Fifty Thousand Dollars (\$50,000) of term life insurance and Fifty Thousand Dollars (\$50,000) coverage for accidental death and dismemberment.

11.4 Liability Insurance: The Employer agrees to carry liability insurance coverage for Bargaining Unit employees' liability arising from performance of their duties. It is agreed that the scope of coverage, exclusions and policy limits of such insurance may change without the Union's agreement, based on the available insurance and the Employer's assessment of appropriate levels of coverage.

11.5 Municipal Employees Benefit Trust (MEBT): All employees shall be eligible to participate in the Redmond MEBT fund, unless the City is required to participate in the Federal Social Security System.

11.6 Short-Term Disability Insurance: Please refer to Human Resources' Benefits Administrator for Short Term Disability insurance language. The City will provide the Union with prior notice if there are any changes to the current benefit levels that affects the members of this agreement.

11.7 Retirees' Welfare Trust: On behalf of the employees, the City agrees to coordinate payroll deductions and make Employee-funded contributions to the Teamsters' Retiree's Welfare Trust, which will be administered by Northwest Administrators, Inc. The Union and the City have agreed the funds will be deducted from the Employee's pay check each pay period and contributed to the Retiree's Welfare Trust. These deductions in pay are authorized by this Agreement and no further action is needed by the Employees to authorize the deduction set forth herein. These deductions in pay shall continue for the term of the Agreement or until the City receives written notice from the Union regarding either a change in the contribution amount to Retiree's Welfare Trust or the dissolution of the Retiree's Welfare Trust.

The City agrees to deduct \$94.85 per month through payroll deduction of each employee covered by this Agreement and contribute to the Retiree's Welfare Trust for the RWT-Plus plan for employees who were compensated for 80 hours or more during the preceding month.

The City makes no representations regarding the validity or legality of the Retiree's Welfare Trust, or the tax consequences relating to the contributions to the Retiree's Welfare Trust, and takes no responsibility for establishing, implementing, overseeing, managing, or any other

responsibilities for the Retiree's Welfare Trust, other than making the contributions set forth above. The City will not have fiscal responsibility nor legal accountability for the Retirees' Welfare Trust.

11.8 Benefit Changes: The City understands and agrees to negotiate any benefit changes that require bargaining.

11.9 Department Vehicle: The Employer shall provide each employee the use of a take-home department vehicle to facilitate the essential function of responding to critical incidents on a 24/7 basis to assume command as necessary. The vehicle shall be unmarked and officially equipped with emergency lights and siren in compliance with R.C.W. 46.37. Collisions resulting from the authorized use of a City vehicle by an employee while responding to an official call of duty will be considered "on duty" for the purposes of L&I and state collision reports. Employees are required to follow the City's policy and vehicle use requirements.

11.10 Liability Insurance: The Employer shall continue to provide the insurance protection against potential liability actions resulting from an employee's performance of duty, provided employee's actions are not unlawful.

11.11 Western Conference of Teamsters Pension: [The City and the Union agree to re-open negotiations during the term of this Agreement upon request by the Union for the purpose of negotiating procedures and policies for employees covered by this Agreement to participate in the Western Conference of Teamsters Pension Trust Plan \(Plan\). The parties understand and agree that the Union will conduct a membership vote to determine whether the membership will participate in the Plan, and that if a majority of members vote in favor of participation, all members must participate. The city agrees to divert from the wages of the members to the Plan's Trust payment on the account of the members as directed by the Union and/or the Plan's Trust.](#)

ARTICLE 12 – GRIEVANCE PROCEDURE

12.1 Definition and Procedure: A grievance shall be defined as an issue raised relating to the interpretation, application or violation of any terms or provisions of this Agreement.

12.1.1 An employee and/or the Union, within fourteen (14) calendar days from the occurrence or knowledge of the occurrence of an alleged grievance or when the employee and/or Union should reasonably have known of the existence of the grievance may bring said grievance to the attention of the Chief in writing, setting forth the nature of the grievance, the facts and/or documents on which it is based, the provision or provisions of the Agreement allegedly violated and the relief requested.

12.1.2 The Chief or the Chief's designee shall respond in writing to the alleged grievance within fourteen (14) calendar days. If the Chief's response does not resolve the grievance, the Union shall, within fourteen (14) calendar days after the date of the Chief's response, submit the grievance to the Mayor in writing for adjustment. Upon failure of the Mayor to resolve the alleged grievance within the following fourteen (14) calendar day period, the Union shall then be permitted the right to submit a written demand for arbitration to the Employer, within twenty-eight (28) calendar days.

12.1.3 If the grievance is not settled satisfactorily by the Mayor, the Union and the Employer may mutually agree to submit the grievance to mediation. Within fourteen (14) calendar days the two (2) parties shall agree upon a mediator drawn from a panel of neutral mediators trained in grievance mediation. The mediator will attempt to assure that all necessary facts and considerations are disclosed, but will not have authority to compel resolution of the grievance. The parties will not be limited [in mediation](#) solely to the facts and arguments presented at earlier steps of the grievance procedure. No transcript or record of the mediation conference will be made, nor will formal rules of evidence be followed. If no settlement is reached in mediation, the grievance may be appealed to arbitration in accordance with the procedure in Subsection 12.1.4 below. In this case, the mediator may not serve as arbitrator, nor may any party reference the fact that a mediation conference was held or not held. Nothing said or done by the mediator or any party in the process of the mediation or settlement discussions may be referenced or introduced into evidence at the arbitration hearing. The cost of the mediator shall be borne equally by both parties.

12.1.4 The Employer and the Union shall immediately thereafter select an arbitrator to hear the [grievance in accordance with SB 5055 and according to the procedure described in dispute](#). If the Employer and the Union are not able to agree upon an arbitrator within [five fourteen \(14\)](#) calendar days after receipt by the Employer of the demand for arbitration, the Union and/or Employer may request a list of seven (7) arbitrators from the Federal Mediation and Conciliation Service or other referral service as agreed by the parties. After receipt of the same the parties shall alternately strike the names of the arbitrators until only one (1) name remains, who shall, upon hearing the dispute, render a decision which shall be final and binding upon all parties. The arbitrator's decision may not provide for retroactivity beyond one hundred eighty (180) days prior to the filing of the grievance.

12.1.5 In the event one of the parties is unable to meet the time deadlines set forth above, the other party shall grant an extension for good cause shown.

12.1.6 Nothing herein shall prevent an employee from seeking assistance from the Union or the Union from furnishing such assistance to any stage of the grievance procedure.

12.1.7 The expenses of the arbitrator, the cost of any hearing room and the cost of shorthand reporter, unless such are paid by the State of Washington, shall be borne by the losing party. The arbitrator shall designate the losing party in the arbitration decision. Each party shall be completely responsible for bearing all costs of preparing and presenting its own case, including compensating its own attorneys and witnesses. This agreed allocation of costs is intended to supersede any statutory provision assessing attorneys' fees against a party so long as the City does not appeal an arbitration decision. If the City appeals an arbitration decision, this section shall be null and void as to the grievance giving rise to the arbitration decision from the date the grievance was originally filed, and this section shall not supersede any statutory provision assessing attorneys' fees against the City. [The arbitrator shall not have the power to add to, subtract from, or modify the provisions of this Agreement in arriving at a decision of the issue or issues presented; and shall confine his/her decision solely to the interpretation, application, or enforcement of this Agreement. The arbitrator shall confine himself/herself/herself to the precisely written issue\(s\) submitted to him/her/them](#)

for arbitration and shall not have the authority to determine any other issue(s) not so submitted to him/her/them.

12.1.8 Union business conducted by a representative of the Union and aggrieved employee under this Section may be performed during duty hours consistent with the requirements of Section 3.1.

12.1.9 Arbitration Election of Remedies: It is specifically and expressly understood and agreed that taking a grievance appeal to arbitration constitutes an election of remedies and a waiver of any and all rights by the Union to litigate or otherwise contest the appealed subject matter in any court or other available forum. Likewise, litigation or other contest of the subject matter of the grievance in any court or other available forum shall constitute an election of remedies and a waiver of the right to arbitrate the matter. The Union does not have a right to bypass the arbitration provisions of this Agreement and resort to litigation or any other forum to appeal a grievance based on rights under this Agreement.

ARTICLE 13 – PERFORMANCE OF DUTY, STRIKES, AND LOCKOUTS

13.1 No Right to Strike: Nothing in this Agreement shall be construed to give an employee the right to strike, and no employee shall strike or refuse to perform assigned duties to the best of their ability. The Union agrees that it will not condone or cause any strike, slowdown, mass sick call, or any other form of work stoppage or interference with the normal operation of the Police Department or of the City.

13.2 No Lockouts: The City agrees that there shall be no lockouts.

13.3 Resolution; Expedited Arbitration: If a party is alleged to have violated this Article, the Parties agree to submit the alleged violation of this Article to expedited binding arbitration.

ARTICLE 14 – SCOPE OF BARGAINING

14.1 Entire Agreement: No Oral Modifications. The Agreement expressed herein in writing constitutes the entire agreement between the Parties and no oral statement shall add to or supersede any of its provisions unless mutually agreed by the Employer and Union in writing.

14.2 Opportunity to Bargain: The Parties acknowledge that each has had the unlimited right and opportunity to make demands and proposals with respect to any matter deemed a proper subject for collective bargaining. The results of the exercise of that right are set forth in this Agreement.

14.3 Non-Reduction of Wages and Working Conditions: The parties agree that the wages and working conditions in effect and now being paid to and enjoyed by the members of the Union shall be those ratified by both parties' signatory to this agreement and shall not be reduced in view of the provisions of this Agreement.

14.4 Conflict of Contract and Personnel Policies: The intent of the parties is that this agreement and all working agreements shall be consistent with the personnel policies. Except as otherwise provided, where it is found that the provisions of such an agreement conflict with the personnel policies, the provision of this Agreement shall apply. The City will

notify the Union of any proposed changes to City or Department policies that impact wages, benefits, hours or work and/or working conditions. The City shall discharge all bargaining obligations prior to making the change.

ARTICLE 15 – LEGALITY

Should any provision of this Agreement or the application of such provision be rendered or declared invalid by a Court of final jurisdiction or by reason of any existing or subsequently enacted legislation, the remaining parts or portions of this Agreement shall remain in full force and effect. If any court or board of competent jurisdiction finds any Article, Section, or portion of this Agreement to be unlawful or invalid, the remainder of the Agreement will remain in full force and effect. If such a finding is made, the parties agree to negotiate a substitute for the invalid Article, Section, or portion.

ARTICLE 16 – DURATION AND REOPENERS

This Agreement shall be effective January 1, 20~~22~~²⁴19, and shall remain in full force and effect through December 31, 202~~5~~⁴54, with contract amendments to be effective from date of signing, unless otherwise agreed.

CITY OF REDMOND, WA

**TEAMSTERS LOCAL UNION
NO. 117, IBT**

ANGELA BIRNEY
Mayor

JOHN SCEARCY
Secretary-Treasurer

Date

Date

ATTEST:

CHERYL XANTHOS
City Clerk

Date

APPENDIX A

PAY RATES

A.1 2022 Pay Plan. Effective January 1, 2022, Steps A and B of the 2021 Pay Plan will be eliminated and the remaining pay steps and individual rates of pay for employees shall be increased by 6.3%.

2022 PAY PLAN "T" - TEAMSTERS - POLICE LIEUTENANTS						
Ordinance No.						
Effective January 1, 2022						
<u>Grade</u>	<u>FLSA</u>	<u>Position Title</u>	<u>Step</u>	<u>Duration</u>	<u>Monthly</u>	<u>Annually</u>
<u>T01</u>	<u>E</u>	<u>Lieutenant</u>				
			<u>A</u>	<u>0-12m</u>	<u>\$13,099-\$12,323</u>	<u>\$157,188-\$147,876</u>
			<u>B</u>	<u>13-24m</u>	<u>\$13,558-\$12,754</u>	<u>\$162,696-\$153,048</u>
			<u>C</u>	<u>25+m</u>	<u>\$14,020-\$13,189</u>	<u>\$168,240-\$158,268</u>

~~A.1 Amended 2019 Pay Plan. Effective September 1, 2019, the maximum salary and midpoint salary of the "T" pay plan will be increased by 4.6% due to a market adjustment; current members will receive the same increase effective retroactive to September 1, 2019.~~

2019 PAY PLAN "T" - TEAMSTERS - POLICE LIEUTENANTS								
Ordinance No. 2992								
Amended Effective September 1, 2019								
					Monthly		Annually	
<u>Grade</u>	<u>Position Title</u>	<u>Minimum</u>	<u>Midpoint</u>	<u>Maximum</u>	-	<u>Minimum</u>	<u>Midpoint</u>	<u>Maximum</u>
T01	Police Lieutenant	\$10,701	\$11,753	\$12,312	-	\$128,412	\$141,036	\$147,744

A.2 2023 Pay Plan. Effective January 1, 2023, the pay steps and individual rates of pay for employees shall be increased by 7%.

2023 PAY PLAN "T" - TEAMSTERS - POLICE LIEUTENANTS						
Ordinance No.						
Effective January 1, 2023						
<u>Grade</u>	<u>FLSA</u>	<u>Position Title</u>	<u>Step</u>	<u>Duration</u>	<u>Monthly</u>	<u>Annually</u>
<u>T01</u>	<u>E</u>	<u>Lieutenant</u>	<u>A</u>	<u>0-12m</u>	<u>\$14,016</u>	<u>\$168,192</u>
			<u>B</u>	<u>13-24m</u>	<u>\$14,507</u>	<u>\$174,084</u>
			<u>C</u>	<u>25+m</u>	<u>\$15,001</u>	<u>\$180,012</u>

~~A.2 2020 Pay Plan. Effective January 1, 2020, each step of the pay plan will be increased by four percent (4%). All Lieutenants in the Teamsters Union as of September 1, 2019, shall be on the top step of the 2020 Pay Plan effective January 1, 2020.~~

2020 PAY PLAN "T" - TEAMSTERS - POLICE LIEUTENANTS								
Ordinance No. 2992								
Effective January 1, 2020								

Grade	FLSA	Position Title	Step	Duration	Monthly	Annually
T01	E	Lieutenant	A	0-12m	\$11,129	\$133,548
			B	13-24m	\$11,546	\$138,552
			C	25-36m	\$11,964	\$143,568
			D	37-48m	\$12,383	\$148,596
			E	49m+	\$12,805	\$153,660
-	-	-				

A.3 2024 Pay Plan. Effective January 1, 2024, the pay steps and individual rates of pay for employees shall be increased by 100% CPI-W Seattle/Tacoma/Bellevue First Half 2023, with a 2% minimum and 5% maximum.

~~A.3 2021 Pay Plan. Effective January 1, 2021, each step of the pay plan will be increased by three percent (3%). All Lieutenants in the Teamsters Union as of September 1, 2019, shall be on the top step of the 2021 Pay Plan effective January 1, 2021.~~

2021 PAY PLAN "T" - TEAMSTERS - POLICE LIEUTENANTS						
Ordinance No. 2992-						
Effective January 1, 2021						
Grade	FLSA	Position Title	Step	Duration	Monthly	Annually
T01	E	Lieutenant	A	0-12m	\$11,463	\$137,556
			B	13-24m	\$11,892	\$142,704
			C	25-36m	\$12,323	\$147,876
			D	37-48m	\$12,754	\$153,048
			E	49m+	\$13,189	\$158,268
-	-	-				

A.4 2025 Pay Plan. Effective January 1, 2025, the pay steps and individual rates of pay for employees shall be increased by 100% CPI-W Seattle/Tacoma/Bellevue First Half 2024, with a 2% minimum and 5% maximum.

A.54 Availability Premium. Effective January, 1, 2022, in recognition of the special nature of law enforcement extra duties, 24/7 availability, and unscheduled events, employees will receive a ~~three-four~~ percent (34%) salary premium.

A.6 Service Recognition Pay. Effective January 1, 2022, employees who have completed at least ten (10) years of service with the city will receive monthly Service Recognition Pay to recognize employees for their experience and as an effort to retain their talent. The Service Recognition Pay will be paid as follows:

<u>Completed Years of Service</u>	<u>Monthly Service Recognition Pay</u>
<u>10</u>	<u>\$250</u>
<u>15</u>	<u>\$350</u>
<u>20</u>	<u>\$450</u>
<u>25</u>	<u>\$550</u>

APPENDIX B

LIEUTENANT BILL OF RIGHTS

B.1 Bill of Rights: Because of the ever-increasing responsibilities and duties required of lieutenants in the performance of their duties which of necessity increase their contact with the general public and could lead to misunderstandings and questions surrounding the activities of members of the ~~Police~~Public Department, it is mutually required, therefore, that procedures be established in order to provide for full investigation of any questions arising from contacts and relationships with the public and also to provide for safeguards in order to protect the lieutenant in these investigations so that the matters can be dealt with in fairness and in an expeditious manner, the following guidelines are set forth:

An employee of the Redmond Police Department shall be entitled to be advised in writing, of the particular nature of an internal investigation, and other information which shall reasonably inform the employee of the allegations against them, and as to whether the employee is a witness or the focus of the investigation. If the employee is the focus of the investigation, this information shall be provided thirty (30) hours prior to interview of the employee and should include names of the complainant and complaining-witnesses (unless the witness is a confidential informant or otherwise requests anonymity). This Section shall not apply to the initial gathering of physical drug testing or breathalyzer evidence, which occurs surrounding the initial call or incident.

Interviews of said Police Department employees shall be at a reasonable hour; preference for such time of interviews shall be when the individual is on duty and/or during the daytime; provided, however, that the gravity and exigencies of the investigation shall in all cases control the time of said interview. If prior to or during the interview it is deemed that the employee may be charged with a criminal offense, the employee shall be immediately informed of their constitutional rights.

Interviews shall be held at Redmond Police Station or City Hall, except when this would be impractical. The employees shall be afforded an opportunity and the necessary facilities to contact an attorney and/or Union representative prior to commencement of the interview. The employee's attorney and/or the Union representative may be present during the interview, but said attorney and/or Union representative shall not be permitted to participate in the interview. Nothing herein shall in any way restrict the rights of the attorney and/or the Union representative to consult with the employee during the process of the interview.

The interview shall be conducted in the most expeditious manner consistent with the scope and gravity of the subject matter of the interview and the employee shall at all times be given reasonable periods to attend to personal necessities, such as meals, telephone calls to the employee's private attorney and rest periods. The employee shall not be subjected to any profane language nor threatened with dismissal, transfer or other disciplinary punishment as a guise to obtain the resignation of said employee nor shall the employee be subjected to intimidation in any manner during the process of interview. No promises or rewards shall be made to the said employee as an inducement to answer questions.

To the mutual benefit of both parties the interview will generally ~~The interview shall~~ be recorded and a copy will be provided to the Union. Within a reasonable period after the conclusion of the investigation and no later than seventy-two (72) hours (not counting Saturday or Sunday) prior to a pre-disciplinary hearing, the employee shall be advised of the results of

the investigation and the recommended disposition (which may be a range of possible dispositions) and shall be provided a copy of the investigatory file excluding information from and the identity of confidential informants and other witnesses requesting confidentiality upon which the department does not intend to rely.

An employee covered by this Agreement shall not be required to take or be subjected to any lie detector tests or similar tests as a condition of continued employment within the Redmond Police Department.

When an employee, whether on or off duty, uses deadly force which results in the injury or death of a person, the employee shall not be required to make a written or recorded statement for seventy-two (72) hours after the incident except that promptly following the incident the employee shall verbally report to a superior a public safety statement. This does not apply to witness employees. Nothing in this section is meant to replace the provisions or requirements of I-940.

All complaints are logged, and all case documentation shall remain confidential within the Internal Affairs Unit/Section and to the Chief. Only eCases which are classified as sustained shall become part of the Department Administrative file and the conclusion of sustained findings provided to Human Resources for inclusion in the employee's personnel records. Unsubstantiated and unfounded Investigative findings will be retained and destroyed in accordance with minimum record retention requirements.

Nothing contained in any of the above provisions shall restrict and/or limit the authority of the Chief of Police in the performance of their duties and responsibilities as the Chief Administrator of the Redmond Police Department.

B.2 Moved to Appendix D B.2 — Psychological Evaluations

~~Any relevant medical history of the employee which the examining professional conducting a psychological evaluation requests shall be released by the employee only to the examining professional.~~

~~The examining professional shall issue a written report to the Employer, as the client, provided however, the employee shall have the right to meet with the examining professional to discuss the evaluation results, and provided further that such report shall be released only as provided in the Medical Release attached hereto as Appendix B.1.~~

~~If the employee believes that the conclusions of the examining professional are in error, the employee may obtain an additional examination at the employee's own expense and the Employer will provide the examining professional with documents which were utilized by the Employer's examining professional.~~

~~The Employer will undertake to have the Employer's examining professional make themselves available to answer appropriate questions by the examining professional who conducts the independent examination. The Employee shall bear the costs of the Employer's examining professional's time to the extent the time required to answer such questions exceeds one (1) hour.~~

~~Should an employee grieve a disciplinary or discharge action taken as a result of a psychological examination, the Employer shall allow release of the examination and supporting~~

~~documents upon which it relies for the action, and all other prior examinations of the employee determined to be relevant by the grievance arbitrator after a confidential review by the arbitrator.~~

B.32 Personnel Records

The Employer will notify an employee upon receipt of a public disclosure request for information in the employee's personnel file. The procedure relating to the response to such request shall be as provided in the Personnel Manual.

Personnel File Review: Each employee's personnel files shall be open for review by the employee.

Contents: A "personnel file" shall be defined as any file pertaining to the bargaining unit member's employment status, work history, training, disciplinary records, or other personnel-related matters pertaining to the bargaining unit member. It is further understood that a personnel file does not include material relating to medical records, pre-appointment interview forms, open Internal Affairs files, or applicant background investigation documents such as, but not limited to, psychological evaluations and polygraph results.

B.43 Brady/Giglio: Union shall be immediately notified by the city when information is requested or before information is released. The City shall adhere to Federal, State, and local laws associated with Brady/Giglio.

APPENDIX C

DRUG AND ALCOHOL POLICY

C.1 Drug and Alcohol Policy: The City and the Union recognize that drug use by employees would be a threat to the public welfare, the safety of department personnel, and the public confidence in the Redmond Police Department. Use of ~~illicit~~illegal substances and/or substance abuse is unacceptable for a member of the Redmond Police Department and worthy of strong administrative action. It is the goal of this policy to eliminate or ~~absolve~~address illegal drug usage and substance abuse through education, rehabilitation of the affected personnel, and other appropriate actions based on inthe totality of circumstances. In addition to the existing Department and City policies, the parties acknowledge that the use of alcoholic beverages or unauthorized drugs shall not be permitted at the City's work sites and/or while an employee is on duty nor shall an employee report for duty under the influence of alcohol or unauthorized drug.

While the City wishes to assist employees with alcohol or chemical dependency problems, safety is the City's first priority. Therefore, employees shall not report for work or continue working if they are under the influence of, or impaired by, the prohibited substances listed in Sections C.5 and C.6 of this article appendix or impaired by any other drug or substance of any nature. Employees participating in treatment programs are expected to observe all job performance standards and work rules.

C.2 Informing Employees About Drug and Alcohol Testing: All employees shall be fully informed of this drug and alcohol testing policy. Employees will be provided with information concerning the impact of the use of alcohol and drugs on performance.

Employees who voluntarily come forward and ask for assistance to deal with a drug or alcohol problem shall not be disciplined by the City solely for coming forward and admitting a problem. The City shall not be prevented from disciplining an employee for other legitimate reasons just because the employee has voluntarily asked for assistance with a drug or alcohol problem.

The City encourages employees to seek treatment for drug and alcohol abuse voluntarily. To encourage employees to do so, the City makes available the Employee Assistance Program (EAP).

Any decision to voluntarily seek help through the Employee Assistance Program, or privately, will not in and of itself interfere with an employee's continued employment or eligibility for promotional opportunities. Information regarding an employee's participation in the Employee Assistance Program will be maintained in confidence.

C.3 Employee Testing: Unless otherwise required by law, employees shall not be subject to random urine testing, blood testing or other similar or related tests for the purpose of discovering possible drug or alcohol abuse. If the City has reasonable suspicion to believe an employee's work performance is impaired due to drug or alcohol use, the City may require the employee to undergo a drug and/or alcohol test consistent with the conditions set forth in this Appendix.

Reasonable suspicion for the purposes of this article is defined as follows: The City's determination that reasonable suspicion exists shall be based on specific, articulated observations concerning the appearance, behavior, speech or body odors of an employee.

C.4 Sample Collection and Testing: The collection and testing of urine and blood samples shall be performed at a ~~Concentra clinic~~ City approved facility. In the event that collection and testing at a City approved facility ~~Concentra~~ is not feasible for any reason, the collection and testing shall be at another laboratory or health care professional qualified and authorized to administer and perform drug testing, evaluation and reporting according to the Substance Abuse and Mental Health Services Administration (SAMHSA) or successor agency guidelines. The sample collection and testing shall be performed consistent with SAMSHA guidelines.

Employees have the right, upon making a request promptly after being informed of the request for a sample, to a reasonable opportunity for Union and/or legal representation to be present during the submission of the sample, provided that the Union or legal representative must be available at the testing facility within one-half (1/2) hour of the request. Prior to submitting to a urine or blood sample, the employee will be required to sign a consent and release form as attached to this Appendix. Failure of the employee to sign the consent and release form as attached shall be grounds for discipline.

In the event fo a positive test result, a split sample shall be reserved ~~in all cases for an independent analysis in the event of a positive test result~~. All samples must be stored in a manner as established by SAMHSA. All positive confirmed samples and related paperwork must be retained ~~by the laboratory~~ for at least six (6) months or for the duration of any grievance, disciplinary action, or legal proceedings, whichever is longer.

C.5 Drug Testing: The laboratory shall test for the substances and within the limits as provided by the Department of Health and Human Services Substance Abuse and Mental Health Services Administration ("SAMHSA") Mandatory Guidelines for Federal Workplace Drug Testing Programs ("SAMHSA Standards").

Drug test results gathered under this Appendix will not be used in a criminal investigation or prosecution.

C.6 Alcohol Testing: A breathalyzer or similar equipment certified by the state toxicologist shall be used to screen for alcohol use, and if positive, the results shall be confirmed by a blood alcohol test performed by Concentra or other qualified laboratory. This screening test shall be performed by an individual properly qualified to perform the tests utilizing appropriate equipment. An initial positive alcohol level shall be 0.02 grams per 210 L. of breath. That is, if both breaths register at .02 or above, that constitutes a positive test. If only one breath is at .02 or above and the other is below .02, the test is negative. If initial testing results are negative, testing shall be discontinued, all samples destroyed and records of the testing expunged from the employee's files. Only specimens identified as positive on the initial test shall be confirmed by using a blood alcohol level. Sample handling procedures, as detailed ~~in Section C.4~~ herein, shall apply. A positive blood alcohol level shall be 0.02 grams per 100 ml of blood. If confirmatory testing results are negative, all samples shall be destroyed and records of the testing expunged from the employee's files.

C.7 Laboratory Results: The laboratory will initially advise only the employee and any Medical Review Physician as indicated by SAMHSA Standards of any positive results. The results of any positive drug or alcohol test will be released to the City by the City's identified

~~drug test provider-DrugProof~~ once any Medical Review Physician has finished review and analysis of the laboratory's test. Unless otherwise required by law, the City will keep the results confidential and shall not release them to the general public. If the employee believes that the conclusions are in error, the employee may obtain an additional examination at the employee's own expense for consideration. Nothing in this Appendix shall prevent the City from using the results or fact of testing as evidence to defend itself, its employees or its position in any grievance, arbitration or legal proceedings.

C.8 Testing Program Costs: The City shall pay for all costs incurred for drug and alcohol testing required by the City hereunder, as well as the expenses associated with the Medical Review Physician. Travel to and from the laboratory or other collection location, and the time required to take the test shall be considered on duty time, provided that the City shall have the right to adjust the employee's schedule to avoid an overtime obligation.

C.9 Duty Assignment After Treatment: If the duty assignment for an employee is modified or changed as a result of a rehabilitation program, then after an employee successfully completes their rehabilitation program, the employee shall be returned to the regular duty assignment held prior to the rehabilitation program if such an assignment is open. If an employee comes forward and requests assistance with a drug or alcohol problem under Section C.2 of this Appendix, once treatment and follow-up care is completed, and one (1) year has passed with no further violations of this Appendix, the employee's personnel and medical files shall be purged of any reference to their drug problem or alcohol problem. All other violations of this Appendix shall remain a part of the employee's permanent personnel file.

C.10 Right of Appeal: The employee has the right to challenge the drug or alcohol test and any discipline imposed in the same manner that they may grieve any other City action.

APPENDIX D (MOVED FROM APPENDIX B)

PSYCHOLOGICAL EVALUATIONS

Should a psychological evaluation be initiated by the Employer, aAny relevant medical history of the employee which the examining professional conducting a psychological evaluation requests shall be released by the employee only to the examining professional.

The examining professional shall issue a written report to the Employer, as the client, provided however, the employee shall have the right to meet with the examining professional to discuss the evaluation results, and provided further that such report shall be released only as provided in the Medical Release attached hereto as Appendix ~~B~~D.1.

If the employee believes that the conclusions of the examining professional are in error, the employee may obtain an additional examination at the employee's own expense and the Employer will provide the examining professional with documents which were utilized by the Employer's examining professional.

The Employer will undertake to have the Employer's examining professional make themselves available to answer appropriate questions by the examining professional who conducts the independent examination. The Employee shall bear the costs of the Employer's examining professional's time to the extent the time required to answer such questions exceeds one (1) hour.

Should an employee grieve a disciplinary or discharge action taken as a result of a psychological examination, the Employer shall allow release of the examination and supporting documents upon which it relies for the action, and all other prior examinations of the employee determined to be relevant by the grievance arbitrator after a confidential review by the arbitrator.

APPENDIX E

MANAGEMENT RIGHTS

The Union recognizes the prerogative of the City to operate and manage its affairs in all respects in accordance with its responsibilities and powers of authority.

The City reserves any and all exclusive rights concerning the management and operation of the Department, except as specifically limited in this Agreement. In exercise of such exclusive management right, it is not intended that any other specific provisions of this Agreement providing a particular benefit or perquisite to the covered employees shall be changed, modified, or otherwise affected without concurrence of the Union.

Specific and Exclusive Management Rights. Subject to provisions of this Agreement, the City reserves the following specific and exclusive management rights:

- (a) To recruit, assign, transfer, or promote members to positions within the Department, including the assignment of employees to specific jobs;
- (b) To suspend, demote, discharge, or take other disciplinary actions against members for just cause;
- (c) To determine the keeping of records;
- (d) To establish employment qualifications for new employee applications, to determine the job content and/or job duties of employees and to execute the combination or consolidation of jobs;
- (e) To determine the mission, methods, processes, means, policies, and number of personnel necessary for providing service and Department operations, including, but not limited to: determining the increase, diminution, or change of operations in whole or in part, including the introduction of any and all new, improved, automated methods of equipment, and making facility changes;
- (f) To control the Department budget, and if deemed appropriate to the City, to implement a reduction in force;
- (g) To schedule training, work, and overtime as required in a manner most advantageous to the Department and consistent with requirements of municipal employment and public safety;
- (h) To establish reasonable work rules and to modify training;
- (i) To approve all employees' vacation and other leaves;
- (j) To take whatever actions are necessary in emergencies in order to assure the proper functioning of the Department; and
- (k) To manage and operate its departments, except as may be limited by provisions of this agreement.

Incidental Duties not Always Described. It is understood by the Parties that every incidental duty connected with operations enumerated in job descriptions is not always specifically described.

Except as provided by this Agreement, the City recognizes its obligation to bargain regarding proposed changes in affecting wages, hours and working conditions under RCW 41.56 during the term of this Agreement.

Attachment B

The City of Redmond And Teamsters Local Union No. 117

Summary of Major Changes to Police Lieutenants Contract for 1-1-2022 through 12-31-2025

The City and the Teamsters Local Union No. 117 (Union) representing Police Lieutenants reached a tentative agreement, pending Council Approval, that meets the needs of both the Union and the City. The Collective Bargaining Agreement (CBA) with the Union, if approved, will result in the following summary of the more notable changes to the contract. All changes are noted in the redline version of the CBA.

Article 4 – Hours of Work, Overtime Exempt, Callback, and Standby

- 4.1: Language added to allow for 4/10 schedule upon request.

Article 7 – Holidays

- 7.1: Added Juneteenth

Article 16 – Duration and Reopeners

- Contract runs 1/1/2022-12/31/2025

Appendix A – COLA and Pay Plans

- 2022 COLA increase of 6.3% and bottom two steps of Pay Scale removed. **(Effective 1/1/22)**
- 2023 COLA increase of 7%. (Effective 1/1/23)
- 2024 COLA is First Half CPI-W with 2% min – 5% max. (Effective 1/1/24)
- 2025 COLA is First Half CPI-W with 2% min – 5% max. (Effective 1/1/25)
- Availability Premium increased to 4% **(Effective 1/1/22)**
- Service Recognition Pay **NEW (Effective 1/1/22)**

Completed Years of Service	Monthly Service Recognition Pay
10	\$250
15	\$350
20	\$450
25	\$550

Signing Bonus MOU

- Signing Bonus: \$6000 each member, one-time. **(Effective with Contract)**

CITY OF REDMOND
ORDINANCE NO. _____

AN ORDINANCE OF THE CITY OF REDMOND,
WASHINGTON AMENDING THE 2021 T PAY PLAN FOR
EMPLOYEES REPRESENTED BY THE TEAMSTERS LOCAL
NO. 117 REPRESENTING POLICE LIEUTENANTS

WHEREAS, the City of Redmond recently completed labor contract negotiations with the Teamsters Local No. 117 representing police lieutenants; and

WHEREAS, Pay Plan T was established and put into effect the negotiated salary ranges agreed to through the collective bargaining process and adopted; and

WHEREAS, the pay plan and salary ranges will now be adjusted in accordance with the negotiated agreement with the Teamsters local Union No.117 bargaining unit, representing Police Lieutenants.

NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF REDMOND, WASHINGTON, DO ORDAIN AS FOLLOWS:

Section 1. Pay Plan Adopted. Effective January 1, 2022, Pay Plan T covering all employees in the Teamsters bargaining unit, representing Police Lieutenants, is hereby amended and the salary ranges increased 6.3 percent above the salary ranges in effect on December 31, 2021, as adopted by Ordinance No. 2992.

In conjunction with the adjustment of the salary ranges, the salaries for all employees covered by Pay Plan T will be increased by the same percentages. The 2022 T Pay Plan is attached as Exhibit 1 and is incorporated herein as if set forth in full.

Effective January 1, 2023, Pay Plan T covering all employees in the Police Lieutenants bargaining unit is hereby amended and the salary ranges adjusted by 7.0 percent, above the ranges in effect on December 31, 2022. In conjunction with the adjustment of the salary ranges, the salaries for all employees covered by Pay Plan T will be increased across the board by 7.0 percent. The 2023 Pay Plan T is attached as Exhibit 2 and incorporated herein as if set forth in full.

Section 2. Severability. If any section, sentence, clause or phrase of this ordinance should be held to be invalid or unconstitutional by a court of competent jurisdiction, such invalidity or unconstitutionality shall not affect the validity or constitutionality of any other section, sentence, clause or phrase of this ordinance.

Section 3. Effective Date. This ordinance shall take effect five days after its publication, or publication of a summary thereof, in the City's official newspaper, or as otherwise provided by law.

ADOPTED by the Redmond City Council this _____ day of
October, 2022.

CITY OF REDMOND

MAYOR ANGELA BIRNEY

ATTEST/AUTHENTICATED:

CHERYL XANTHOS, MMC, CITY CLERK

(SEAL)

APPROVED AS TO FORM
OFFICE OF THE CITY ATTORNEY:

By: _____

FILED WITH THE CITY CLERK:
PASSED BY THE CITY COUNCIL:
SIGNED BY THE MAYOR:
PUBLISHED:
EFFECTIVE DATE:
ORDINANCE NO.: _____

TENTATIVE AGREEMENT

Teamsters Lieutenants Negotiations

The City of Redmond ("City") and the Teamsters Police Lieutenants Labor Union ("Union") is participating in negotiation of the Union's 2022-2025 collective bargaining agreement ("CBA"). A tentative agreement has been reached between the City and the Union on the language below pursuant to the agreement of the parties on Ground Rules for negotiations. This tentative agreement is subject to approval/ratification by the:

- City (Labor Team, Mayor and/or City Council); and
- Union (Labor Team, Legal Counsel, Union Membership)

Tentative agreement by both parties 9-27-22

Signing Bonus Agreement

The City and Union have agreed to the following language:

Whereas Statements:

WHEREAS: The City and Union entered into collective bargaining for the 2022 through 2025 CBA period.

WHEREAS: In an effort to encourage the Union membership to accept the 2022-2025 CBA, both parties entered into a signing bonus in which both sides had gives.

Agreement Statement:

The City agrees to a one-time Signing Bonus in the amount of \$6,000.00 for all members of the Police Lieutenants bargaining unit represented by Teamsters Local Union No. 117 who were on the payroll as of the signing of this agreement.

- The Signing Bonus will be a single payment that will not set precedent.

- The payment will be made within the second pay period following the execution of the 2022-2025 collective bargaining agreement.



Redmond

WASHINGTON

2022 PAY PLAN "T" LIEUTENANTS

Ordinance No. XXXX
Police Lieutenants
Effective January 1, 2022

Grade	FLSA	Position Title	Step	Duration	Monthly	Annually
T01	E	Lieutenant	A	0-12m	\$13,099	\$157,188
			B	13-24m	\$13,558	\$162,696
			C	25-36m	\$14,020	\$168,240



Redmond

WASHINGTON

2023 PAY PLAN "T" LIEUTENANTS

Ordinance No. XXXX
Police Lieutenants
Effective January 1, 2023

Grade	FLSA	Position Title	Step	Duration	Monthly	Annually
T01	E	Lieutenant	A	0-12m	\$14,016	\$168,192
			B	13-24m	\$14,507	\$174,084
			C	25-36m	\$15,001	\$180,012



Memorandum

Date: 10/18/2022
Meeting of: City Council

File No. AM No. 22-158
Type: Public Hearing

TO: Members of the City Council
FROM: Mayor Angela Birney
DEPARTMENT DIRECTOR CONTACT(S):

Finance	Chip Corder	425-556-2189
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DEPARTMENT STAFF:

N/A	N/A	N/A
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TITLE:
2023-2024 Preliminary Budget Public Hearing #3

OVERVIEW STATEMENT:

Hold a public hearing on property taxes and other revenue sources for the 2023 fiscal year. Proposed tax and fee increases in the 2023-2024 preliminary budget include the following:

- Property tax levy increase of 1% in 2023 and 1% in 2024 as allowed under state law (this impacts existing property owners);
- Property tax levy increase from new construction, which is estimated to be 3.8% in 2023 and 3.6% in 2024;
- Development, parks and recreation, business license, and other fee increases in 2023 and 2024 based on inflation;
- Combined City water, wastewater and stormwater utility rate increase of 3.6% in 2023 and 3.6% in 2024 (note that there were no City utility rate increases in 2021 or 2022);
- King County Wastewater Treatment charge increase of 5.8% in 2023 and 6.5% in 2024 (this is a pass-through charge); and
- \$20 per vehicle license fee, which would take effect on January 1, 2024 and be dedicated to funding pavement management projects, following the creation of a Transportation Benefit District in the second half of 2023.

The Long-Range Financial Strategy establishes a target range for the Price of Government of 5.0-5.5% of aggregate personal income in Redmond, excluding certain one-time revenues. The Price of Government is estimated to be 4.0% in 2023 and 3.8% in 2024.

Additional Background Information/Description of Proposal Attached

REQUESTED ACTION:

- Receive Information Provide Direction Approve

REQUEST RATIONALE:

- **Relevant Plans/Policies:**
N/A
- **Required:**
Revised Code of Washington (RCW) 84.55.120 (The legislative body must hold a public hearing on revenue sources for the coming year’s budget, including consideration of possible increases in property tax revenues, prior to the property tax certification deadline, which is November 30.)
- **Council Request:**
N/A
- **Other Key Facts:**
N/A

OUTCOMES:

The Council held two public hearings on July 5, 2022 and August 16, 2022 on the proposed 2023-2028 Capital Investment Program. Both public hearings were optional. This third public hearing on property taxes and other revenue sources for the coming fiscal year is required under RCW 84.55.120. Public hearings provide a formal opportunity for Redmond residents and business owners to give public testimony regarding the 2023-2024 preliminary budget.

COMMUNITY/STAKEHOLDER OUTREACH AND INVOLVEMENT:

- **Timeline (previous or planned):**
Two more public hearings on the 2023-2024 preliminary budget are scheduled for November 1, 2022 and November 15, 2022.
- **Outreach Methods and Results:**
N/A
- **Feedback Summary:**
N/A

BUDGET IMPACT:

Total Cost:
N/A

Approved in current biennial budget: Yes No N/A

Budget Offer Number:
N/A

Budget Priority:
N/A

Other budget impacts or additional costs: Yes No N/A

If yes, explain:
N/A

Funding source(s):

N/A

Budget/Funding Constraints:

N/A

Additional budget details attached

COUNCIL REVIEW:

Previous Contact(s)

Date	Meeting	Requested Action
7/5/2022	Business Meeting	Receive Information
8/16/2022	Business Meeting	Receive Information

Proposed Upcoming Contact(s)

Date	Meeting	Requested Action
11/1/2022	Business Meeting	Receive Information
11/15/2022	Business Meeting	Receive Information

Time Constraints:

Th public hearing on property taxes and other revenue sources is required prior to the property tax certification deadline, which is November 30.

ANTICIPATED RESULT IF NOT APPROVED:

N/A

ATTACHMENTS:

N/A



Memorandum

Date: 10/18/2022
Meeting of: City Council

File No. AM No. 22-159
Type: Public Hearing

TO: Members of the City Council
FROM: Mayor Angela Birney
DEPARTMENT DIRECTOR CONTACT(S):

Planning and Community Development	Carol Helland	425-556-2107
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DEPARTMENT STAFF:

Planning and Community Development	Seraphie Allen	Deputy Director
Planning and Community Development	Vangie Garcia, P.E., PMP	Manager, Transportation Planning and Engineering
Planning and Community Development	Josh Mueller	Senior Engineering Technician

TITLE:

Approval of the Annual Update of the 2023-2028 Six-Year Transportation Improvement Program (TIP)

- a. Resolution No. 1563: A Resolution of the City Council of the City of Redmond, Washington, Adopting a Six-Year Transportation Improvement Program for the Years 2023-2028 and Directing the Same to be Filed with the State Secretary of Transportation and the Transportation Improvement Board

OVERVIEW STATEMENT:

The Transportation Improvement Program (TIP) is a state-mandated planning document, updated annually, listing all significant transportation projects anticipated to be built or to compete for grant funding within the next six years. Following a public hearing in October, the proposed TIP will be adopted by City Council in conjunction with the Capital Investment Program and biennial budget.

Additional Background Information/Description of Proposal Attached

REQUESTED ACTION:

- Receive Information
- Provide Direction
- Approve

REQUEST RATIONALE:

- Relevant Plans/Policies:
 - 1) Comprehensive Plan - establishes the vision and policy direction for how the City will grow and develop through 2030
 - 2) Transportation Master Plan (TMP) - an element of the Comprehensive Plan that guides the City's transportation investments and activities

- 3) Capital Investment Strategy (CIS) - provides a framework for both near-term investments within the CIP timeframe and long-term capital needs that advance the City's vision
- 4) Capital Investment Program (CIP) - provides a near-term (six-year) financial planning outlook into capital needs that advance the City's vision and provides
- 5) Development Agreements - various commitments agreed to by City and applicants (agencies or private developers) through the permit and review process

- **Required:**

The TIP is required to be updated annually by state law (RCW.39.92.030).

- **Council Request:**

N/A

- **Other Key Facts:**

The TIP is a planning document that will be proposed for adoption by City Council in conjunction with the Capital Investment Program and biennial budget. Projects are shown in the TIP when they are consistent with financial planning documents or are being advanced through grants or private development.

OUTCOMES:

The annual update of the TIP keeps the City in compliance with state law and provides a foundation for future transportation grant applications (which often require inclusion in the TIP in order to be considered for funding). The update process also supports alignment of transportation priorities with the City's vision, the biennial budget, CIP, and CIS. The TIP is the City's most comprehensive listing of near-term transportation needs (funded and unfunded).

COMMUNITY/STAKEHOLDER OUTREACH AND INVOLVEMENT:

- **Timeline (previous or planned):**

Notice of Public Hearing will be published on October 4, with the hearing to be held on October 18, 2022.

- **Outreach Methods and Results:**

Interested parties are invited to comment in person at the public hearing, or in writing prior to the hearing. Anyone who is hearing or visually impaired can notify the Planning Department in advance of the hearing to be provided with assistance.

- **Feedback Summary:**

Any feedback provided before the hearing will be summarized by staff and provided to Council.

BUDGET IMPACT:

Total Cost:

There is no fiscal impact associated with the annual update to the six-year TIP. Staff working on this program are funded through the adopted budget.

Approved in current biennial budget:

Yes

No

N/A

Budget Offer Number:

000343 - Mobility of People and Goods

Budget Priority:

Vibrant and Connected

Other budget impacts or additional costs: Yes No N/A

If yes, explain:

N/A

Funding source(s):

General Fund, Operating Grants and Impact Fees

Budget/Funding Constraints:

The document is a planning document and as such does not obligate the City to expend funds or alter its capital funding priorities.

Additional budget details attached

COUNCIL REVIEW:

Previous Contact(s)

Date	Meeting	Requested Action
9/20/2022	Business Meeting	Provide Direction

Proposed Upcoming Contact(s)

Date	Meeting	Requested Action
N/A	None proposed at this time	N/A

Time Constraints:

A 2023-2028 Six-Year TIP must be adopted in 2022 per state requirements. Projects must be included in the TIP to receive state and federal grant funding.

ANTICIPATED RESULT IF NOT APPROVED:

The City would be unable to accept and apply for State and Federal transportation grants.

ATTACHMENTS:

- Exhibit A - 2023-2028 Transportation Improvement Program Project List
- Attachment A - Resolution adopting the 2023-2028 Transportation Improvement Program
- Attachment B - Summary of Updates
- Attachment C - Map - TIP Changes
- Attachment D - Map - TIP Projects
- Attachment E - TIP 2023-2028 Presentation

**CITY OF REDMOND
RESOLUTION NO. _____**

A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF REDMOND, WASHINGTON, ADOPTING A SIX-YEAR TRANSPORTATION IMPROVEMENT PROGRAM FOR THE YEARS 2023-2028 AND DIRECTING THE SAME TO BE FILED WITH THE STATE SECRETARY OF TRANSPORTATION AND THE TRANSPORTATION IMPROVEMENT BOARD

WHEREAS, pursuant to the requirements of Chapters 35.77 and 47.26 RCW, the City Council of the City of Redmond has previously adopted a Comprehensive Street Program, including an arterial street construction program, and thereafter periodically modified said Comprehensive Street Program by resolution; and

WHEREAS, the City Council has reviewed the work accomplished under the said Program during the past year, determined current and future City transportation needs, and based upon these findings has prepared a Six-Year Transportation Improvement Program for the ensuing six (6) calendar years; and

WHEREAS, pursuant to RCW 35.77.010 a public hearing has been held on the Six-Year Transportation Improvement Program; and

WHEREAS, the adoption of the Six-Year Transportation Improvement Program is a procedural action and containing no substantive standards respecting use or modification of the environment and is categorically exempt from environmental review under terms of WAC 197-11-800 (19).

NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF REDMOND, WASHINGTON, HEREBY RESOLVES AS FOLLOWS:

Section 1. Program Adopted. The Six-Year Transportation Improvement Program for the City of Redmond, as revised and extended for the ensuing six (6) calendar years (2023-2028, inclusive), a copy of which is attached hereto as Exhibit A and incorporated herein by this reference as if fully set forth, which Program sets forth the project location, type of improvement, and the estimated cost thereof, is hereby adopted and approved.

Section 2. Filing of Program. Pursuant to Chapter 35.77 RCW, Staff is hereby authorized and directed to, within thirty (30) days, file a copy of this resolution forthwith, together with the Exhibit attached hereto, with the Secretary of Transportation and a copy with the Transportation Improvement Board for the State of Washington.

ADOPTED by the Redmond City Council this _____ day of

_____, 2021.

APPROVED:

MAYOR, ANGELA BIRNEY

ATTEST/AUTHENTICATED:

CHERYL D. XANTHOS, MMC, CITY CLERK

(SEAL)

FILED WITH THE CITY CLERK:
PASSED BY THE CITY COUNCIL:
RESOLUTION NO:

City of Redmond 2023-2028 TRANSPORTATION IMPROVEMENT PROGRAM

EXHIBIT A: PROJECT LIST

Type	TIP #	Project or Program Name	Description	TIP Funding Range (if applicable)		Project Costs in Thousands of Dollars (\$000s)					2023-2028 Total
				Start	Finish	Local	Federal	State	Undet		
PROG	P2	Bridge Structure and Repair Program	Bridge structure and repair projects maintain the structural integrity and safety of all 18 of the City's bridges.			900					900
PROG	S1	Traffic and Pedestrian Safety Enhancements	Install various pedestrian safety improvements (speed radar, flashing crosswalks, raised crosswalks, and ADA upgrades) at various locations near Redmond schools.			225					225
PROG	S2	Street Channelization Improvement and Maintenance Program	Install small channelization (striping and signing) projects to improve safety. A portion of the funds are used for new channelization improvements needed to enhance safety or operations.			750					750
PROG	S5	Street Lighting Enhancement Program	Design and install new street lights at key locations where additional illumination would improve safety and user accessibility.			450					450
PROG	S80	Sustainability LED Street Light Retrofit	Convert existing street lights owned by Puget Sound Energy.			123					123
PROG	S81	Pavement Repair Program	Conduct preventive maintenance, rehabilitate and overlay pavement to extend useful life.			3,000					3,000
PROG	S82	State Route 520 Pedestrian Bridge Program	State Route 520 Pedestrian Bridge maintenance			750					750
PROG	S83	Sidewalk Repair Program	Repair or replace sidewalks as part of ongoing maintenance. May include ADA upgrades depending on location.			1,800					1,800
PROG	B115	ADA Improvements-Transportation Curb and Ramp Projects	Install missing or replace non-compliant ADA ramps according to prioritized list, with a specific number of ramps upgraded each year.			1,800					1,800
PLAN	L9	Sound Transit East Link - City ST3 Planning	Staff oversight and participation in implementing East Link Light Rail (E360) and the Downtown Redmond Light Rail Extension (DRLE). Process requires substantial City involvement to ensure system will be consistent with Redmond's interests.	2023	2023	100					100
PDBK	B41	Redmond Technology Station Pedestrian & Bicycle Bridge	Design and construct a new pedestrian and bike connection over SR 520 between the Redmond Technology Station and west side of SR 520 and 520 Trail (in the vicinity of the NE 38th Street alignment) and the SR 520 Trail.	2023	2023	107					107
PDBK	B42	Redmond Central Connector Phase III	Complete the third phase of the Redmond Central Connector paved shared-use path up to 124th Street.	2023	2026	4,346	2,130	358			6,834
PDBK	B43	Cleveland Street East Main Street Improvements	Extend the "Main Street" streetscape improvements of Cleveland Street eastward to 168th Avenue. <i>May be dependent on timing of Redmond Square, Sound Transit and other redevelopment of frontage properties.</i>	2023	2024	1,085					1,085
PDBK	B47	159th Pl NE Sidewalk - Bear Creek Parkway to Leary Way	Construct sidewalk on east side of 159th Place NE from Leary Way to Bear Creek Parkway where missing.	2023	2024	212					212
PDBK	B48	West Lake Sammamish Parkway Sidewalk	Complete sidewalk on west side of West Lake Sammamish Parkway between Bel-Red Road and south city limits where missing							14,804	14,804
PDBK	B50	NE 40th St Shared Use Path - 156th Ave NE to 163rd Ave NE	Shared use path on south side of NE 40th St between 156th Ave NE and 163rd Ave NE.	2022	2023		1,744				1,744
PDBK	B51	Avondale Road Buffered Bicycle Lanes - Avondale Way to Novelty Hill Rd	Add buffered bicycle lanes on both sides of Avondale Road between Avondale Way and Novelty Hill Road.							421	421
PDBK	B55	140th Ave NE Sidewalk - Redmond Way to NE 84th St	Add sidewalk on west side of 140th Ave NE between Redmond Way and NE 84th St where missing.							110	110
PDBK	B60	176th Ave NE Sidewalk - NE 65th St to NE 70th St	Complete sidewalk on 176th Avenue between 65th and 70th streets. Sidewalk will typically be placed on west side of road.	2026	2027					1,068	1,068
PDBK	B74	SR 520 Trail Grade Separation at NE 51st St	Construct a grade-separated pedestrian and bike tunnel for the SR 520 regional shared use path under the NE 51st Street and SR 520 westbound ramp terminal intersection.							1,500	1,500
PDBK	B76	Old Redmond Road Buffered Bicycle Lanes	Add buffered bicycle lanes on Old Redmond Road between 152nd Ave NE and 132nd Ave NE.							201	201
PDBK	B77	Avondale Road Buffered Bicycle Lanes - Novelty Hill Rd to NE 116th St	Add buffered bicycle lanes on both sides of Avondale Road between Novelty Hill Road and NE 116th St.							175	175

City of Redmond 2023-2028 TRANSPORTATION IMPROVEMENT PROGRAM

EXHIBIT A: PROJECT LIST

Type	TIP #	Project or Program Name	Description	TIP Funding Range (if applicable)		Project Costs in Thousands of Dollars (\$000s)					
				Start	Finish	Local	Federal	State	Undet	2023-2028 Total	
PDBK	B78	NE 40th St Shared use Path	Add a shared use path on NE 40th St between 163rd Ave NE and 172nd Ave NE.	2023	2026	7,106					7,106
PDBK	B80	156th Ave NE Cycle Track - NE 28th St to NE 40th St	Add cycle track along 156th Ave NE between NE 28th St and NE 40th St.	2023	2024	4,767		1,160			5,927
PDBK	B81	156th Ave NE Sidpath - NE 40th St to NE 51st St	Add multiuse path along 156th Ave NE between NE 40th St and NE 51st St.	2026	2027		700			5,121	5,821
PDBK	B83	148th Ave NE Multiuse Trail and Roadway Improvements - SR 520 EB ramp to SR 520 WB ramp	Modify channelization and signals, and provide wide multi-use trail that is separated from the roadway on the east side of 148th Ave NE from the eastbound SR 520 on ramp to the SR 520 Trail at the westbound SR 520 off ramp at NE 29th Place (148th Ave NE bridge over SR 520). Design to accomodate future grade separation project at 148th Avenue NE and NE 29th Place.							7,894	7,894
PDBK	B84	Bear Creek Trail - Union Hill to Avondale Road	Construct paved trail with undercrossing improvements at Union Hill Road and Avondale Road							1,010	1,010
PDBK	B86	East Lake Sammamish Trail/RCC Connection (SR 520 Undercrossing)	Provide grade separated trail through the SR 520/SR 202 Interchange area along the planned light rail alignment, connecting East Lake Sammamish Trail and Redmond Central Connector. Provide access to the planned Southeast Redmond light rail station. Project cost does not account for modification of SR 520 ramps.	2023	2024	5,583					5,583
PDBK	B87	Red-Wood Road Buffered Bicycle Lanes	Add buffered bicycle lanes to Red-Wood Road between NE 90th St to NE 109th St.							186	186
PDBK	B88	NE 80th Street Bicycle Facilities - Redmond Way to 172nd Avenue	Complete bicycle facilities on 80th Street with a combination of bicycle lanes and bicycle boulevard treatment as appropriate for each segment of corridor.							708	708
PDBK	B90	Bel-Red Road Bicycle Lanes - West Lake Sammamish Parkway to 156th Ave NE	Install bicycle connection on 28th Street from 156th Avenue to the planned HAWK signal at Bel-Red Road and the 2800 Block. Coordinate with Microsoft and Bellevue to determine facility type (one way operation preferred).	2026	2026	1,795					1,795
PDBK	B92	150th Ave NE Bicycle Lane Completion	Fill in gaps in bicycle facility network on 150th Avenue NE from NE 51st Street to NE 40th Street in both directions, and improve curve radius to allow for truck movements through existing chokepoint. Widen roadway 6' to west and build retaining walls.							600	600
PDBK	B94	Redmond Technology Station Ped-Bike Tunnel	Provide pedestrian/bicycle tunnel underneath 40th Street.	2023	2024	4,200					4,200
PDBK	B95	151st Ave NE Sidewalk - NE 64th St to Ben Rush Elementary	Sidewalk on 151st Avenue from 64th Street to the pedestrian pathway that links to Ben Rush.							196	196
PDBK	B96	151st Ave NE Sidewalk - Old Redmond Road to 7500 Block	Safe Routes to School Project. Sidewalk on 151st Avenue from Old Redmond Road to the 7500 Block. Connect 151st Avenue to 152nd Avenue at the 7500 Block	2026	2027	899					899
PDBK	B97	NE 88th St Sidewalk - 171st Ave NE to 172nd Ave NE	Safe Routes to School Project. Sidewalk on 88th Street from 171st Avenue to 172nd Avenue.	2026	2027	119					119
PDBK	B98	NE 89th St Sidewalk - 166th Ave NE to 168th Ave NE	Sidewalk on 89th Street from 166th Avenue to 168th Avenue.							193	193
PDBK	B99	Redmond Central Connector Improvements - Sound Transit Betterments	Implement the ultimate design of the Redmond Central Connector between 164th Avenue NE and the Bear Creek Trail.	2022	2024	689					689
PDBK	B100	SE Redmond Trail - ELST to SE Redmond LR Station to Marymoor Connector	Regional Paved Trail connecting the East Lake Sammamish Trail to the Southeast Redmond Light Rail Station to the Marymoor Park Trail. <i>See Marymoor Infrastructure Report.</i>	2022	2024	7,050					7,050
PDBK	B102	164th Ave NE Sidewalk - NE 95th Street to NE 99th Street	Sidewalk on 164th Avenue from 95th Street to 99th Street							316	316
PDBK	B103	NE 40th St Shared Use Path - 148th Ave NE to SR 520 Trail	Shared side path on south side of 40th Street							1,836	1,836
PDBK	B104	Targeted Safety Improvement Project - Union Hill & Avondale Bike Lane Connection	On Union Hill Road: add a westbound bike lane west of 178th Place NE to Avondale Road and a eastbound bike lane, east of Avondale Road connecting to existing bike lane after the intersection.							867	867

City of Redmond 2023-2028 TRANSPORTATION IMPROVEMENT PROGRAM

EXHIBIT A: PROJECT LIST

Type	TIP #	Project or Program Name	Description	TIP Funding Range (if applicable)		Project Costs in Thousands of Dollars (\$000s)					
				Start	Finish	Local	Federal	State	Undet	2023-2028 Total	
PDBK	B105	166th Avenue NE Sidewalk Repair - NE 80th St to NE 85th St	Replace sidewalk panels where damaged and widen sidewalk where width does not meet ADA.	2023	2024	625					625
PDBK	B106	148th Avenue Trail (State Route 520 to 24th St)	Conduct preliminary design and right-of-way funded by from Move Ahead Washington for a pedestrian and bicycle path on the east side of 148th Ave NE across SR 520.	2027	2028	350	8,000				8,350
PDBK	B107	Cycle Track-161st Avenue	Replace bicycle lanes and parking on one side of 161st Avenue with two-way cycle track, from the Redmond Central Connector to NE 90th Street.							6,050	6,050
PDBK	B108	150th Avenue Improvements (4500 Block to 51st Street)	Project will complete missing bike lane connections on 150th Ave NE. Work includes pavement widening, new curb and gutter, and associated stormwater improvements.	2023	2028	2,377					2,377
PDBK	B109	Bel-Red Road Cycle Track	Reconfigure Bel-Red Rd to repurpose one uphill lane into bicycle lanes from WLSP to NE 30th St. Add a HAWK signal at 2800 block of Bel-Red Rd. One-way cycle track back of curb westbound on NE 28th Street and narrow lanes to add eastbound buffered bike lane.	2023	2025	3,845					3,845
PDBK	B110	Avondale Way Bicycle Completion	Complete bicycle lanes in both directions on Avondale Way from Union Hill Road to Avondale Road and upgrade the Union Hill Road intersection to improve bicycle crossings to the Bear Creek Trail.							3,000	3,000
PDBK	B111	Overlake Accessibility Improvements	Enhance accessibility for people with disabilities with ADA ramp and pedestrian push button upgrades.	2023	2025	1,500					1,500
PDBK	B78	NE 40th St Shared Use Path - 163rd Ave NE to 172nd	Replace sidewalk with shared path on the south side of NE 40th Street.	2023	2026	7,106					7,106
PDBK	B113	164th Ave NE Channelization and Flashing Crosswalk	In support of the speed limit reduction from 40 mph to 30 mph, install channelization and an AC powered RRFB crosswalk on the north side of NE 87 St including a southbound advance RRFB.	2024	2026	383					383
RDWY	C45	156th Ave NE and Bel-Red Southbound Right Turn Lane	Construct a southbound right-turn lane. Construction planned to begin after the construction of at least 1,400,000 net new gross square feet on the Main campus, or sooner at Microsoft's option. Improvements could also be triggered by the Esterra Park development.	2027	2027	2,392					2,392
RDWY	C46	148th Ave NE and NE 51st Street Westbound Right-Turn Lane	Add a second right-turn lane from westbound NE 51st Street to northbound 148th Avenue NE.	2026	2026	1,000					1,000
RDWY	C56	Overlake Access Ramp	Construct eastbound access ramp from SR 520 to roundabout at 150th Ave NE and interim at-grade roadway connection between the roundabout and 152nd Ave NE. Ramp would diverge from eastbound 148th Ave NE off-ramp, be grade-separated from 148th Ave NE and connect with City streets at 150th Ave NE. The ramp would include 1 general purpose lane, and auxiliary lanes and HOV/Transit treatments as applicable.	2023	2023	101		69,149			69,250
RDWY	C57	152nd Avenue NE Improvements - NE 24th St to NE 31st St	Developers will complete the improvements as required through frontage improvements and development agreements, per the Overlake Village Street Design Guidelines. The Group Health Development Agreement requires completion of improvements on 152nd Ave NE along the Capstone development frontage.	2023	2026	2,715	3,162			12,295	18,172
RDWY	C64	Redmond Way Bridge Modifications and 76th Street Widening	Reconfigure bridge to add a second east-bound left turn lane by removing the sidewalk on the south side of bridge adding a separate pedestrian-bicycle bridge over Bear Creek. In addition, widen NE 76th Street to accept the dual left turns from Redmond Way. The ped/bike bridge will provide a dual function to both replace the existing narrow walkway and accommodate a future connection between Bear Creek Trail and East Lake Sammamish Trail on the south side of SR 520.	2023	2024	520					520
RDWY	C65	Redmond Way and ELSP Intersection Improvements	Install intersection improvements to improve traffic operations, revised pedestrian crossings and new bicycle lanes.	2023	2023	293					293
RDWY	C66	NE 116th St Widening Phase II	Complete three missing sections of multimodal street improvements on South side: 1) Church frontage east of Red-Wood Road, 2) frontage of existing Chardonnay Plat, and 3) wetland section between 176th and 178th.							3,000	3,000

City of Redmond 2023-2028 TRANSPORTATION IMPROVEMENT PROGRAM

EXHIBIT A: PROJECT LIST

Type	TIP #	Project or Program Name	Description	TIP Funding Range (if applicable)		Project Costs in Thousands of Dollars (\$000s)					2023-2028 Total
				Start	Finish	Local	Federal	State	Undet		
RDWY	C67	Redmond Way Westbound Right Turn Lane	Construct second westbound lane on Redmond Way that transitions to right turn lane at 164th Ave NE where not completed by adjacent development.	2023	2026	2,500				2,500	5,000
RDWY	C68	NE 70th St Improvements - Redmond Way to 180th Ave	Complete the 70th Street Extension from Redmond Way to 180th Avenue per the Marymoor Subarea Plan (includes general purpose lanes, turn lanes, bicycle lanes, and sidewalks).	2023	2025	4,690					4,690
RDWY	C69	152nd Avenue NE Improvements - NE 20th St to NE 24th St	Continues 152nd Ave NE Main Street improvements south from NE 24th St to NE 20th street. Half street improvements on west side will be completed through KCC Limited Edition development agreement.	2025	2027	5,126				15,377	20,503
RDWY	C70	148th Ave NE Corridor Improvements - Bel-Red Rd to SR 520	Improve intersections on 148th Avenue NE at NE 20th Street and NE 24th Street; Create third northbound through lane on 148th Avenue NE from Alhazen St/NE 22nd St to SR 520 eastbound on-ramp.	2027	2027	1,801				17,130	18,931
RDWY	C71	148th Ave NE Northbound Through Lane - Bel-Red Road to NE 22nd St	Create continuous northbound through lane on 148th Ave NE between Bel-Red Road and Alhazen/NE 22nd St.							8,272	8,272
RDWY	C73	Avondale Road School Bus Pullouts	Install school bus pullouts on Avondale Road including at Novelty Hill Road, NE 95th St, 188th Ave NE/182nd Ave NE, 180th Ave NE, and NE 90th St.							2,623	2,623
RDWY	C74	148th Ave NE Right Turn Lane at NE 20th St	Add northbound right turn lane at 148th Ave NE & NE 20th St.	2026	2026	1,890					1,890
RDWY	C75	Willows Rd and NE 91st St Intersection Realignment	Realign intersection of NE 91st Street and Willows Road.							250	250
RDWY	C76	NE 124th Street and 162nd Place NE Signal	Construct a new traffic signal at 124th Avenue NE and 162nd Place NE. Includes the addition of turn lanes on NE 124th and modifications on 162nd Place for sight distance.	2027	2027	275				2,355	2,630
RDWY	C77	DaVinci Ave Interim Overlake Access Ramp Connection - ROW	Connect the Overlake Access Ramp south to serve significant development south of 24th Street. Interim project provides vehicle access and basic pedestrian facilities - development to complete full buildout of street section.							9,900	9,900
RDWY	C78	173rd Ave NE Completion - NE 67th St to NE 70th St	Complete roadway section per the Marymoor Infrastructure Plan, including one general purpose lane in each direction, parallel parking on the east side of the road, cycle tracks, planter strip and sidewalk.	2027	2027	1,155				1,155	2,310
RDWY	C80	NE 24th Street Multimodal Improvements - 148th Ave NE to Bel-Red Road	Construct cycle tracks on both sides of the street, separated from travel lanes by a continuous planter strip. Sidewalk to be expanded to 9 ft starting from back of cycle track. See Overlake Village South Plan for Details.							17,500	17,500
RDWY	C81	West Lake Sammamish Parkway Improvements Design	Design of multi-modal transportation improvements including two additional general purpose lanes, bicycle lanes, sidewalks, trail, and proposed roundabout at Bel-Red Road.							3,500	3,500
RDWY	C83	Alhazen Street Design- 148th Avenue to 152nd Avenue	Design roadway per Seritage and Limited Edition Development Agreements including one general purpose lane in each direction, bicycle lanes, planter strips, and sidewalks.	2026	2027	5,000					5,000
RDWY	C84	Lumiere Avenue Design- 20th Street to 24th Street	Design roadway to complete roadway per Seritage Development Agreement including one general purpose land in each direction, planter strip, and sidewalks.	2026	2027	5,000					5,000
RDWY	C85	DaVinci Avenue Design- 20th Street to 24th Street	Design roadway to complete roadway per Seritage and Limited Edition Development Agreements including one general purpose lane in each direction, bike lanes, planter strips, and sidewalks.	2026	2027	5,000					5,000
RDWY	C86	70th Street Improvements - Redmond Way to 173rd Avenue	Construct new 70th Street from Redmond Way to Marymoor Light Rail Station and 173rd Avenue. Intersection improvements include Redmond Way and 70th Street for an additional northbound left turn lane on Redmond Way to 70th Street.	2023	2026	7,627					7,627
RDWY	C88	Willows Road Improvement Phase 1	Install two new signals, one at Willows Creek and one at Physio Control and add 1,000 feet of new turn lane.	2027	2028	5,132				8,949	14,081
RDWY	C89	Plaza Street Connection	Add 100ft extension of Plaza Street to Overlake Access Ramp being constructed by WSDOT.	2023	2024	360					360

City of Redmond 2023-2028 TRANSPORTATION IMPROVEMENT PROGRAM

EXHIBIT A: PROJECT LIST

Type	TIP #	Project or Program Name	Description	TIP Funding Range (if applicable)		Project Costs in Thousands of Dollars (\$000s)				2023-2028 Total
				Start	Finish	Local	Federal	State	Undet	
RDWY	C91	172nd Avenue NE Opening	Remove the existing gate on 172nd Avenue NE at NE 124th Street and add minor traffic calming between NE 124th Street and NE 128th Street.	2024	2026	880				880
RDWY	C90	148th Ave Second Left Turn Lane at 24th St	Add an eastbound and westbound left turn lane on NE 24th Street at the intersection with 148th Avenue NE.						8,272	8,272
RDWY	C92	148th Ave NE, Northbound Through Lane at 24th Street	Reconstruct northeast corner of 148th Avenue NE and NE 24th Street intersection to open a third northbound through lane from south of NE 24th Street to the eastbound SR 520 on-ramp.						2,098	2,098
TOPS	L14	Adaptive Signal System - Downtown	Optimize signal timings automatically cycle to cycle within downtown network to make improvements to traffic flow to mitigate some of roadway efficiency lost by the Couplet Conversion project.						800	800
TOPS	S47	150th Ave NE and NE 51st Street Traffic Signal	Add north leg (on private property) to intersection of 150th Avenue NE and NE 51st Street and signalize this intersection. North leg improvements include two southbound left-turn lanes, one through lane in each direction, bike lanes, sidewalks, transit amenities, street lights, utilities, and stormwater drainage. Relocate eastbound transit stop to far side of new intersection.	2027	2027	698				698
TOPS	S53	Avondale Road Intersection Realignment at NE 95th St - Design	Begin design to realign the intersection to reduce the skew, adds a north leg crosswalk, eliminates split phasing and provides for southbound U turns. It also moves the signal pole off the island to the side of the road.						250	250
TOPS	S55	Flashing Beacon - 161st Ave NE Pedestrian Crossing at NE 81st St	Provide rectangular rapid flashing beacon pedestrian crossing of 161st Ave NE at approximately 8100 block.	2023	2024	113				113
TOPS	S57	152nd Ave NE Pedestrian Crossing at NE 21st St	Pedestrian crossing with rectangular rapid flashing beacons at the intersection of 152nd Ave NE and NE 21st St.						150	150
TOPS	S59	West Lake Sammamish Parkway Dynamic Curve Warning at 3800 Block - Design	Evaluate the need for dynamic curve warning signs on West Lake Sammamish Parkway between NE 38th St and NE 40th St.						25	25
TOPS	S62	Adaptive Signal System - Overlake	Install and support an adaptive signal system which utilizes software to adjust signal timings to traffic volumes in real time. Includes signals throughout Overlake.	2023	2024	972				972
TOPS	S63	Adaptive Signal System - Southeast Redmond	Install and support an adaptive signal system which utilizes software to adjust signal timings to traffic volumes in real time. Includes signals in Southeast Redmond and on Avondale Road.						900	900
TOPS	S64	Flashing Beacon - NE 116th St Pedestrian Crossing at 159th Ave NE	Install pedestrian crossing on NE 116th St at 159th Ave NE.	2023	2023	114				114
TOPS	S65	Adaptive Signal System - Neighborhood Arterials	Install and support an adaptive signal system which utilizes software to adjust signal timings to traffic volumes in real time.						900	900
TOPS	S71	Flashing Beacon - 180th Ave NE at 70th St; Bear Creek Pkwy at 159th PI NE	Targeted Safety Improvement Project (TSIP) - Rectangular Rapid Flash Beacon Crossings (180th Avenue NE at NE 70th Street and Bear Creek Pkwy at 159th PI NE)	2023	2023	74				74
TOPS	S72	Bridge Deck Overlay - NE 85th Street	Reseal concrete bridge deck with an epoxy overlay.						397	397
TOPS	S73	132nd Avenue NE & NE 100th Street Signal	Contribute funds to a new signal at 132nd Avenue NE and NE 100th Street. City of Kirkland will design and build the project.	2023	2025	1,554				1,554
TOPS	S74	Intersection Improvements - ELSP and 187th Ave NE	Install SE left turn lane and SW left turn pocket on East Lake Sammamish Parkway and 187th Avenue NE.						3,171	3,171
PVRP	P18	148th Avenue NE Pavement Rehabilitation - NE 36th St to Redmond Way	Reconstruct portions of and provide overlay of 148th Avenue NE from Redmond Way to Willows Road. Make drainage improvements where needed. Examine roadway channelization for improved efficiencies.						14,945	14,945
PVRP	P20	NE 90th St Bridge Deck Overlay	Reseal concrete bridge deck with epoxy overlay.	2023	2024	742				742
PVRP	P25	NE 24th St Pavement Rehabilitation	Rehabilitate and overlay pavement surface to extend useful life of roadway.	2023	2027	4,875				4,875

City of Redmond 2023-2028 TRANSPORTATION IMPROVEMENT PROGRAM

EXHIBIT A: PROJECT LIST

Type	TIP #	Project or Program Name	Description	TIP Funding Range (if applicable)		Project Costs in Thousands of Dollars (\$000s)					2023-2028 Total
				Start	Finish	Local	Federal	State	Undet		
PVRP	P26	NE 104th St Pavement Rehabilitation - 166th Ave NE to 184th Ave NE	Rehabilitate and overlay pavement surface to extend useful life of roadway.							2,000	2,000
PVRP	P28	Avondale Way Sidewalk Preservation - 170th Pl NE to Union Hill Rd	Replace existing sidewalk on southeast side that has been severely damaged by tree roots uplifting the sidewalk.	2023	2025	2,080					2,080
PVRP	P29	NE 95th St Bridge Replacement	Complete design and construction of NE 95th Street Bridge.	2023	2028	13,040					13,040
PVRP	P30	166th Ave NE Pavement Rehabilitation - NE 85th St to NE 102nd St	This project will rehabilitate and overlay the pavement surface to extend the useful life of the roadway on 166th Ave NE from NE 85th Street to NE 102nd Street.							2,597	2,597
PVRP	P31	161st Ave NE Pavement Rehabilitation - NE 85th St to NE 90th St	Rehabilitate and overlay pavement surface to extend useful life of roadway.							1,557	1,557
PVRP	P33	NE 40th St Sidewalk Rehabilitation - 162nd Ave NE to Bel-Red Rd	Replace existing sidewalk and adjacent walls that has been severely damaged by tree roots uplifting the curbs and sidewalk.	2023	2026	2,066					2,066
PVRP	P34	Cleveland Street Sidewalk Rehabilitation - 164th Ave NE to 168th Ave NE	Replace broken and heaved sidewalk panels on Cleveland Street between 164th Avenue NE and 168th Avenue NE. <i>This project will not be necessary if B43--Cleveland Street East Main Street Improvements is constructed.</i>	2023	2024	1,085					1,085
PVRP	P35	164th Ave NE Sidewalk Rehabilitation - Redmond Way to NE 90th St	Remove and replace sidewalk and street trees on both sides of 164th Ave NE between Redmond Way and NE 90th St							2,085	2,085
PVRP	P38	148th Ave NE Pavement Rehabilitation - Redmond Way to Willows Rd	Rehabilitate and overlay pavement surface to extend the useful life of 148th Ave NE from Redmond Way to Willows Road.							1,500	1,500
PVRP	P41	Downtown Neighborhood Sidewalk Repair	Remove and replace damaged sidewalks and impacted utilities as prioritized in the 2016 Comprehensive Right-of-Way Study.							1,000	1,000
PVRP	P42	Overlake Neighborhood Sidewalk Repair	Remove and replace damaged sidewalks and impacted utilities as prioritized in the 2016 Comprehensive Right-of-Way Study.							1,000	1,000
PVRP	P100	10,000 Block of Avondale Road Erosion	Stabilize slope and control erosion to protect Avondale Road and provide an environmental lift for Bear Creek through the project site.	2023	2024	1,121	1,332				2,453
PVRP	P102	Avondale Road Pavement Rehabilitation - North of Union Hill Rd to NE 90th St	Rehabilitate and overlay pavement surface to extend useful life of roadway.	2023	2024	644	1,515				2,159
PVRP	P103	West Lake Sammamish Parkway Pavement Rehabilitation - Leary Way to North of Marymoor	Rehabilitate and overlay pavement surface to extend useful life of roadway.	2023	2025	1,767	1,500				3,267
PVRP	P105	Avondale Road Pavement Rehabilitation - NE 90th St to Novelty Hill Road	Rehabilitate and overlay pavement surface to extend useful life of roadway.	2024	2027	7,724					7,724
PVRP	P106	Avondale Road Pavement Rehabilitation - Novelty Hill Rd to NE 109th St	Rehabilitate and overlay pavement surface to extend useful life of roadway.	2027	2028	4,958					4,958
PVRP	P107	NE 85th Street Bridge Repair	Various repairs identified in 2015 structural inspection.	2028	2028	926				7,977	8,903
PVRP	P108	154th Ave NE Pavement Rehabilitation - Redmond Way to NE 85th St	Rehabilitate and overlay pavement surface to extend useful life of roadway.	2025	2057	177	1,130				1,307

LEGEND

Category Types

PROG Citywide Programs

PLAN Plan Implementation and Compliance

PDBK Pedestrian and Bicycle Improvements

RDWY Minor and Major Street Projects

TOPS Traffic Operations and Safety

PVRP Maintenance and Preservation

**Summary of 2022 Updates to the
2023-2028 Six-Year Transportation Improvement Program (TIP)**

A. Completed

These projects were removed from the TIP because they were completed in 2022.

TIP #	Project Name	Change
P32	NE 90 th St Pavement Rehabilitation-Willows Rd to Red-Wood Rd	Completed
P36	NE 85 th Street Bridge Deck Overlay	Completed
P104	Pavement Management Project – 2021-2022	Completed

B. Revised

TIP #	Project Name	Change
B78	NE 40 th Shared Use Path (163 rd Ave NE to 172 nd Ave NE)	Revised from bicycle lanes to shared use path
P25	NE 24 th St Pavement Rehabilitation (172 nd Ave NE to West Lake Sammamish Parkway)	Revised limit from 175th St to 172 nd
P33	NE 40 th St Sidewalk Rehabilitation (162 nd Ave NE to Bel-Red Rd)	Revised limits from 162 nd Ave NE to Bel-Red Rd
P102	Pavement Management Project-Avondale Road	separated in to three projects along Avondale due to different start and completion years of roadway segments. <ul style="list-style-type: none"> - North of Union Hill Rd to NE 90th St (P102) - NE 90th St to Novelty Hill Rd (P105) - Novelty Hill Rd to NE 109th St (P106)

S71	Flashing Beacon-180 th Ave NE at 70 th St/Bear Creek Pkwy-159th PI NE	Includes Flashing Beacon-Bear Creek Pkwy at 159th PI NE, 2023-2028 CIP
S1	Traffic and Pedestrian Safety Enhancements	Revised from previous TIP Neighborhood Traffic Calming Program

C. Added

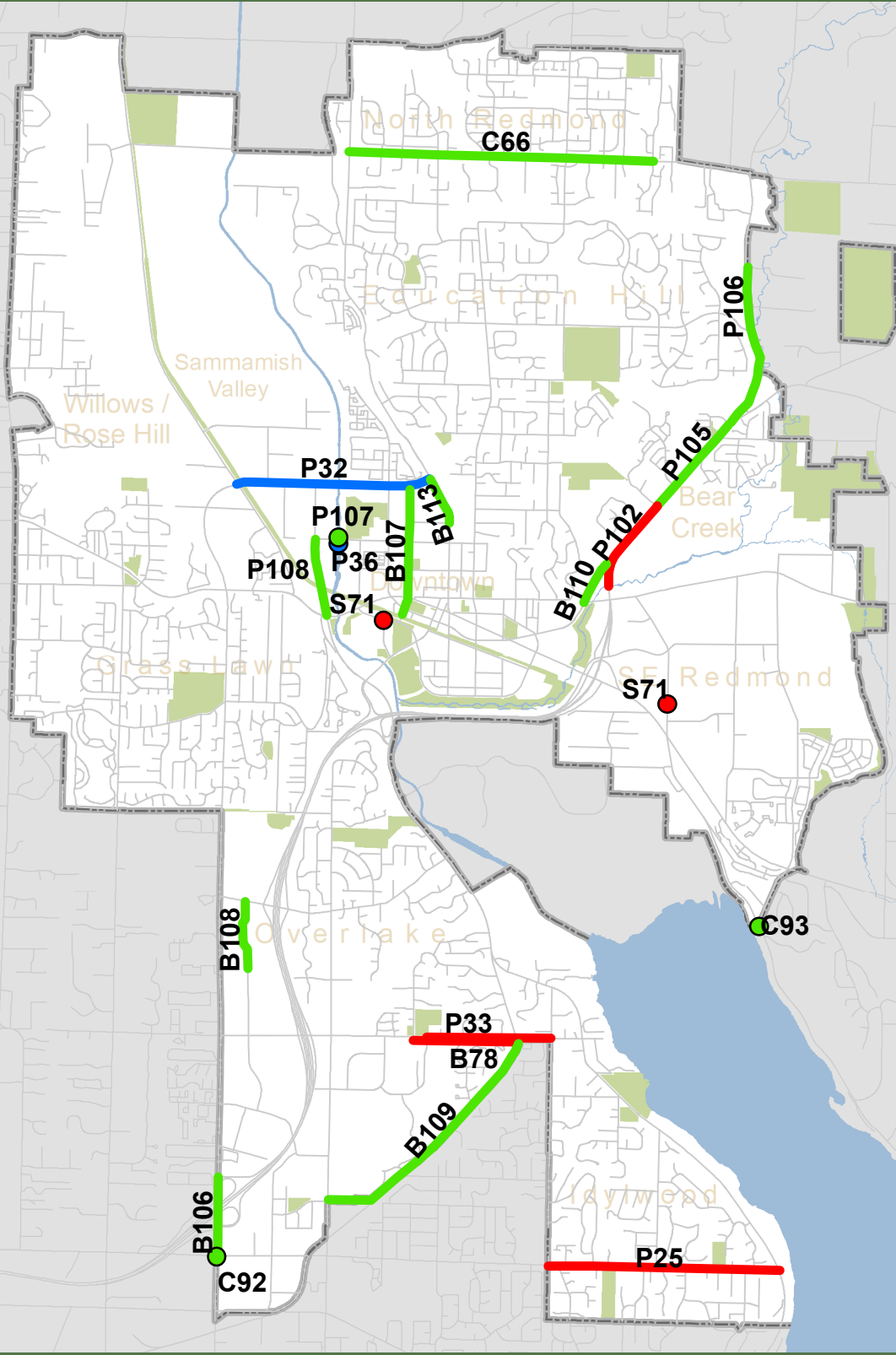
These projects have been added to the proposed TIP because they are included in the proposed CIP, the Proposed CIS, or are included in Development Agreements, Grants, or Partner Agency projects.

TIP #	Project Name
B106	148 th Avenue Trail (State Route 520 to 24 th St)
B107	Cycle Track – 161 st Avenue
B108	150 th Avenue Improvements (4500 Block to 51 st St)
B109	Bel-Red Road Cycle Track (NE 40 th St to 28 th St to 156 th Ave)
B110	Avondale Way Bicycle Completion
B111	Overlake Accessibility Improvements
B113	164 th Ave NE Channelization and Flashing Crosswalk
B115	ADA Improvements-Transportation Curb and Ramp Project 1-3
S81	Pavement Repair Program
S82	State Route 520 Pedestrian Bridge Program
S83	Sidewalk Repair Program
P105	Pavement Management Project-Avondale Road (NE 90 th St to Novelty Hill Rd)
P106	Pavement Management Project-Avondale Road (Novelty Hill Rd to NE 109 th St)
P107	NE 85 th Street Bridge Repair
P108	154 th Ave Preservation (Redmond Way to NE 85 th Street)
C66	NE 116 TH St Widening Phase II
C92	148 th Ave NE, Northbound Through Lane at 24 th Street

2023-2028 Transportation Improvement Program - Changes

City of Redmond, Washington

Last updated on 8/22/2022



Disclaimer: This map is created with data maintained by GIS Services Group, Technology and Information Services, City of Redmond, Washington. For reference

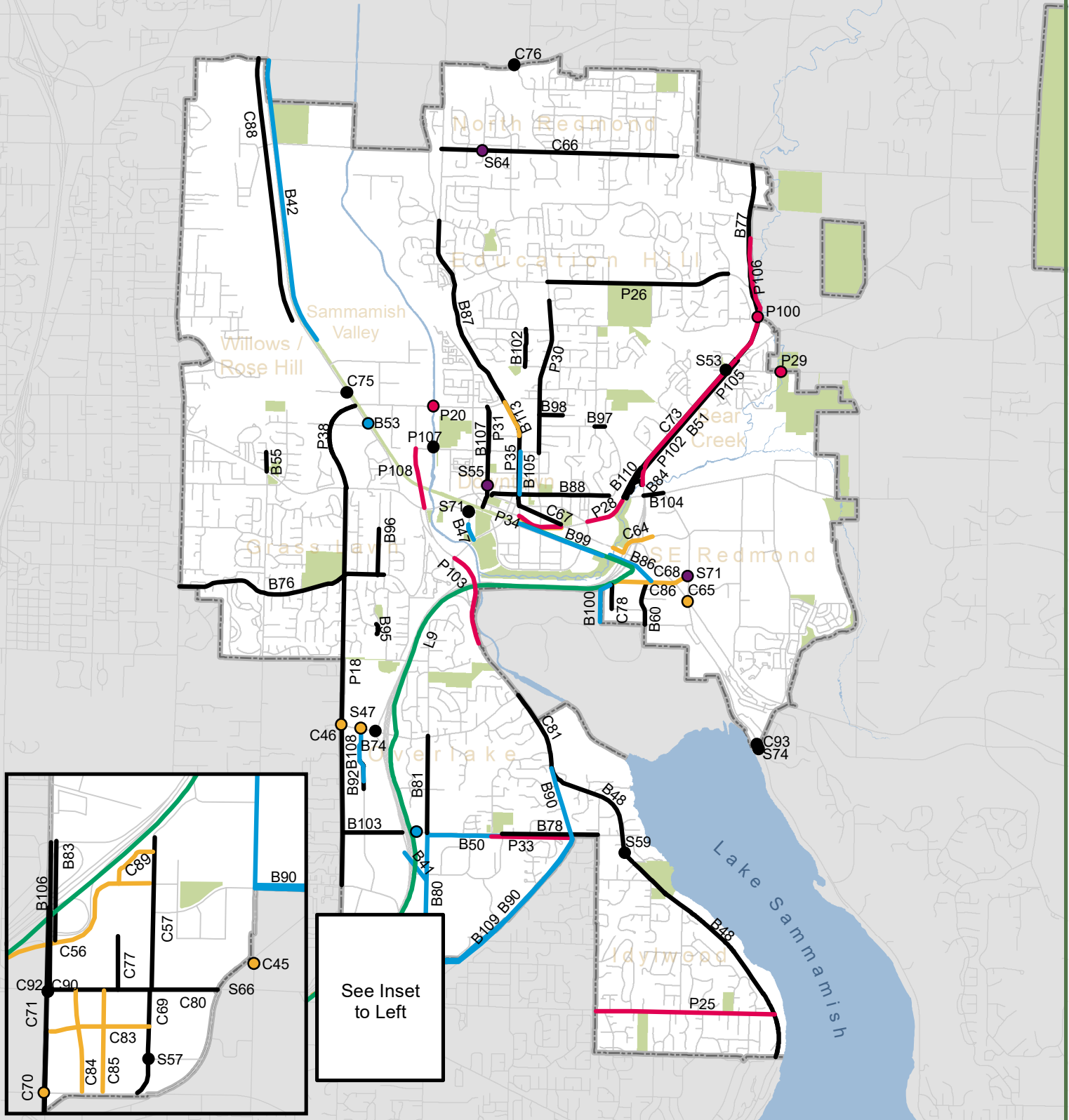
LEGEND

- Completed
- Revised
- Added
- Streets
- City Park
- City Limit

2023-2028 Transportation Improvement Program - All Projects

City of Redmond, Washington

Last updated on 9/12/2022



LEGEND

- Bike and Pedestrian Project
- Roadway Project
- Preservation Project
- Transit Project
- Safety Project
- Streets
- City Park
- City Limit



Disclaimer: This map is created with data maintained by GIS Services Group, Technology and Information Services, City of Redmond, Washington. For reference

2023-2028 Transportation Improvement Program (TIP)

.....
October 18, 2022

Josh Mueller and Vangie Garcia

Planning and Community Development

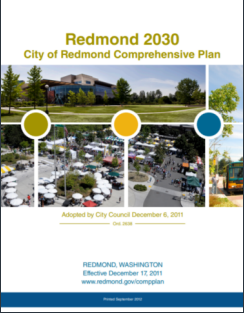




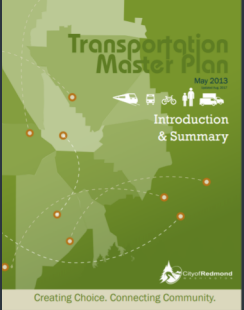
Agenda

- Brief overview of annual update
- Changes to this year's TIP
- Public Hearing
- Council Adoption

Developing the Annual TIP Update



Long range planning
20-30 years



Mid range planning
15-20 years

TIP
Short range
6 years

Comprehensive (COMP) Plan - Adopts Vision for the City

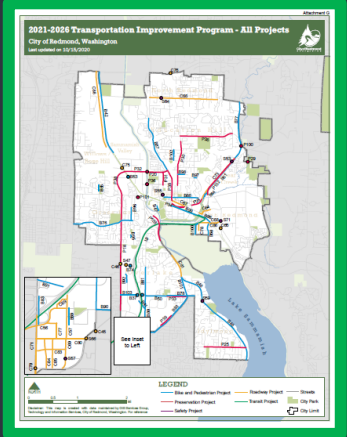
Transportation	Housing	Parks, Arts & Culture	Public Safety	Utilities	Capital Facilities	Neighborhoods	Human Services
Economic Vitality	Urban Centers	Land Use	Natural Environment	Shorelines	Historic Preservation	Annexation & Regional Planning	Implementation & Evaluation

Updated every 8-10 years, amendments throughout the year

Functional & Strategic Plans - Defines How Vision will be Implemented

Transportation	Urban Centers & Neighborhoods	Utilities	ADA / Accessibility	Environment & Sustainability	Housing & Human Services	Public Safety & Emergency Preparedness	Facilities	Parks & Trails
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Transportation Master Plan (TMP) updated every 6-8 years, last update 2013



Implementation

Capital Projects	City Programs	Regulations	
		Redmond Municipal Code	Redmond Zoning Code

updated every year



Community Involvement



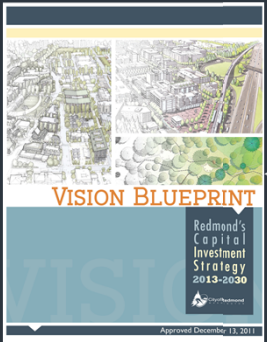
Environmental Review



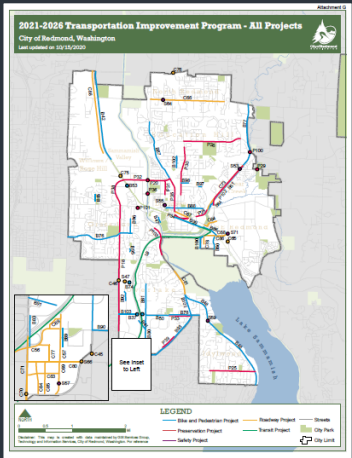
What is the TIP?

- Short-range transportation planning document
- Mandated per state law to be updated annually
- Includes transportation programs and projects that:
 - Reflect current Council priorities and goals
 - Have been identified in the Capital Investment Strategy (CIS)
 - Have developer commitments or external funding
- Can be used as a resource for budget decisions
- Allows the City to apply for grants for included projects

The CIS Framework



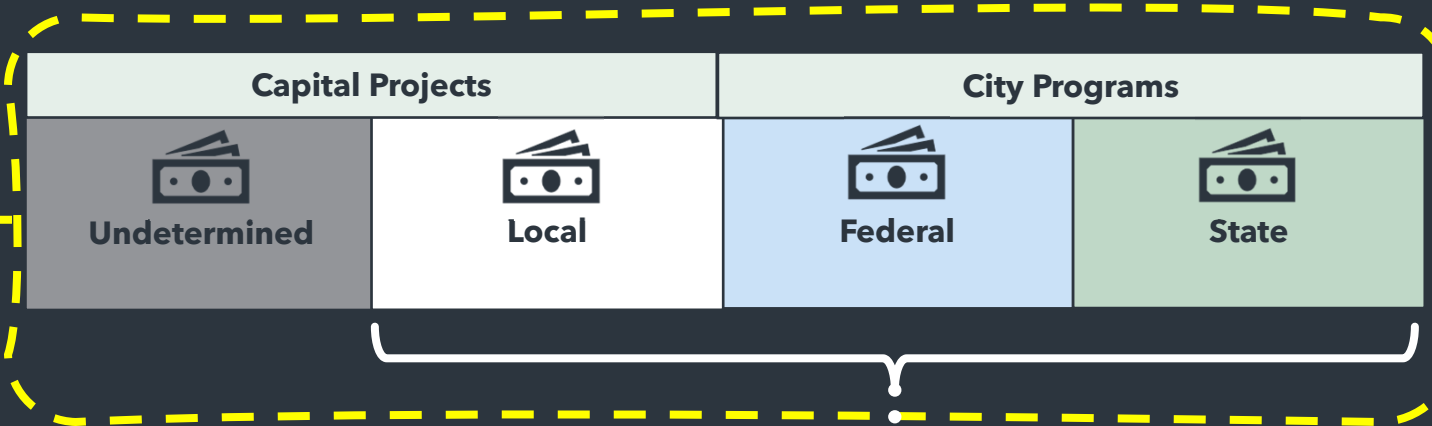
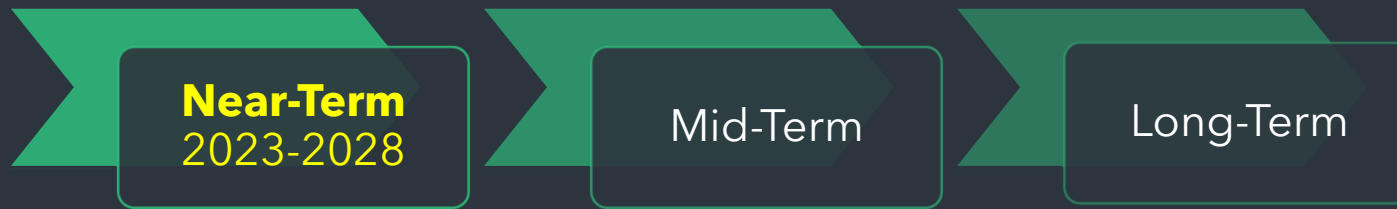
updated every 2 years



Transportation Improvement Program (TIP)
updated annually

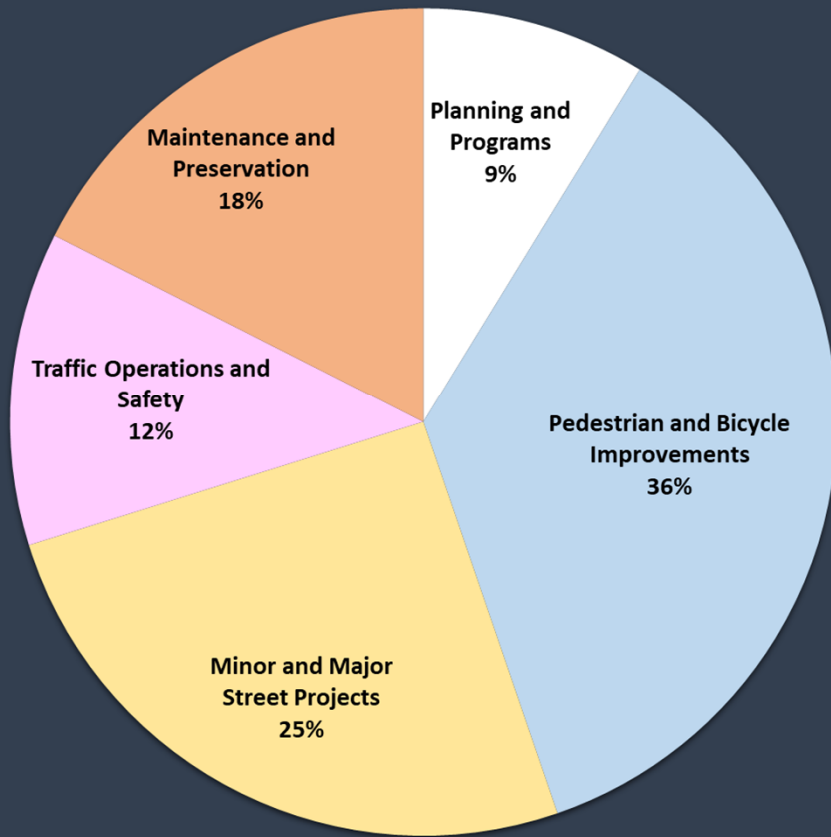


Consists of near, mid, and long-term investments consistent with Comp Plan Vision



Capital Investment Program (CIP)
updated with biennial budget

Number of TIP Projects by Category



Summary of TIP Projects

- TIP not required to be fiscally constrained
- Projects with undetermined funding are potential candidates for grants
- Funding allocations are typically what changes most frequently each year

Type/Category	# of TIP projects	candidate projects	Local*		Federal		State		Undetermined		2023-2028 Subtotal
			(\$000s)	% allocated	(\$000s)	% allocated	(\$000s)	% allocated	(\$000s)	% allocated	
Planning and Programs	10	0	9,898	100%	0	0%	0	0%	0	0%	9,898
Pedestrian and Bicycle Improvements	41	19	54,144	47%	12,574	11%	1,518	1%	46,256	40%	114,492
Minor and Major Street Projects	29	9	53,457	22%	3,162	1%	69,149	29%	115,176	48%	240,944
Traffic Operations and Safety	14	7	3,525	35%	0	0%	0	0%	6,593	65%	10,118
Maintenance and Preservation	20	9	41,205	51%	5,477	7%	0	0%	34,661	43%	81,343
			\$ 162,229		\$ 21,213		\$ 70,667		\$ 202,686		\$ 456,795

*Local funds consist of tax revenues, impact fees, developer contributions, etc.

Highlight of TIP Changes

- Three projects were completed or will be complete by the time of this year's TIP update:

TIP #	Project Name
P32	NE 90 th St Pavement Rehabilitation <i>Willows Road to Redmond-Woodinville Road</i>
P36	NE 85 th Street Bridge Deck Overlay
P104	Pavement Management Project - 2021-2022

- Projects were revised or added that reflect inclusion in the CIS list and/or projects that have commitment from external funding sources, such as new grants.

Highlight of TIP Changes

Some highlights of federal funded projects from recent months:

Type of Award	Project Name	Amount	Project Phase	Current Status
Increase federal share	Avondale Road Preservation (North of Union Hill Road to NE 90 th St)	\$15,125	Design	Design underway
Increase federal share	NE 40 th Street Shared Use Path (156 th Ave NE to 163 rd Ave NE)	\$540,000	Construction	Construction underway
Increase federal share	NE 90 th Street Preservation (Redmond Way to Willows Road)	\$495,000	Construction	Construction substantially complete
Increase federal share	152 nd Avenue NE Improvements (NE 24 th St to NE 28 th St)	\$1,912,500	Construction	Construction to begin soon
New	154 th Ave NE Preservation (Redmond Way to NE 85 th Street)	\$1,445,000	Design (2025), Construction (2026)	Not yet started

Timeline



1. Planning and Public Works Committee of the Whole
✓ Sept. 6
2. Council Staff Report
✓ Sept. 20
3. Public Hearing Notice
✓ Oct. 4
- 4. Public Hearing**
 - **Oct. 18**





Recommendation

- Move forward with the Public Hearing



Thank You



Any Questions?





City of Redmond

15670 NE 85th Street
Redmond, WA

Memorandum

Date: 10/18/2022
Meeting of: City Council

File No. SPC 22-116
Type: Executive Session

Labor Negotiations [RCW 42.30.140(4)(b)] - 15 minutes